

BlackRock in Germany

BlackRock®



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Chief Operating Officer



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Business Development

Independent fiduciary asset manager

BlackRock is a leading provider of investment, advisory and risk management solutions, and has been present in Germany for 26 years. Our purpose is to help more and more people experience financial well-being.

As an asset manager, we connect the capital of diverse individuals and institutions to investments in companies, projects and governments. This helps fuel growth, jobs and innovation, to the benefit of society as a whole. Our clients in Germany include pension plans, insurers, investment banks, savings banks, foundations, official institutions, and individuals, for whom we manage approximately EUR 110bn¹.

Delivering retirement solutions

Around two thirds of the capital we manage for clients globally relates to retirement solutions. In Germany, we manage capital for the occupational pensions of leading German corporates, for professional pension schemes, and for pension funds, helping employees and members build future retirement income.

Highlights

Fiduciary Asset Manager
Supervised by BaFin & Bundesbank

26 years: In Germany since 1994

~150 employees in Frankfurt and Munich

50+ German domiciled funds across two local fund platforms (for contractual and corporate type funds)

~EUR 110bn managed for clients in Germany¹

Connecting client capital to companies and projects

We help investors in Germany and around the world invest around EUR 152bn² in German companies, sovereign bonds, alternatives, and other asset classes.

Infrastructure: Our infrastructure investment platform has enabled clients to contribute to financing German transport and energy projects. Transport projects have included motorway services, and the financing for 89 regional passenger trains. Energy projects have included gas distribution networks in Bavaria, Thuringia, Saxony, Saxony-Anhalt and Hesse, and renewable power through Germany's first institutionally financed offshore wind farm.

Private debt: Our private debt funds include investments in German Mittelstand firms, enabling clients to finance growth and innovation.

Providing individuals low cost access to diversified investments

Funds: Our goal is to provide our clients with easy access to investments and enable them to participate in the development of the economy. Our mutual funds and exchange traded funds (ETFs) give individuals and

Our Team: At home in Frankfurt and Munich

Approximately 150 employees serve clients in Germany with investment, advisory and risk management services. Specialist teams connect global expertise and local insights in Client Portfolio Solutions, Alternative Investments, Portfolio and Risk Management.

institutions in Germany access to diversified investments, including in DAX listed companies and German sovereign bonds, from as little as EUR 25 per month. In our range of more than 50 German domiciled funds, we manage EUR 39bn² for clients.

Contributing to a robust regulatory regime

As part of our fiduciary duty to clients, BlackRock advocates for regulation that is transparent, protects investors and facilitates the responsible growth of capital markets. We participate in industry associations including the Bundesverband Investment und Asset Management (BVI); Deutsches Aktieninstitut (DAI); Deutsches Institut für Altersvorsorge (DIA), and Bundesverband Alternative Investment (BAI).

Championing corporate governance and sustainable value creation

BlackRock Investment Stewardship contributes to BlackRock's fiduciary duty to clients by engaging with companies and voting at annual meetings on their behalf, to promote business practices consistent with sustainable long-term value creation. The team's engagement priorities, guidelines and reports are available at <https://www.blackrock.com/corporate/about-us/investment-stewardship>.

BlackRock Investment Institute in Germany

Our Frankfurt-based Investment Strategy team partners with financial and political experts across the firm to bring clients in Germany the best of BlackRock's thinking. BII's insights are available at <https://www.blackrock.com/corporate/insights/blackrock-investment-institute>.

Financial Markets Advisory Group

The Financial Markets Advisory Group (FMA) advises clients in Germany on their capital market exposure. FMA combines a focus on risk management factors with strategic balance sheet and financial strategy development, and specialized asset management support services.

BlackRock Solutions®

Our risk analysis and investment processing tool, known as Aladdin®, allows clients to combine risk analytics, order management, and trade processing on one platform.

All sources BlackRock. 1 As at December 2019. 2. As at February 2020.

Our story

BlackRock is an independently managed public company, founded in New York in 1988 by eight partners, four of whom remain active in the firm. Today, approximately 15,000 employees serve clients around the world. Investment centres in 25 cities facilitate access to major capital markets.¹

Regulation and supervision

Asset managers are subject to regulatory oversight at both the manager and portfolio levels. Regulation reflects the asset management business model, which includes managing client investments, but not deposit taking, or investing their own balance sheet.

The investment activities of BlackRock in Germany are regulated by the KAGB (German Investment Code), as well as EU law, including MiFID II (covering investment services and transaction reporting); the AIFM Directive (ruling alternative investment managers and funds); the UCITS Directive. BlackRock in Germany is supervised by BaFin and Bundesbank.

Related Content

ViewPoint: Worldwide Leader In Asset Management

www.blackrock.com/corporate/literature/whitepaper/eng-blackrock-worldwide-leader-in-asset-and-risk-management.pdf

BlackRock Investment Stewardship

<https://www.blackrock.com/corporate/about-us/investment-stewardship>

BlackRock Investment Institute

<https://www.blackrock.com/corporate/insights/blackrock-investment-institute>

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