IRA Transfer of Assets/ Direct Rollover Form

INSTRUCTIONS FOR COMPLETING THIS FORM

The purpose of this form is to request an IRA transfer of assets (“TOA”) or a Direct Rollover from an existing retirement plan to your IRA at BlackRock.
The following instructions will help you complete this application, however if you have any questions, we encourage you to call us for assistance at **1-800-441-7762.**

Important Notes Regarding this Form:

- The **minimum initial investment is $1,000 per fund** or can be lowered to $50 with an Automatic Investment.
- A Transfer of Assets (“TOA”) is moving retirement assets between the same plan type at different custodians, i.e. Roth IRA to Roth IRA, Traditional IRA to Traditional, IRA, etc.
- A Direct Rollover is moving retirement assets between different plan types, i.e. a qualified plan (401k, 403b, 457, etc.) to a Rollover IRA
- Your current custodian may require additional documentation or a stamp, such as a medallion guarantee stamp. You should check with your current custodian for any requirements prior to submitting this form to BlackRock.
- If you are over the age of 72, you are responsible for any required minimum distributions (“RMD”) from your current retirement account.
- You should not use this form to convert qualified rollover distributions to a Roth IRA—contact your plan administrator for instructions.

Additional Documentation
Certain requests may require additional documentation to complete, including but not limited to:

- **Recent Statement** – Attaching a copy of your most recent statement and verifying you have the correct information on this form will ensure a speedier transfer.

Sections that are required on this application:

- **Sections 1,2,3,4, and 5 are required** in order to process a Transfer of Assets or a Direct Rollover Request.
- **Section 5 (Participant Authorization)** is required to be signed by the IRA Account Owner.

Services

If you don’t see a particular service option or need an additional form to complete your request, you can locate more on our website at www.blackrock.com on our “Forms & Applications” page, or by calling us.

- **Financial Professionals:** Sign In to our “Advisor Center” www.blackrock.com for enhanced tools, investment ideas, account access (through AdvisorCentral©) and more.

Questions? Call us at 1-800-441-7762, Monday through Friday between 8:00 AM and 6:00 PM ET or visit us online at www.blackrock.com.

BlackRock

IRA Transfer of Assets/ Direct Rollover Form

Use this form to request an IRA transfer of assets ("TOA") or a Direct Rollover from an existing retirement plan to your IRA at BlackRock.

- **Be sure to use the right application!** Business / Institutional clients, SIMPLE IRAs, Non-retirement, and BlackRock CollegeAdvantage® 529 accounts have their own applications.
- All information provided on each person listed on the account will be verified as required by the USA PATRIOT Act.

Mail this application, along with any other required documents, to:

Regular mail	**Overnight mail**
BlackRock Funds P.O. Box 9819 Providence, RI 02940	BlackRock Funds 4400 Computer Drive Westborough, MA 01581

Fax: (508) 599-1788

Questions? Call us at 1-800-441-7762, Monday through Friday between 8:00 AM and 6:00 PM ET or visit us online at www.blackrock.com.

1. Current Account Information

First, please tell us about the account(s) that you wish to request the transfer or redemption from:

Full name of account owner ____________________

Contact telephone number ____________________

Social Security Number ____________________

BlackRock account number(s) ____________________

Reference number (if applicable) ____________________

2. Transfer / Rollover Instructions

In this section, tell us about the type of retirement account at your current custodian, which type of account you will transfer / rollover into at BlackRock, and how you want the funds invested at BlackRock.

This will be a (check one to indicate TOA or Direct Rollover):

- ☐ IRA Transfer of Assets (like accounts)
- ☐ Direct Rollover from a Qualified Plan to a IRA
- ☐ Direct Rollover from a 403(b) or 457 to an IRA
- ☐ Direct Rollover from a Qualified Plan to a Roth IRA Conversion

I am requesting a Transfer or Direct Rollover from the following type of plan:

- ☐ Traditional IRA
- ☐ Rollover IRA
- ☐ SEP IRA
- ☐ Roth IRA
- ☐ Qualified Plan
- ☐ 403(b)
- ☐ 457
- ☐ SIMPLE IRA

I will be transferring into this type of account at BlackRock:

- ☐ Traditional IRA
- ☐ Rollover IRA
- ☐ SEP IRA
- ☐ Roth IRA
- ☐ SIMPLE IRA
- ☐ SEP IRA that is being transferred into a Traditional IRA
- ☐ SIMPLE IRA (after the required 2-year holding period) that is being transferred into a Traditional IRA

3. Investment Instructions

Please tell us about your transfer or rollover (check all that apply):

☐ I am opening a new IRA and have attached the required IRA Application.

☐ Please deposit the proceeds into my existing BlackRock IRA, account number ______________________________

Please invest the proceeds as follows:

☐ Please allocate using the attached IRA Application. (For existing accounts, complete the following below.)

***The minimum investment is $1,000.00 per fund (and may be lowered to $50.00 if you are making additional investments with an Automatic Investment Plan). To avoid delays, please indicate the estimated total amount of the transfer below.**

Or tell us here how you wish to have your investment **allocated:**

Fund Name	Share Class A	C	K*	Inst*	Investment Amount	
______________________________	☐	☐	☐	☐	$ ____________________	or ______ %
______________________________	☐	☐	☐	☐	$ ____________________	or ______ %
______________________________	☐	☐	☐	☐	$ ____________________	or ______ %
______________________________	☐	☐	☐	☐	$ ____________________	or ______ %
______________________________	☐	☐	☐	☐	$ ____________________	or ______ %
Total Amount to invest:					$ ____________________ *(Must include an estimated amount)*	= 100 %

***For Institutional & Class K Shares:** In IRAs, these classes are generally for a transfer-in-kind of the same shares of BlackRock funds. Please review the Fund's prospectus for minimum investment requirements and eligibility (Class K Shares are not available on every fund). If you select Institutional or Class K Shares and do not qualify, your investment may be rejected.

4a. Current Custodian Information & Instructions

______________________________ Name of current custodian

______________________________ Contact telephone number

__________ PO Box # / Street #

______________________________ Street name

______________________________ City

______ State

__________ Zip Code

4b. Please select either “liquidate” or “transfer in-kind” below:

Liquidate and transfer: ☐ Entire Account ☐ Partial Amount:____________ (dollar amount) ____________ (# of shares) or ________ (percentage)

____________________ Account number at current custodian

OR

____________________ All IRA accounts under this social security number

Or Transfer In-Kind:

☐ Entire Account ☐ Partial Amount:____________ (dollar amount) ____________ (# of shares) or ________ (percentage) ☐ Please transfer any/all cash in the listed account

____________________ Account number at current custodian

____________________ Account number at current custodian

For Certificates of Deposit:

☐ Immediately ☐ Upon maturity (submit 30 days prior)

____________________ Maturity date (mm/dd/yyyy)

5. Participant Authorization

I acknowledge that:

- I authorize the transfer of assets or direct rollover as noted above to my BlackRock IRA and authorize my current custodian, BlackRock Funds and BNY Mellon Investment Servicing Trust Company, to process this request on my behalf.
- I am of legal age and have read the Fund’s prospectus, and herby certify that the person list below has the authority to make this authorization.
- I understand it is my responsibility to ensure the prompt transfer of assets or direct rollover by the current custodian.
- I have read and understand all information on this form and hereby provide the applicable authorization.
- I understand it is my responsibility to ensure the prompt transfer of assets or direct rollover by the current custodian.
- If your signature requires a medallion signature guarantee or notary stamp, it can be placed anywhere near the signature or in the box provided. Please refer to the instructions for this form or call our team with any questions prior to submitting this form.
- I have read and understand all information on this form and hereby provide the applicable authorization.

____________________ Signature of beneficiary / trustee / guardian /custodian etc.

____________ Title / Capacity (if any)

____________ Date(mm/dd/yyyy)

Place any notary seal or Medallion Signature Guarantee stamp here

Questions? Call us at **1-800-441-7762**, or visit us online at www.blackrock.com.

Not FDIC Insured | May Lose Value | No Bank Guarantee

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