IRA Transfer of Assets / Direct Rollover Form

Helpful to Know

Use this form to request an IRA transfer of assets ("TOA") or a Direct Rollover from an existing retirement plan to your IRA at BlackRock.

- Your current custodian may require additional documentation or a stamp, such as a medallion guarantee stamp. You should check with your current custodian for any requirements prior to submitting this form to BlackRock.
- If you are over the age of 70 ½, you are responsible for any required minimum distributions ("RMD") from your current retirement account.
- You should not use this form to convert qualified rollover distributions to a Roth IRA—contact your plan administrator for instructions.
- **Avoid delays! Checking with the current custodian, attaching a copy of your most recent statement and verifying you have the correct information on this form will ensure a speedier transfer.**

A **Transfer of Assets** ("TOA") is moving retirement assets between the same plan type at different custodians, i.e. Roth IRA to Roth IRA, Traditional IRA to Traditional, IRA, etc. However, you are also allowed to do a TOA from a SEP IRA, or a SIMPLE IRA (after the required 2-year holding period for SIMPLEs) to a Traditional IRA.

A **Direct Rollover** is moving retirement assets between different plan types, i.e. a qualified plan (401k, 403b, 457, etc.) to a Rollover IRA. **NOTICE:** If this contribution is a direct rollover from a qualified plan, 403(b), or 457 plan, by signing page 3 of this form, you are acknowledging that the direct rollover contribution is an irrevocable election and is no longer eligible for special tax treatment which may be accorded to distributions from a qualified plan, 403(b), or 457 plan. You should contact your current plan administrator or custodian prior to completing this form to ensure that you have received and completed any in-house forms that they may require. Direct rollovers from a qualified plan to an IRA can only be in the form of cash.

NOTE: Beginning January 1, 2015, the IRS will only allow an IRA participant **one** indirect (60-day) IRA-to-IRA rollover within any 12-month or 365-day period. As an alternative, a participant can make an unlimited number of trustee-to-trustee transfers where the proceeds are delivered directly to the receiving financial institution, successor custodian or trustee.

How to Use this Form

Upon receipt of this completed form and a copy of your current custodian's account statement, BlackRock and BNY Mellon Investment Servicing & Trust Company (as IRA custodian) will initiate the transfer / direct rollover for you. We will send this completed and signed form, along with a letter of acceptance, to the current custodian.

- Complete **Section 1** to tell us about your BlackRock IRA.
- **Section 2** will help us to ensure the correct transaction (Transfer of Assets or Direct Rollover), as well as the correct plan type to plan type.
- Once we receive the assets from your current custodian, **Section 4** will instruct us on how to invest the funds. For new accounts, we can use the allocation provided on your BlackRock IRA Application (which should be included).
- Complete **Section 5 (Current Custodian Information & Authorization)** to instruct your current custodian on what to process. Please take extra care in this section, to avoid any unnecessary delays.

Checklist:

- The registered shareholder (or, if the Owner is a minor, their listed custodian / responsible individual) must sign this form
- Include the most recent statement from the current custodian
- Check with the current custodian to ensure no other documents or forms are required

Send this completed and signed form to:

Regular mail
BlackRock Funds
P.O. Box 9819
Providence, RI 02940

Overnight mail
BlackRock Funds
4400 Computer Drive
Westborough, MA 01581

Questions? Call us at **1-800-441-7762**, Monday through Friday between 8:00 AM and 6:00 PM EST or visit our website at www.blackrock.com for more information on **Planning for Retirement**.

BlackRock®

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1: Current Account Information

Please complete this section about your BlackRock account(s):

First name of IRA account owner ______ M.I. ______ Last name ______

BlackRock Account number (if existing, otherwise write “New”) ______

Date of birth (month/day/year) ______

Home telephone ______

Business telephone ______

2. Transfer / Rollover Instructions

In this section, tell us about the type of retirement account at your current custodian, which type of account you will transfer / rollover into at BlackRock, and how you want the funds invested at BlackRock.

This will be a (check one to indicate TOA or Direct Rollover):

☐ IRA Transfer of Assets (like accounts) ☐ Direct Rollover from a Qualified Plan to an IRA ☐ Direct Rollover from a 403(b) or 457 to an IRA

I am requesting a Transfer or Direct Rollover *from* the following type of plan:

☐ Traditional/Rollover IRA ☐ Roth IRA ☐ SEP IRA ☐ 403(b) ☐ 457 ☐ Qualified Plan

I will be transferring *into* this type of account at BlackRock:

☐ Traditional IRA ☐ Rollover IRA ☐ SEP IRA ☐ Roth IRA ☐ SIMPLE IRA

☐ SEP IRA that is being transferred **into a Traditional IRA**

☐ SIMPLE IRA (after the required 2-year holding period) that is being transferred **into a Traditional IRA**

3. Investment Instructions

Please tell us about your transfer or rollover (check all that apply):

☐ I am opening a new IRA and have attached the required IRA Application.

☐ Please deposit the proceeds into my existing BlackRock IRA, account number ______

Please invest the proceeds as follows:

☐ Please allocate using the attached IRA Application. (For existing accounts, complete the following)

The minimum investment is $1,000.00 per fund (and may be lowered to $50.00 if you are making additional investments with an Automatic Investment Plan). To avoid delays, please indicate the estimated total amount of the transfer below.

Fund Name	Investment Amount	Percentage
______	$ ______	0%
______	$ ______	0%
______	$ ______	0%
______	$ ______	0%
Total amount to invest:	$ 0.00	**= 100%**

4. Current Custodian Information & Instructions

Name of Current Custodian

Contact telephone number

PO Box/Street number

Street name

City

State

ZIP Code

First name of IRA account owner

M.I.

Last name

Please select EITHER "liquidate" or "transfer in-kind" below:

Liquidate and transfer: ☐ Entire Account ☐ Partial Amount: ________ (dollar amount) ________ (# of shares) or 0% (%)

Account number at current custodian

OR

ALL IRA accounts (of the type checked above) under this Social Security number

OR Transfer In-Kind:

☐ Entire Account ☐ Partial Amount: ________ (dollar amount) ________ (# of shares) or 0% (%) ☐ Also transfer any/all cash in the listed account

Account number at current custodian

OR

ALL IRA accounts (of the type checked above) under this Social Security number

Account number at current custodian

For Certificates of Deposit:

☐ Immediately ☐ Upon maturity (submit 30 days prior)

Maturity date (month/day/year)

5. Participant Authorization

I authorize the transfer of assets or direct rollover as noted above to my BlackRock IRA and authorize my current custodian, BlackRock Funds and BNY Mellon Investment Servicing Trust Company, to process this request on my behalf. I am of legal age and have read the Fund's prospectus, and herby certify that the person list below has the authority to make this authorization.

I understand it is my responsibility to ensure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

Signature of IRA Owner

Date (month/day/year)

Medallion guarantee:

(Please place medallion guarantee stamp here)

A medallion guarantee may NOT be obtained through a notary public.

(If required by the current custodian)

Lit. No. IRA-APP-TOA-0815 F5558-0815

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