

## **IMPORTANT:**

**THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION. IF IN DOUBT, PLEASE SEEK PROFESSIONAL ADVICE.**

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*Investments involve risks, including the loss of principal. You are advised to consider your investment objectives and circumstances in determining the suitability of an investment in the Trust. An investment in the Trust may not be suitable for everyone.*

*SFC authorisation is not a recommendation or endorsement of a scheme nor does it guarantee the commercial merits of a scheme or its performance. It does not mean the scheme is suitable for all investors nor is it an endorsement of its suitability for any particular investor or class of investors.*

# **iShares Asia Trust**

*a Hong Kong unit trust authorized under  
Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong*

**iShares Core CSI 300 ETF (the “CSI 300 ETF”)  
(HKD Counter Stock Code: 02846)  
(RMB Counter Stock Code: 82846)  
(USD Counter Stock Code: 09846)**

**iShares Core Hang Seng Index ETF (the “HSI ETF”)  
(HKD Counter Stock Code: 03115)  
(RMB Counter Stock Code: 83115)  
(USD Counter Stock Code: 09115)**

**iShares Hang Seng TECH ETF (the “HST ETF”)  
(HKD Counter Stock Code: 03067)  
(USD Counter Stock Code: 09067)**

**sub-funds of the iShares Asia Trust (the “Trust”)  
(each a “Sub-Fund” and collectively, the “Sub-Funds”)**

## **Announcement**

BlackRock Asset Management North Asia Limited (the “**Manager**”) hereby announces the following in respect of the Sub-Funds.

Reference is made to the Announcements published by the Manager on (i) 8 January 2021 and 29 January 2021 in respect of the CSI 300 ETF and the HSI ETF and (ii) 29 January 2021 in respect of the HST ETF in relation to the Executive Order issued on 12 November 2020 and titled “Addressing the Threat from Securities Investments that Finance Communist Chinese Military Companies” (the “**Previous Executive Order**”) and its implications to the Sub-Funds and Unitholders of the Sub-Funds (the “**Previous Announcements**”). Unless specified otherwise, capitalised terms have the same meaning as stated in the Prospectus of each Sub-Fund and the Previous Announcements.

As announced in the Previous Announcements, the Sub-Funds ceased to make any new investments in entities that were identified as sanctioned entities under the Previous Executive Order (each a “**Sanctioned Entity**”), with effect from the relevant effective date on which such Sanctioned Entities became sanctioned.

On 3 June 2021, the United States President signed a new executive order “Addressing the Threat from Securities Investments that Finance Certain Companies of the People's Republic of China” (the “**Amended Executive Order**”), which amended the Previous Executive Order. The Amended Executive Order prohibits the purchase or sale by U.S. persons of any publicly traded securities, or any publicly traded securities that are derivative of such securities or are designed to provide investment exposure to such securities, of any person listed in the annex to the Amended Executive Order, or of any person determined by the United States Secretary of the Treasury, in consultation with the United States Secretary of State, and, as appropriate, the United States Secretary of Defense:

- (i) to operate or have operated in the defense and related materiel sector or the surveillance technology sector of the economy of the People’s Republic of China; or
- (ii) to own or control, or to be owned or controlled by, directly or indirectly, a person who operates or has operated in any sector described in (i) above, or a person who is listed in the annex to the Amended Executive Order or who has otherwise been determined to be subject to the prohibitions in Amended Executive Order.

Pursuant to the Amended Executive Order, the list of Sanctioned Entities under the Previous Executive Order has been revoked with effect from 3 June 2021. To implement the Amended Executive Order, The Office of Foreign Assets Control (the “**OFAC**”) of the US Department of the Treasury has published a list on its website containing the names of entities identified in or pursuant to the Amended Executive Order, titled the Non-SDN Chinese Military-Industrial Complex Companies List (the “**NS-CMIC List**”). The prohibitions under the Amended Executive Order with respect to the entities in the NS-CMIC List will take effect on 2 August 2021.

In light of the Amended Executive Order, each Sub-Fund has resumed investments in the Sanctioned Entities that are constituents of its Underlying Index with effect from the date of this Announcement.

The Manager will continue to assess the impact of the Amended Executive Order on the Sub-Funds (if any), and will issue a further announcement to investors in due course.

For the avoidance of doubt, there is no change to the investment objective and strategy as disclosed in the Prospectus of each Sub-Fund. As disclosed in the Prospectus, each Sub-Fund’s investment objective is to provide investment results that, before fees and expenses, closely correspond to the performance of its respective Underlying Index. The Manager will seek to achieve this investment objective by investing primarily in securities included in the relevant Underlying Index.

Investors should consider whether the Sub-Funds are an appropriate investment, in particular based on their interpretation and applicability of the Amended Executive Order. If in doubt, please seek professional advice.

Investors should exercise caution before making any investment decisions.

If you have any questions concerning this announcement, please contact us on +852 3903 2823 or at 16th Floor Champion Tower, 3 Garden Road, Central, Hong Kong.

**BlackRock Asset Management North Asia Limited**  
**貝萊德資產管理北亞有限公司**  
**as Manager of the Trust and the Sub-Funds**  
**Hong Kong, 8 June 2021**