

You can fund your BlackRock CollegeAdvantage Account with assets from another Qualified Tuition Program, a UTMA/UGMA, Coverdell Education Savings Account or qualified U.S. Savings Bond or even by transferring assets from within the CollegeAdvantage Plan. Please note: you must include this form along with a New Account Application to establish a BlackRock CollegeAdvantage Account.

An Account funded with UTMA/UGMA proceeds will be subject to the applicable UTMA or UGMA. This means that the custodial beneficiary on the UTMA/UGMA account must be named as Beneficiary, and the Custodian must be the Account Owner. A special registration will be established so that the Account's Beneficiary cannot be changed and the Account Owner can be changed only when the UTMA/UGMA Custodian has changed or when the custodial beneficiary attains the age for distribution under the UTMA/UGMA.

Direct Rollovers from another qualified advisor-sold plan and direct transfers within the CollegeAdvantage program may qualify for a waiver of the initial sales charge, but require prior Plan approval and documentation that a sales charge was incurred on the original account. A sales charge will apply if documentation is not provided at the time of purchase.

Please consult your financial professional and/or the Plan's Program Description for more information on Rollovers and Direct Transfers.

### Instructions on Completing This Form

- ▶ Complete Section 1 with information on the BlackRock CollegeAdvantage Account (if establishing a new Account, you do not need the Account Number).
- ▶ If you are requesting a Direct Rollover from another Qualified Tuition Program, UTMA/UGMA, Coverdell Education Savings Account or qualified U.S. Savings Bond, please complete Section 2A.
- ▶ If you are including a check (from an Indirect Rollover), please complete Section 2B and provide the requested documentation.
- ▶ If you are requesting a Direct Transfer from another CollegeAdvantage Program account, please complete Section 2C.
- ▶ Sign in Section 3 (please note: the current custodian may require your signature to be medallion guaranteed, and if there is a Joint Owner listed, their signature may also be required).

Instructions to Current Custodian: Please make your check payable to "BlackRock CollegeAdvantage" and include the name of the current Owner and Beneficiary (in Section 1) and mail the check to one of the addresses listed below.

Attach documentation showing the principal and earnings portions of the rollover contribution. If no documentation is attached, CollegeAdvantage must treat the entire amount of the transfer/rollover contribution as earnings. Documentation requirements:

From another Qualified Tuition Program—a statement issued by the distributing 529 Program that shows the earnings portion of the distribution.

From a Coverdell Education Savings or another CollegeAdvantage Program Account—an account statement issued by the financial institution that acted as trustee or custodian of the Education Savings Account that shows basis and earnings in the account.

From the redemption of qualified U.S. Savings Bonds under Section 135 (c)(2)(C) of the Internal Revenue Code—an account statement or Form 1099-INT issued by the financial institution that redeemed the bonds showing the interest portion of the bonds.

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Send this application, along with any other required documents, to:

**Regular mail**

BlackRock CollegeAdvantage 529  
P.O. Box 9783  
Providence, RI 02940

**Overnight mail**

BlackRock CollegeAdvantage 529  
4400 Computer Dr.  
Westborough, MA 01581

## Section 1: BlackRock CollegeAdvantage Account Information

\_\_\_\_\_  
First Name of Account Owner, Custodian, Guardian or Trustee      M.I.      Last Name

\_\_\_\_\_  
First Name of the Beneficiary      M.I.      Last Name of the Beneficiary

\_\_\_\_\_  
BlackRock 529 CollegeAdvantage Account Number or Social Security Number      Contact Telephone Number

## Section 2: Rollover/Transfer Information

### A. Request a Direct Rollover from another 529 Plan Account, ESA, etc.

You may transfer amounts invested in an account in the Direct CollegeAdvantage Plan to a Plan Account with the same Beneficiary. Please be aware: a transfer will be treated as a nontaxable (for federal income tax purposes) investment reallocation of Account assets, which is allowable only once per calendar year.

\_\_\_\_\_  
OTTA (Current 529 Plan Custodian)

\_\_\_\_\_  
Street Number/P.O. Box      Street Name

\_\_\_\_\_  
City      State      ZIP Code

\_\_\_\_\_  
Contact Telephone Number      Account Number at Current Custodian

Qualified Tuition Program

529 UGMA/UTMA (established under \_\_\_\_\_ state rules)

UGMA/UTMA (established under \_\_\_\_\_ state rules)

\_\_\_\_\_  
First Name of the Beneficiary of this Account      M.I.      Last Name

If the Designated Beneficiary of the Account above is different from the Designated Beneficiary of the BlackRock 529 Account, please indicate the relationship

Entire Account      Partial Amount of \$ \_\_\_\_\_

### B. A Rollover Check is Included with this Form

This CollegeAdvantage Account is being funded from a rollover contribution, and a check (payable to "BlackRock 529 Savings Plan") is included with this form. Please see attached documentation for required information regarding the contribution.

Indicate the source of the rollover contribution:

Qualified Tuition Program      Coverdell Education Savings      Qualified U.S. Savings Bond      529 Plan Account

UGMA/UTMA (established under \_\_\_\_\_ state rules)

529 UGMA/UTMA (established under \_\_\_\_\_ state rules)

\$ \_\_\_\_\_      \$ \_\_\_\_\_  
Dollar Amount Attributable to Contributions      Dollar Amount Attributable Earnings, You May Indicate Negative Earnings in

\$ \_\_\_\_\_  
Check Total

### C. Request a Direct Transfer from another CollegeAdvantage Program Account

I hereby request a rollover of assets from another 529 Plan, Coverdell Education Savings Account or qualified US Savings Bond to the BlackRock CollegeAdvantage Plan. A rollover between 529 plans can only be made once in a 12 month period without changing the designated beneficiary (unless the transfer is to a Member of the Family of the original Beneficiary).

\_\_\_\_\_  
Name of Current 529 Plan or Custodian

\_\_\_\_\_  
Street Number/P.O. Box      Street Name

\_\_\_\_\_  
City      State      ZIP Code

\_\_\_\_\_  
Contact Telephone Number      Account Number at Current Custodian

- Qualified Tuition Program       Coverdell Education Savings       Qualified U.S. Savings Bond      529 Plan Account  
 UGMA/UTMA (established under \_\_\_\_\_ state rules)       529 UGMA/UTMA (established under \_\_\_\_\_ state rules)

\_\_\_\_\_  
First Name of the Beneficiary of this Account      M.I.      Last Name

If the Designated Beneficiary of the Account above is different from the Designated Beneficiary of the BlackRock 529 Account, please indicate the relationship

- Entire Account       Partial Amount of \$ \_\_\_\_\_

This is a Direct Transfer from another advisor-sold College Savings Plan Account, and

qualifies for the initial sales charge waiver (approval required).

- DOES NOT qualify for the initial sales charge waiver.

### Section 3: Signature(s) of Account Owner(s) (Required)

I am submitting this form to request the rollover indicated and authorize BlackRock 529 CollegeAdvantage to process this Direct Rollover/Direct Transfer request on my behalf, and/or to certify the above information regarding the attached check(s) to be true, complete and correct. I have received, read, and agree to the terms of the Program Description and Participation Agreement.

\_\_\_\_\_  
Signature of Account Owner, Guardian, Trustee

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date (Month/Day/Year)

\_\_\_\_\_  
Signature of Joint Account Owner, Guardian, Trustee at Current Custodian (if any)

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date (Month/Day/Year)

If required by the current custodian:

Medallion Guarantee:  
  
(Please place medallion guarantee stamp here)  
  
A medallion guarantee may NOT be obtained through a notary public.

A medallion signature guarantee is a stamp affixed by an authorized financial institution next to the signature. The stamp verifies the authenticity of the signature and guarantees that the person signing the request is the actual person (or a legally authorized representative) with the legal authority and capacity to do so. The financial institution not only verifies the signature, but also assumes the financial risk associated with providing the medallion guarantee, in case of fraud. The medallion guarantee program benefits share-owners because it adds a layer of protection to help prevent an unauthorized party from accessing their account. Medallion signature guarantees may be obtained from banks, broker-dealers, national securities exchanges, and savings associations.



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**FOR MORE INFORMATION: Call 866-529-8582 or visit [blackrock.com/collegeadvantage](https://blackrock.com/collegeadvantage)**

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