

Investment Stewardship Global Engagement Summary Report

Q1 2020

802

Total
company
engagements

688

Individual
companies
engaged

83

Companies
engaged
multiple times

Engagements by region

America : **399** | Europe, Middle East, and Africa (EMEA) : **134** | Asia-Pacific : **269**

BlackRock Investment Stewardship (BIS) views engagement as a key mechanism for providing feedback or signaling concerns to companies about factors that affect long-term performance. We define an engagement as a meeting between BIS and a company where meaningful dialogue occurred.

The table below summarizes our global company engagement activity from 1 January 2020 to 31 March 2020. The data is broken down by region and sector, and includes the specific topics discussed with each company engaged. We will update this report quarterly to show a rolling year of engagements, providing transparency into the range of topics we discuss with the companies in which we invest on behalf of our clients.

Points of note:

- Company engagements may cover multiple topics within a single meeting and are focused on our [engagement priorities](#)
- Sectors are defined by the Global Industry Classification Standard (GICS) sector level one breakdown. GICS is a standardized classification system used to sort business entities by sector and industry groups

Americas Engagements

Company Name	Sector	Total Number of Engagements	G - Board Composition & Effectiveness	G - Business Oversight/Risk Management	G - Executive Management	G - Corporate Strategy	G - Governance Structure	G - Remuneration	E - Climate Risk Management	E - Environmental Impact Management	E - Operational Sustainability	S - Human Capital Management	S - Social Risks and Opportunities	Last Engagement Date
1ST UNITED CORP	Financials	1	0	0	0	1	0	0	0	0	0	0	0	16-Mar-20
3M CO	Industrials	1	0	0	1	1	0	0	0	0	1	0	1	10-Feb-20
ACADIA REALTY TRUST	Real Estate	1	1	0	0	1	1	0	0	0	0	0	0	19-Feb-20
ACTIVISION BLIZZARD INC	Communication Services	1	0	1	0	1	0	1	0	1	1	1	1	15-Jan-20
ACUITY BRANDS INC.	Industrials	1	1	0	0	0	0	0	0	0	0	0	0	07-Jan-20
AECOM	Industrials	1	1	0	0	0	0	0	0	1	1	0	0	04-Mar-20
AES GENER SA	Utilities	1	0	0	0	1	0	0	0	0	0	0	0	05-Mar-20
AFFILIATED MANAGERS GRP INC.	Financials	1	0	0	0	1	0	1	1	1	1	0	0	09-Jan-20
AIR LEASE CORPORATION	Industrials	1	0	1	0	0	0	0	0	0	1	0	1	24-Feb-20
ALEXION PHARMACEUTICALS INC	Health Care	1	0	0	0	1	0	0	0	0	1	0	0	25-Feb-20
ALLSTATE CORP	Financials	1	1	1	0	1	0	1	1	1	1	0	1	25-Jan-20
ALMACENES EXITO SA	Consumer Staples	1	1	0	0	0	1	0	0	0	1	0	0	05-Mar-20
ALTRIA GROUP INC	Consumer Staples	2	0	1	0	2	0	2	0	0	1	0	1	03-Feb-20
AMAG PHARMACEUTICALS INC	Health Care	1	0	1	0	1	0	0	0	0	0	1	0	29-Jan-20
AMERICAN EAGLE OUTFITTERS INC.	Consumer Discretionary	1	0	0	0	0	1	1	1	1	1	1	0	05-Feb-20
AMERICAN ELECTRIC POWER COMPANY INC.	Utilities	1	1	1	0	1	0	1	1	0	1	0	0	23-Mar-20
AMERICAN EXPRESS COMPANY	Financials	1	0	0	0	0	0	0	1	1	1	1	1	28-Jan-20
AMERIPRISE FINANCIAL INC	Financials	1	0	0	0	0	0	1	0	0	0	0	0	30-Jan-20
AMGEN INC	Health Care	1	1	0	0	0	0	1	0	0	1	1	0	02-Mar-20
APARTMENT INVEST & MGMT CO	Real Estate	1	0	0	0	0	0	1	1	0	0	0	0	14-Jan-20
APPLE INC	Information Technology	1	1	0	0	0	0	0	1	0	1	0	0	07-Feb-20
ARAMARK	Consumer Discretionary	1	1	0	0	1	1	1	1	1	1	1	0	21-Jan-20
ARCA CONTINENTAL SAB DE CV	Consumer Staples	1	1	1	1	1	1	1	1	1	1	1	1	20-Mar-20
ARCH CAPITAL GRP LTD.	Financials	1	1	0	0	0	1	1	1	1	1	1	1	20-Mar-20
ARCHER DANIELS MIDLAND CO	Consumer Staples	1	1	1	0	1	0	1	1	1	1	1	0	10-Jan-20
ARGO GROUP INTERNATIONAL HOLDINGS LTD	Financials	1	1	1	0	1	1	1	0	0	0	0	0	10-Jan-20
ARROW ELECTRONICS INC	Information Technology	1	1	0	0	0	0	0	1	0	1	1	0	24-Feb-20
ARTHUR J GALLAGHER & CO	Financials	1	1	0	0	1	0	0	1	1	1	1	1	13-Feb-20
ASSURED GUARANTY LTD	Financials	1	0	1	0	0	0	1	1	1	1	1	1	06-Feb-20
ATHENE HOLDING LTD	Financials	1	0	0	0	1	1	0	0	0	0	0	0	07-Feb-20
ATLAS AIR WORLDWIDE HLDGS INC	Industrials	1	0	0	0	1	0	0	1	1	1	1	0	15-Jan-20
ATMOS ENERGY CORP	Utilities	1	0	0	0	0	0	0	1	0	0	0	0	04-Feb-20
AUTOMATIC DATA PROCESSING INC	Information Technology	1	0	0	0	1	0	0	0	0	0	0	0	10-Feb-20
AUTOZONE INC	Consumer Discretionary	2	1	1	0	0	0	1	1	1	1	0	0	06-Mar-20
AVANGRID INC	Utilities	1	1	0	0	1	0	0	1	0	0	0	0	06-Feb-20
AVISTA CORP	Utilities	1	1	0	0	1	0	0	1	0	0	1	0	17-Jan-20
AVNET INC	Information Technology	1	1	0	0	0	0	0	1	0	1	1	0	22-Jan-20
AXCELIS TECHNOLOGIES CCS CORP	Information Technology	1	1	0	0	0	0	0	1	0	1	0	0	28-Jan-20
AYTU BIOSCIENCE INC	Health Care	3	2	0	0	2	0	2	0	0	0	0	0	30-Mar-20
BALL CORP	Materials	1	1	1	0	0	0	0	0	0	0	0	0	10-Jan-20
BANCO DO BRASIL SA	Financials	1	1	0	0	0	0	1	0	0	1	0	0	18-Feb-20
BANCOLOMBIA SA	Financials	3	3	3	0	3	3	3	2	3	2	3	2	10-Jan-20
BANK OF MARIN BANCORP	Financials	1	1	0	0	0	1	1	0	0	0	0	0	28-Jan-20
BANK OF MONTREAL	Financials	1	0	1	0	1	0	0	1	0	1	1	0	21-Mar-20
BANK OF NOVA SCOTIA	Financials	1	0	0	0	0	0	0	1	1	1	0	1	25-Mar-20
BIG LOTS INC.	Consumer Discretionary	1	1	1	0	0	0	1	0	1	1	1	0	22-Jan-20
BOOZ ALLEN HAMILTON HOLDING CORP	Information Technology	1	0	0	0	0	0	0	1	1	1	0	0	24-Jan-20
BOX INC	Information Technology	1	1	0	0	1	1	0	0	0	0	0	0	12-Feb-20

Americas Engagements

Company Name	Sector	Total Number of Engagements	G - Board Composition & Effectiveness	G - Business Oversight/Risk Management	G - Executive Management	G - Corporate Strategy	G - Governance Structure	G - Remuneration	E - Climate Risk Management	E - Environmental Impact Management	E - Operational Sustainability	S - Human Capital Management	S - Social Risks and Opportunities	Last Engagement Date
BR MALLS PARTICIPACOES SA	Real Estate	3	2	2	2	2	2	0	1	3	1	2	2	11-Mar-20
BRF SA	Consumer Staples	3	3	3	3	3	3	3	2	3	2	3	2	11-Feb-20
BRISTOL-MYERS SQUIBB CO	Health Care	1	1	0	0	1	0	1	0	0	0	1	0	15-Jan-20
BROADCOM INC	Information Technology	1	1	1	0	0	0	1	0	0	1	0	0	19-Mar-20
BROOKS AUTOMATION INC	Information Technology	1	0	1	0	0	1	0	1	0	1	1	0	24-Feb-20
BWX TECHNOLOGIES INC	Industrials	1	0	0	0	0	1	0	1	1	0	0	0	04-Feb-20
CADENCE BANCORPORATION	Financials	1	0	0	0	0	1	1	0	0	0	0	0	13-Mar-20
CALAMP CORP	Information Technology	1	1	0	0	0	0	1	1	0	1	1	0	04-Mar-20
CAPITAL ONE FIN CORP	Financials	1	0	0	0	1	0	0	1	1	1	1	1	18-Feb-20
CBOE GLOBAL MARKETS INC	Financials	1	1	1	0	1	1	1	1	1	1	0	0	25-Jan-20
CCR SA	Industrials	1	0	1	0	0	1	1	0	0	1	1	0	31-Mar-20
CEL-SCI	Health Care	1	1	0	0	0	0	0	0	0	0	0	0	05-Mar-20
CEMEX SAB DE CV	Materials	2	1	0	0	0	0	0	1	2	2	0	2	07-Feb-20
CHARLES SCHWAB CORP	Financials	1	1	0	0	0	0	1	1	1	1	0	0	28-Feb-20
CHASE	Materials	1	1	0	0	0	0	0	0	0	0	0	0	30-Jan-20
CHIPOTLE MEXICAN GRILL INC	Consumer Discretionary	1	1	1	0	1	0	1	1	1	1	1	0	14-Jan-20
CINCINNATI FINL CORP	Financials	1	1	0	0	0	1	1	1	0	1	0	1	06-Jan-20
CIRCOR INTL INC.	Industrials	1	1	0	0	0	1	0	0	0	0	0	0	04-Mar-20
CLEARWAY ENERGY INC	Utilities	1	1	1	0	1	0	0	1	0	0	0	0	14-Feb-20
CME GROUP INC	Financials	1	0	1	0	1	0	1	1	1	1	0	1	23-Jan-20
CMS ENERGY CORP	Utilities	1	1	0	0	1	0	1	1	0	0	0	0	13-Feb-20
CNO FINANCIAL GROUP INC	Financials	1	1	0	0	0	0	1	0	0	0	0	0	26-Jan-20
COCA-COLA CO	Consumer Staples	1	0	1	0	0	0	0	0	0	0	1	1	24-Mar-20
COLGATE-PALMOLIVE CO	Consumer Staples	1	1	0	0	1	0	1	1	1	1	0	0	12-Feb-20
COLONY CAPITAL INC	Real Estate	1	1	0	1	1	0	0	0	0	0	0	0	18-Feb-20
COMCAST CORPORATION	Communication Services	1	0	1	0	0	0	0	1	0	1	1	0	17-Jan-20
COMFORT SYST USA INC	Industrials	1	1	0	0	0	0	0	0	0	0	0	0	10-Mar-20
COMMSCOPE HOLDING CO INC	Information Technology	1	1	0	0	0	0	1	1	0	1	0	0	29-Jan-20
COMPANHIA ENERGETICA DE MINAS GERAIS CEMIG	Utilities	3	1	1	1	3	1	1	1	1	1	1	2	27-Feb-20
CONCENTRADORA FIBRA DANHOS SA DE CV	Real Estate	1	0	0	0	1	0	0	0	0	0	0	0	18-Mar-20
CONCHO RESOURCES INC	Energy	1	1	1	0	1	0	1	1	0	0	0	0	29-Jan-20
CONNS INC	Consumer Discretionary	1	1	0	0	0	0	1	0	1	0	0	0	20-Feb-20
CONOCOPHILLIPS	Energy	1	1	0	0	1	0	0	1	0	0	0	0	10-Mar-20
CORTEVA INC	Materials	1	1	0	0	0	0	1	1	0	1	1	0	09-Jan-20
COSAN SA INDUSTRIA E COMERCIO	Energy	1	1	0	0	1	1	1	1	1	1	1	1	09-Mar-20
COSTCO WHSLE CORP	Consumer Staples	1	1	0	0	0	0	0	0	0	0	0	0	02-Jan-20
CRANE CO	Industrials	1	1	0	0	0	0	0	0	0	0	0	0	31-Mar-20
CREDITO REAL SAB DE CV SOFOM ENR	Financials	1	0	0	0	1	0	0	0	0	0	0	0	19-Mar-20
CVS HEALTH CORP	Health Care	1	0	1	0	0	0	0	1	1	1	0	1	26-Feb-20
CYMABAY THERAPEUTICS INC	Health Care	1	1	0	0	1	0	0	0	0	0	0	0	11-Feb-20
DAVITA INC	Health Care	1	1	0	1	1	0	1	0	0	0	1	0	15-Jan-20
DELL TECHNOLOGIES INC	Information Technology	1	0	0	0	1	1	0	1	0	0	1	1	17-Jan-20
DELTA APPAREL INC	Consumer Discretionary	1	1	0	0	0	0	0	1	1	1	1	0	23-Jan-20
DEVON ENERGY CORPORATION	Energy	1	1	0	0	0	0	1	1	0	0	1	0	19-Mar-20
DIODES INC	Information Technology	1	1	0	0	0	0	0	1	0	1	1	0	14-Jan-20
DOLBY LABS	Information Technology	1	1	0	0	0	1	1	0	0	0	0	0	15-Jan-20
DOMINION ENERGY INC	Utilities	2	2	0	0	2	0	2	2	0	0	2	0	30-Jan-20
DONNELLEY FINANCIAL SOLUTIONS INC	Financials	1	1	0	0	1	1	1	1	1	1	1	0	17-Feb-20

Americas Engagements

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DYNAVAX TECHNOLOGIES CORP	Health Care	1	1	0	0	1	0	1	0	0	0	0	1	28-Jan-20
EAGLE BANCORP INC	Financials	2	0	1	0	1	0	2	0	0	0	1	0	27-Mar-20
EAGLE PHARMACEUTICALS INC	Health Care	1	1	0	0	0	1	0	0	0	0	0	0	28-Feb-20
ECOLAB INC	Materials	1	0	0	0	0	0	0	0	0	1	0	0	23-Jan-20
ECOPETROL SA	Energy	3	2	2	2	3	2	2	2	2	2	2	2	19-Mar-20
ELI LILLY AND COMPANY	Health Care	1	0	1	0	0	0	0	0	0	1	0	1	16-Mar-20
EMBRAER SA	Industrials	2	2	2	2	2	2	2	1	2	2	2	2	10-Feb-20
EMPIRE STATE REALTY TRUST INC	Real Estate	1	0	0	1	1	1	0	0	0	1	0	0	27-Feb-20
ENBRIDGE INC	Energy	1	1	0	0	1	0	0	1	0	1	1	0	19-Feb-20
ENERGIZER HOLDINGS INC	Consumer Staples	2	2	0	0	1	0	0	0	0	0	0	0	16-Jan-20
ENERPAC TOOL GROUP CORP	Industrials	1	1	0	0	0	0	0	0	0	1	0	0	15-Jan-20
ENOVA INTERNATIONAL INC	Financials	1	1	1	0	1	0	1	1	1	1	1	0	11-Feb-20
ENPRO IND INC	Industrials	1	0	0	0	0	0	1	0	1	0	0	0	24-Jan-20
ENZO BIOCHEM, INC.	Health Care	2	1	0	0	2	1	0	0	0	0	1	0	29-Jan-20
EPLUS, INC.	Information Technology	1	1	1	0	0	0	0	0	0	0	0	0	26-Feb-20
EQUATORIAL ENERGIA SA	Utilities	2	2	0	0	1	0	0	0	2	1	2	0	02-Jan-20
EQUITABLE HOLDINGS INC	Financials	1	1	1	0	1	1	1	1	0	1	0	0	27-Jan-20
ESCO TECH INC.	Industrials	1	0	0	0	1	0	0	1	0	1	0	0	13-Feb-20
EVEREST RE GROUP LTD	Financials	1	1	0	0	0	0	0	1	1	1	1	1	12-Feb-20
EVERGY INC	Utilities	1	1	0	0	1	0	0	0	0	0	0	0	25-Feb-20
EVERSOURCE ENERGY	Utilities	1	1	0	0	1	0	0	1	0	0	1	0	19-Mar-20
EXXON MOBIL CORP	Energy	2	2	1	0	1	0	0	2	0	0	0	0	20-Feb-20
FACEBOOK INC	Communication Services	1	1	1	0	0	1	0	1	0	1	0	1	06-Feb-20
FEDEX CORP	Industrials	1	0	0	0	0	0	1	0	0	0	0	0	11-Feb-20
FINANCIAL INSTITUTIONS INC.	Financials	1	0	0	0	1	0	1	1	1	1	0	0	13-Mar-20
FIRST MIDWEST BANCORP INC / IL	Financials	1	0	1	1	1	0	0	1	1	1	1	1	24-Jan-20
FIVE9 INC	Information Technology	1	1	0	0	0	0	1	0	0	0	0	0	13-Feb-20
FLEXION THERAPEUTICS INC	Health Care	1	0	0	0	1	1	0	0	0	1	0	1	26-Feb-20
FLOWERVE CORPORATION	Industrials	1	0	0	0	1	0	0	1	1	0	0	0	28-Jan-20
FMC CORP	Materials	1	0	0	0	1	0	0	0	1	1	1	0	07-Feb-20
FORMFACTOR INC	Information Technology	1	1	0	0	0	1	0	1	0	1	0	0	18-Feb-20
FRANCO NEVADA CORP	Materials	1	1	0	1	0	0	0	0	1	0	0	0	30-Mar-20
FRONTERA ENERGY CORP	Energy	1	0	1	0	0	0	0	1	1	0	0	0	13-Feb-20
GAMESTOP CORP	Consumer Discretionary	1	1	0	1	1	0	0	0	0	0	1	0	18-Mar-20
GCP APPLIED TECHNOLOGIES INC	Materials	1	1	0	1	1	1	0	0	0	0	0	0	26-Mar-20
GENERAL MILLS INC	Consumer Staples	1	1	1	0	1	0	1	1	1	1	0	0	10-Jan-20
GEOSPACE TECHNOLOGIES CORP	Energy	1	1	0	0	0	0	0	0	0	0	0	0	04-Feb-20
G-III APPAREL GROUP LTD	Consumer Discretionary	1	1	0	0	1	0	1	0	1	1	0	0	19-Feb-20
GILEAD SCIENCES INC	Health Care	1	0	1	0	1	0	0	0	0	0	0	1	23-Mar-20
GLAUKOS CORP	Health Care	1	1	0	0	0	1	1	0	1	0	1	0	13-Jan-20
GLOBAL BLOOD THERAPEUTICS INC	Health Care	1	1	0	0	0	1	1	0	0	0	0	1	08-Jan-20
GOLDMAN SACHS GROUP INC/THE	Financials	2	0	1	0	2	0	0	2	2	2	2	1	05-Mar-20
GREENBRIER CO INC	Industrials	1	0	0	1	0	0	1	0	0	0	0	0	07-Jan-20
GRID DYNAMICS HOLDINGS INC	Information Technology	1	0	0	0	0	0	1	0	0	0	0	0	18-Mar-20
GRIFFON CORP	Industrials	1	0	0	0	0	0	1	0	0	0	0	0	06-Feb-20
GROUPON INC	Consumer Discretionary	1	1	1	0	0	1	1	0	0	0	1	0	07-Jan-20
GRUBHUB INC	Consumer Discretionary	1	1	1	0	1	1	0	0	1	1	0	0	24-Feb-20
GRUPO AERO	Industrials	2	2	2	0	2	2	2	2	0	1	2	0	13-Jan-20

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GRUPO DE INVERSIONES SURAMERICANA SA	Financials	3	0	1	1	1	1	1	1	3	2	1	1	14-Jan-20
GRUPO ELEKTRA SAB DE CV	Financials	1	0	0	0	1	0	1	1	0	1	0	0	18-Mar-20
GRUPO FINANCIERO BANORTE SAB DE CV	Financials	1	0	0	0	1	0	0	0	0	0	0	0	05-Feb-20
GRUPO LALA SAB DE CV	Consumer Staples	1	0	0	0	1	0	0	0	0	0	0	0	13-Mar-20
HAEMONETICS CORP	Health Care	1	1	0	0	1	1	1	0	0	0	1	0	13-Jan-20
HAWAIIAN ELEC INDS INC	Utilities	2	2	0	0	1	2	0	2	0	1	0	1	19-Feb-20
HESS CORPORATION	Energy	1	1	0	0	1	0	1	1	0	0	1	0	21-Jan-20
HEWLETT PACKARD ENTERPRISE CO	Information Technology	2	2	0	0	1	0	0	1	1	1	2	0	24-Mar-20
HEXO CORP	Health Care	1	1	1	0	0	0	0	0	0	0	0	0	07-Jan-20
HIGHPOINT RESOURCES CORP	Energy	1	1	0	0	1	1	1	1	0	0	0	0	28-Jan-20
HILTON WORLDWIDE HOLDINGS INC	Consumer Discretionary	1	0	0	0	0	0	0	1	1	1	1	0	30-Jan-20
HP INC	Information Technology	3	1	1	0	3	1	0	0	0	0	1	0	28-Feb-20
HURON CONSUL	Industrials	1	0	0	0	0	0	0	1	1	0	0	0	04-Mar-20
ICHOR HOLDINGS LTD	Information Technology	1	1	0	0	0	0	0	1	0	0	1	0	13-Feb-20
IDACORP INC	Utilities	1	0	0	1	1	0	1	1	0	1	0	0	06-Feb-20
IGUATEMI EMPRESA	Real Estate	1	0	0	0	0	0	1	0	0	0	0	0	30-Mar-20
ILLINOIS TOOL WORKS INC	Industrials	1	0	0	0	0	0	0	1	1	1	0	0	10-Feb-20
IMMUNOGEN INC	Health Care	1	0	0	0	1	0	1	0	0	0	0	0	28-Jan-20
INDUSTRIAS PENOLES SAB DE CV	Materials	1	1	1	1	1	1	1	1	1	1	1	1	30-Jan-20
INMUNE BIO INC	Health Care	1	1	0	0	0	0	0	0	0	0	0	0	17-Mar-20
INPHI CORP	Information Technology	1	0	0	0	0	1	1	0	0	1	0	0	28-Feb-20
INSTRUCTURE INC	Information Technology	1	1	0	0	1	1	0	0	0	0	0	0	11-Feb-20
INTELLIA THERAPEUTICS INC	Health Care	1	1	0	0	0	1	1	0	0	0	0	0	19-Mar-20
INTEVAC INC	Information Technology	1	1	0	0	1	0	1	0	0	0	0	0	08-Jan-20
INTUIT INC.	Information Technology	1	0	0	0	0	1	0	0	0	0	0	0	21-Jan-20
INVACARE CORP	Health Care	1	1	1	0	1	0	0	0	0	1	0	1	19-Mar-20
IQVIA HOLDINGS INC	Health Care	1	0	0	0	0	0	1	0	0	1	0	0	30-Mar-20
IRB BRASIL RESSEGUROS SA	Financials	2	2	2	2	2	2	2	0	2	1	2	0	29-Jan-20
IRIDIUM COMMUNICATIONS INC	Communication Services	1	1	0	0	1	0	1	0	0	0	1	0	09-Mar-20
IVERIC BIO INC	Health Care	1	0	0	0	0	1	1	0	0	1	0	0	04-Feb-20
JB HUNT TRANSPORT SERVICES INC	Industrials	3	0	0	0	0	0	0	3	3	3	0	0	31-Mar-20
JEFFERIES FINANCIAL GROUP INC	Financials	1	0	0	0	1	0	1	0	0	0	0	0	18-Mar-20
JHSF	Real Estate	1	0	0	0	0	1	1	0	0	0	0	0	20-Mar-20
KAMAN CORP	Industrials	1	0	0	0	0	0	1	0	1	1	0	0	23-Mar-20
KEYCORP	Financials	1	1	0	0	0	1	0	1	1	1	0	0	24-Feb-20
KILROY REALTY CORP	Real Estate	1	0	0	0	1	0	1	0	1	0	1	0	07-Feb-20
KINDER MORGAN INC	Energy	1	0	0	0	0	0	1	1	0	1	0	0	26-Feb-20
KINSALE CAPITAL GROUP INC	Financials	1	1	0	0	0	0	0	1	1	1	0	0	18-Mar-20
LANNETT CO INC	Health Care	1	1	0	0	0	0	1	0	0	0	1	1	30-Jan-20
LCI INDUSTRIES	Consumer Discretionary	1	1	1	0	1	0	1	1	1	1	1	0	14-Feb-20
LIBERTY OILFIELD SERVICES INC	Energy	2	0	0	0	0	0	0	2	0	1	0	0	25-Mar-20
LIQUIDITY SERVCS	Consumer Discretionary	1	0	0	0	0	0	1	0	0	0	0	0	13-Feb-20
LKQ CORP	Consumer Discretionary	1	1	1	0	1	0	0	1	1	1	1	1	16-Jan-20
LOCALIZA RNT CAR	Industrials	2	2	2	2	2	2	2	1	2	1	2	2	21-Jan-20
LOMA NEGRA CIASA	Materials	1	0	0	0	1	0	0	0	0	0	0	0	31-Mar-20
LOWES COMPANIES INC	Consumer Discretionary	1	1	1	0	1	1	1	0	1	1	1	0	08-Jan-20
LUMENTUM HOLDINGS INC	Information Technology	1	0	0	0	1	1	1	1	0	1	0	0	14-Feb-20
M DIAS BRANCO SA INDUSTRIA E COMERCIO DE ALIMENTOS	Consumer Staples	1	0	0	0	0	0	1	0	0	1	0	0	20-Mar-20

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Company Name	Sector	Total Number of Engagements	G - Board Composition & Effectiveness	G - Business Oversight/Risk Management	G - Executive Management	G - Corporate Strategy	G - Governance Structure	G - Remuneration	E - Climate Risk Management	E - Environmental Impact Management	E - Operational Sustainability	S - Human Capital Management	S - Social Risks and Opportunities	Last Engagement Date
MACQUARIE INFRASTRUCTURE CORPORA	Industrials	1	0	0	0	1	0	0	0	0	0	0	0	16-Jan-20
MANULIFE FINANCIAL CORP	Financials	1	1	0	0	0	0	1	0	0	0	1	0	15-Jan-20
MARATHON PETROLEUM CORP	Energy	1	0	0	0	0	0	0	1	0	0	0	0	21-Jan-20
MATTEL INC	Consumer Discretionary	1	1	0	0	1	0	1	1	1	1	1	0	12-Mar-20
MATTHEWS INTL CORP	Industrials	1	1	0	0	0	0	1	0	0	1	0	0	06-Jan-20
MAXIMUS INC	Information Technology	1	0	1	0	0	0	0	0	0	0	0	0	11-Mar-20
MCDONALDS CORP	Consumer Discretionary	1	1	1	0	1	0	1	1	1	1	1	0	04-Mar-20
MCKESSON CORP	Health Care	1	0	1	0	0	0	1	0	0	1	1	0	22-Jan-20
MDC HOLDINGS INC	Consumer Discretionary	1	0	0	0	1	0	0	1	1	1	1	0	27-Feb-20
MEDICAL PROP	Real Estate	1	1	0	0	1	0	0	0	0	0	0	0	21-Feb-20
MERCADOLIBRE INC	Consumer Discretionary	1	1	0	0	1	1	1	1	1	1	0	0	25-Feb-20
METHANEX CORP	Materials	1	0	0	0	1	0	0	0	1	0	0	0	24-Feb-20
METLIFE INC	Financials	1	0	0	0	0	0	0	1	1	1	0	0	22-Jan-20
MGE ENERGY INC.	Utilities	1	0	0	0	1	0	0	1	0	0	0	0	19-Mar-20
MGIC INVESTMENT CORPORATION	Financials	1	1	1	0	1	0	1	1	1	1	1	1	20-Feb-20
MIDLAND STATES BANCORP INC	Financials	1	0	0	0	1	0	1	1	1	1	0	0	09-Jan-20
MKS INSTRUMENTS INC	Information Technology	1	1	0	0	0	1	1	1	0	1	1	0	07-Jan-20
MOLSON COORS BEVERAGE CO	Consumer Staples	1	1	1	0	1	1	1	1	1	1	0	0	07-Feb-20
MOMENTA PHARM	Health Care	1	1	0	0	0	0	1	0	0	1	0	1	10-Mar-20
MORGAN STANLEY	Financials	2	0	1	0	2	0	0	1	1	1	1	1	05-Mar-20
MYRIAD GENETICS INC	Health Care	1	1	0	0	0	1	1	0	0	0	0	1	10-Feb-20
NATIONAL BANK HOLDINGS CORP	Financials	1	0	0	0	1	0	1	0	0	0	0	0	09-Jan-20
NATIONAL FUEL GAS CO	Utilities	3	1	0	0	0	2	0	3	0	0	0	0	11-Mar-20
NEKTAR THERAPEUTICS	Health Care	1	1	0	0	0	0	1	0	0	1	0	0	06-Mar-20
NETFLIX INC	Communication Services	1	1	1	0	1	1	0	0	0	1	1	0	09-Jan-20
NETGEAR	Information Technology	1	1	1	0	1	1	0	1	0	1	0	0	27-Feb-20
NEVRO CORP	Health Care	1	1	0	0	1	0	1	0	0	0	0	0	22-Jan-20
NMI HOLDINGS INC	Financials	1	0	0	0	0	0	0	1	1	1	1	1	04-Feb-20
NORTHERN TRUST CORPORATION	Financials	1	0	0	0	1	0	1	1	1	1	0	0	23-Jan-20
NORTHWESTERN CORP	Utilities	1	1	0	0	1	0	0	1	0	1	1	0	27-Mar-20
NOW INC	Industrials	1	1	0	0	0	0	1	0	0	1	0	0	19-Feb-20
NUANCE COMMUNICATIONS INC	Information Technology	1	1	0	0	0	0	0	0	0	0	0	0	19-Jan-20
OCEANEERING INTL INC.	Energy	1	1	0	0	1	0	0	1	1	1	1	1	30-Jan-20
OCWEN FIN CORP	Financials	1	1	1	0	1	0	1	0	0	0	0	0	07-Jan-20
OFFICE DEPOT INC	Consumer Discretionary	1	0	1	0	0	0	0	1	1	1	1	0	04-Mar-20
OGE ENERGY CORPORATION	Utilities	1	1	0	0	1	0	0	1	0	0	1	0	02-Jan-20
OMEGA GERACAO SA	Utilities	2	0	0	0	2	0	0	1	0	1	0	0	04-Feb-20
ONCOSEC MEDICAL INC	Health Care	2	0	0	2	0	0	0	0	0	0	0	0	16-Jan-20
ONEOK INC	Energy	1	1	0	0	1	0	1	1	1	0	1	0	06-Feb-20
ORBIA ADVANCE CORPORATION SAB DE CV	Materials	1	0	0	0	0	1	1	0	1	1	1	0	31-Mar-20
ORGANOVO HOLDINGS INC	Health Care	4	1	2	2	2	0	0	0	0	0	0	0	22-Mar-20
ORRSTOWN FINANCIAL SERVICES INC	Financials	1	0	0	0	1	0	1	0	0	0	0	0	21-Jan-20
OVERSTOCK.COM INC.	Consumer Discretionary	2	0	2	0	2	0	0	0	0	0	0	0	07-Feb-20
PATTERN ENERGY GROUP INC	Utilities	2	0	2	0	1	0	0	0	0	0	0	0	06-Mar-20
PDC ENERGY INC (DELAWARE)	Energy	1	1	0	0	1	0	1	1	1	1	0	1	04-Mar-20
PDL BIOPHARMA INC	Health Care	1	1	0	0	1	0	0	0	0	0	0	0	28-Jan-20
PETROLEO BRASILEIRO SA PETROBRAS	Energy	3	1	0	1	3	1	1	1	1	1	1	2	03-Mar-20
PFIZER INC	Health Care	1	0	1	0	0	0	0	1	0	0	1	0	21-Jan-20

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PHOTRONICS INC	Information Technology	1	1	0	0	0	0	0	0	0	0	0	0	09-Mar-20
PIPER SANDLER COMPANIES	Financials	1	0	0	0	1	0	1	1	1	1	1	0	26-Jan-20
PJT PARTNERS INC	Financials	1	1	0	0	1	0	1	1	1	1	1	1	24-Feb-20
PLUG POWER INC	Industrials	1	1	0	0	0	0	0	1	1	0	0	0	10-Feb-20
PNM RESOURCES INC	Utilities	1	0	0	0	0	0	0	1	0	0	0	0	29-Jan-20
POPULAR INC	Financials	1	0	1	0	1	0	0	0	0	0	0	0	03-Jan-20
POWER FINL CORP	Financials	1	0	1	0	1	1	1	0	0	0	0	0	28-Feb-20
POWER INTEGRATIONS INC	Information Technology	1	1	0	0	1	0	0	1	0	1	0	1	26-Feb-20
PROLOGIS PROPERTY MEXICO SA DE CV	Real Estate	1	0	0	0	1	0	0	0	0	0	0	0	31-Jan-20
PROMIGAS SA ESP	Utilities	1	0	0	0	0	1	0	0	0	0	0	0	11-Mar-20
PROOFPOINT INC	Information Technology	1	1	0	0	0	0	0	0	0	0	0	0	29-Jan-20
PROS	Information Technology	1	0	0	0	0	0	1	0	0	0	0	0	11-Feb-20
PTC INC	Information Technology	1	0	0	0	0	0	1	0	0	0	0	0	06-Feb-20
PUBLIC SERVICE ENTERPRISE GROUP INCORPORATED	Utilities	1	1	0	1	1	0	0	0	0	0	1	0	27-Jan-20
PULTE GROUP INC	Consumer Discretionary	1	1	1	0	1	0	0	1	1	1	1	0	24-Feb-20
QUALCOMM INC	Information Technology	3	0	0	0	0	0	2	1	1	1	0	0	06-Mar-20
QUALICORP CONSULTORIA E CORRETORA DE SEGUROS SA	Health Care	1	0	0	0	1	0	0	0	0	0	0	0	21-Jan-20
QUANTA SERV INC.	Industrials	1	1	0	0	0	0	0	0	1	1	0	1	13-Jan-20
RAIA DROGASIL SA	Consumer Staples	2	2	2	2	2	2	2	1	2	1	2	0	06-Feb-20
RAMBUS INC	Information Technology	1	1	0	0	1	1	0	0	0	0	1	0	19-Feb-20
RAPID7 INC	Information Technology	1	1	0	0	0	0	0	0	0	0	1	0	06-Jan-20
RESIDEO TECHNOLOGIES INC	Industrials	1	1	0	0	1	0	0	1	0	1	0	0	12-Feb-20
RHYTHM PHARMACEUTICALS INC	Health Care	1	1	0	0	0	0	0	0	0	0	0	0	13-Feb-20
RIGNET INC	Energy	1	1	0	1	1	0	0	0	1	0	0	0	17-Jan-20
RIOCAN REAL ESTATE INVESTMENT TR	Real Estate	1	1	0	0	1	1	0	0	0	0	0	0	12-Feb-20
RLI CORP(PRE-REINCORPORATION)	Financials	1	0	0	0	1	0	1	1	1	1	0	0	25-Jan-20
ROGERS CORP	Information Technology	1	0	0	0	1	0	0	1	1	0	0	0	09-Mar-20
RR DONNELLEY & SONS COMPANY	Industrials	1	0	0	0	1	1	0	0	0	0	0	0	23-Mar-20
RUMO SA	Industrials	2	1	0	2	2	2	2	0	2	1	2	2	10-Jan-20
S&P GLOBAL INC	Financials	1	0	1	0	0	0	1	1	1	1	0	0	22-Jan-20
SANDERSON FARMS, INC.	Consumer Staples	1	0	1	0	0	1	0	1	1	1	1	1	07-Feb-20
SAPUTO INC	Consumer Staples	1	1	0	0	0	0	0	1	1	1	0	0	10-Feb-20
SAREPTA THERAPEUTICS INC	Health Care	1	1	0	0	1	0	1	0	0	0	0	1	10-Jan-20
SCANSOURCE, INC.	Information Technology	1	1	0	0	1	0	0	0	0	1	1	0	04-Mar-20
SCHLUMBERGER NV	Energy	1	0	0	0	1	0	1	1	1	0	1	0	25-Feb-20
SERVICE CORPORATION INTERNATIONAL	Consumer Discretionary	1	1	1	0	1	1	1	1	1	1	1	0	30-Mar-20
SIGNET JEWELERS LTD	Consumer Discretionary	1	1	0	0	1	0	1	0	1	1	1	1	04-Feb-20
SIMPLY GOOD FOODS CO	Consumer Staples	1	1	0	0	0	0	0	0	0	0	0	0	16-Jan-20
SIMPSON MFG CO INC	Industrials	2	2	0	0	0	0	0	1	1	0	0	0	30-Mar-20
SIX FLAGS ENTERTAINMENT CORP	Consumer Discretionary	1	1	0	0	1	0	1	0	1	1	1	0	24-Jan-20
SMILES SA	Communication Services	1	0	0	0	1	0	0	0	0	0	0	0	21-Feb-20
SOUTHWESTERN ENERGY CO	Energy	1	1	0	0	0	0	1	1	0	0	0	0	07-Jan-20
SPROUTS FARMERS MARKETS INC	Consumer Staples	1	0	0	0	0	0	0	0	1	1	0	1	02-Mar-20
STANDEX INTL CORP	Industrials	1	1	0	0	0	0	0	0	0	1	0	0	13-Jan-20
STARBUCKS CORP	Consumer Discretionary	1	1	1	0	1	0	1	1	1	1	1	1	05-Mar-20
STARWOOD PROPERTY TRUST INC	Financials	1	1	0	0	0	1	0	0	0	0	0	0	12-Feb-20
SUNSTONE HOTEL	Real Estate	1	1	0	0	0	0	0	0	0	0	0	0	06-Jan-20
SUPERIOR INDUSTRIES INTERNATIONAL INC	Consumer Discretionary	1	1	1	0	1	0	1	1	1	1	1	0	04-Mar-20

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SUZANO PAPEL E CELULOSE SA	Materials	1	1	1	1	1	1	0	1	1	1	1	1	18-Feb-20
SYNAPTICS INC	Information Technology	1	1	0	1	1	0	0	0	0	0	1	0	13-Feb-20
SYNNEX CORP	Information Technology	1	0	0	0	0	0	1	0	0	0	0	0	05-Mar-20
SYNOVUS FINANCIAL CORP.	Financials	1	0	0	0	0	1	0	1	1	1	1	1	18-Feb-20
TAKE-TWO INTERACTIVE SOFTWARE INC.	Communication Services	1	1	1	0	1	0	1	0	1	1	1	0	27-Feb-20
TARGA RESOURCES CORP	Energy	2	0	0	0	2	0	0	2	0	0	2	0	17-Mar-20
TECH DATA CORP	Information Technology	1	0	0	0	0	0	1	0	0	0	0	0	06-Feb-20
TEGNA INC	Communication Services	1	1	1	0	1	0	1	0	1	1	1	1	25-Mar-20
TELEPHONE AND DATA SYSTEMS INC	Communication Services	1	1	0	0	0	1	0	1	1	1	1	0	15-Jan-20
TENNECO INC	Consumer Discretionary	1	1	1	0	1	0	0	0	1	1	1	0	12-Mar-20
TETRA TECH INC.	Energy	1	0	0	0	1	0	1	0	1	0	1	0	21-Jan-20
THERMO FISHER	Health Care	1	1	0	0	0	0	1	1	0	0	0	0	04-Feb-20
TIM PARTICIPACOES SA	Communication Services	1	0	0	0	0	0	1	0	0	1	0	0	27-Mar-20
TORONTO-DOMINION BANK/THE	Financials	1	1	0	0	1	0	1	1	1	1	0	0	31-Jan-20
TPG RE FINANCE TRUST INC	Financials	1	0	0	0	1	0	0	0	0	0	0	0	19-Mar-20
TRANSDIGM GROUP INC	Industrials	1	1	0	0	0	0	0	0	1	1	0	0	18-Mar-20
TRONOX HOLDINGS PLC	Materials	1	0	0	0	0	0	1	0	0	0	0	0	23-Mar-20
TWIST BIOSCIENCE CORP	Health Care	1	1	0	0	0	0	0	0	0	0	0	0	23-Jan-20
TYSON FOODS INC	Consumer Staples	2	1	0	0	0	0	1	0	1	0	0	2	03-Feb-20
ULTRAPAR PARTICIPACOES SA	Energy	2	2	1	2	2	2	2	2	2	2	2	0	09-Mar-20
UNIFIRST CORP	Industrials	1	1	0	0	0	0	0	0	0	0	0	0	13-Jan-20
UNITED CONTINENTAL HOLDINGS INC	Industrials	1	0	0	0	0	0	0	0	0	1	0	0	21-Feb-20
UNITED PARCEL SERVICE INC	Industrials	1	0	0	0	0	0	0	0	0	1	1	0	24-Jan-20
UNITED RENTALS INC	Industrials	1	0	0	0	0	0	0	1	1	1	0	0	04-Mar-20
UNIVERSAL DISPLAY CORP	Information Technology	1	0	0	0	0	0	1	1	0	1	1	0	18-Mar-20
UNUM GROUP	Financials	1	0	0	0	0	0	0	1	1	1	1	1	05-Mar-20
VAALCO ENERGY INC	Energy	1	1	0	0	1	0	1	0	1	0	0	0	13-Mar-20
VALE SA	Materials	2	1	1	1	2	1	1	1	1	1	1	1	02-Mar-20
VALERO ENERGY CORP	Energy	1	1	0	0	1	0	0	1	0	1	1	0	21-Feb-20
VECTOR GRP LTD	Consumer Staples	1	1	0	0	0	1	1	0	0	1	0	0	29-Jan-20
VERA BRADLEY INC	Consumer Discretionary	1	1	1	0	0	1	0	0	1	1	1	0	23-Jan-20
VERSO CORP	Materials	3	3	0	0	2	0	0	0	1	0	0	0	31-Jan-20
VERTEX PHARM INC	Health Care	1	0	0	0	0	0	1	0	0	0	0	0	21-Jan-20
VIACOMCBS INC	Communication Services	1	0	1	0	1	0	1	1	1	1	1	1	21-Jan-20
VICI PROPERTIES INC	Real Estate	1	1	0	0	0	1	1	0	0	0	0	0	13-Jan-20
VISA INC	Information Technology	1	1	0	0	0	0	0	0	0	0	0	0	16-Jan-20
VONAGE HOLDINGS CORP	Communication Services	1	1	1	0	1	0	0	0	0	1	1	0	19-Mar-20
VULCAN MATERIALS COMPANY	Materials	1	1	0	0	0	0	0	0	1	1	1	0	07-Jan-20
WAL MART DE MEXICO SAB DE CV	Consumer Staples	1	0	0	0	0	1	0	0	0	0	0	0	02-Mar-20
WALMART INC	Consumer Staples	1	1	0	0	1	0	1	1	1	1	1	1	10-Jan-20
WALT DISNEY CO	Communication Services	2	2	1	1	1	0	1	0	1	1	1	1	06-Mar-20
WARRIOR MET COAL LLC	Materials	1	1	0	0	0	0	0	0	1	0	0	0	21-Feb-20
WESTERN DIGITAL CORP	Information Technology	1	0	0	0	0	0	0	1	0	1	1	0	23-Jan-20
WILLIAMS COMPANIES INC	Energy	1	0	0	0	0	0	1	0	0	0	0	0	27-Jan-20
WILLIAMS-SONOMA INC	Consumer Discretionary	2	1	1	0	0	1	1	1	1	2	1	0	31-Mar-20
WYNDHAM DESTINATIONS INC	Consumer Discretionary	1	1	0	0	0	0	1	0	0	0	1	1	07-Jan-20
XEROX HOLDINGS CORP	Information Technology	1	0	0	0	1	1	0	0	0	0	0	0	18-Feb-20
YAMANA GOLD	Materials	1	0	0	0	0	0	1	0	0	0	0	0	02-Mar-20

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YPF SA	Energy	1	1	1	1	1	1	1	1	1	1	1	1	20-Feb-20
ZOETIS INC	Health Care	2	1	0	0	0	2	2	0	0	2	0	2	26-Feb-20

Asia-Pacific Engagements

Company Name	Sector	Total Number of Engagements	G - Board Composition & Effectiveness	G - Business Oversight/Risk Management	G - Executive Management	G - Corporate Strategy	G - Governance Structure	G - Remuneration	E - Climate Risk Management	E - Environmental Impact Management	E - Operational Sustainability	S - Human Capital Management	S - Social Risks and Opportunities	Last Engagement Date
ABC	Financials	1	1	0	0	1	0	0	1	0	1	0	1	09-Jan-20
ADVANTEST CORP	Information Technology	1	0	0	0	1	1	0	0	0	0	0	0	13-Feb-20
AEON CO LTD	Consumer Staples	1	0	0	0	0	0	0	1	0	1	0	1	20-Jan-20
AGL ENERGY LTD	Utilities	2	0	1	1	1	0	0	2	2	2	1	2	25-Feb-20
AICHI BANK LTD/THE	Financials	1	1	0	1	1	0	0	0	0	0	1	0	21-Feb-20
AMP LTD	Financials	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
ARISTOCRAT LEISURE LTD	Consumer Discretionary	1	1	1	0	1	0	1	0	0	0	1	0	04-Feb-20
ASAHI GROUP HOLDINGS LTD	Consumer Staples	1	1	0	0	1	0	1	0	0	1	1	0	24-Feb-20
ASAHI KASEI CORPORATION	Materials	1	0	0	0	0	1	0	1	0	0	1	0	14-Jan-20
ASANUMA CORP.	Industrials	1	1	0	0	1	0	0	0	0	0	0	0	25-Mar-20
ASICS CORP	Consumer Discretionary	1	1	0	0	1	0	0	0	0	0	0	0	28-Feb-20
ASX LTD	Financials	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
ASYMCHAM LABORATORIES TIAN JIN CO LTD	Health Care	1	1	0	0	1	0	0	0	0	0	0	0	24-Feb-20
AU OPTRONICS CORP	Information Technology	1	0	1	0	0	0	0	1	0	1	0	0	10-Jan-20
AVIC INTERNATIONAL HOLDINGS LTD	Information Technology	1	0	0	0	1	0	0	0	0	0	0	0	10-Jan-20
AVICHINA INDUSTRY & TECHNOLOGY CO LTD	Industrials	1	0	0	0	1	0	0	0	0	0	0	0	16-Jan-20
AZBIL CORP	Information Technology	1	0	0	0	0	1	0	0	0	0	0	0	27-Mar-20
BHARTI AIRTEL LTD	Communication Services	1	0	1	0	0	0	0	0	0	0	0	0	03-Jan-20
BLUESCOPE STEEL	Materials	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
BORAL LTD	Materials	1	0	0	0	1	0	0	1	1	0	0	0	03-Mar-20
CANON INC	Information Technology	1	1	0	1	1	0	0	0	0	0	0	0	02-Mar-20
CAPITALAND LTD	Real Estate	2	1	1	0	1	0	0	2	2	2	0	0	16-Jan-20
CARSALES.COM LTD	Communication Services	1	1	1	0	1	1	1	0	0	0	1	0	17-Mar-20
CHANG HWA COMMERCIAL BANK LTD	Financials	1	1	1	0	1	1	1	1	0	1	1	0	02-Mar-20
CHINA AGRI-INDUSTRIES HOLDINGS LTD	Consumer Staples	1	0	0	0	1	0	0	0	0	0	0	0	24-Feb-20
CHINA CONSTRUCTION BANK CORP	Financials	1	1	1	0	1	0	1	1	1	0	1	0	08-Jan-20
CHINA LIFE INSURANCE CO LTD	Financials	1	0	0	1	1	1	1	1	0	0	1	0	08-Jan-20
CHINA PETROLEUM & CHEMICAL CORP	Energy	1	1	1	0	1	1	1	1	0	0	1	1	09-Jan-20
CHINA RESOURCES LAND LTD	Real Estate	1	1	1	1	1	1	1	1	1	0	0	0	06-Jan-20
CHINA STEEL CORPORATION	Materials	2	1	0	0	1	0	0	2	2	2	0	0	19-Mar-20
CHUBU ELEC PWR CO INC	Utilities	1	0	0	0	0	0	0	1	0	0	0	0	04-Mar-20
CK HUTCHISON HOLDINGS LTD	Industrials	2	1	0	0	2	2	0	2	2	2	0	1	24-Feb-20
COSCO SHIPPING PORTS LTD	Industrials	1	0	0	0	1	1	0	0	0	0	0	0	31-Mar-20
COSMO ENERGY HOLDINGS CO LTD	Energy	1	1	0	0	0	0	0	0	0	0	0	0	04-Mar-20
CROMWELL PROPERTY GROUP	Real Estate	1	1	1	0	1	1	0	0	0	0	0	0	23-Mar-20
CSL LTD	Health Care	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
DAELIM INDUSTRIAL CO	Industrials	1	0	1	0	1	0	0	0	0	0	0	0	12-Mar-20
DAICEL CORP	Materials	1	0	0	0	1	1	0	0	0	0	0	0	15-Mar-20
DAI-ICHI LIFE HOLDINGS INC	Financials	1	1	0	0	0	1	0	1	0	0	0	0	24-Jan-20
DAIKIN INDUSTRIES LTD	Industrials	1	0	0	0	0	1	0	0	1	0	1	0	16-Jan-20
DAITO TRUST CONSTRUCTION CO LTD	Real Estate	1	0	0	0	1	1	0	1	0	0	0	0	24-Mar-20
DENA	Communication Services	1	1	0	1	1	0	0	0	0	0	0	0	25-Mar-20
DENKA CO LTD	Materials	1	1	1	0	0	1	1	1	0	0	0	0	24-Jan-20
DENKI KOGYO CO LTD	Information Technology	1	0	0	0	0	1	0	0	0	0	0	0	05-Mar-20
DENTSU GROUP INC	Communication Services	1	1	0	0	1	0	0	0	0	0	1	0	02-Mar-20
DTS CORP	Information Technology	1	1	0	0	0	0	0	0	0	0	0	0	26-Feb-20
DUSKIN CO LTD	Industrials	1	1	0	0	1	0	0	0	0	0	1	0	10-Mar-20
E.SUN FINANCIAL HOLDING CO LTD	Financials	1	1	1	1	1	0	1	1	1	0	1	0	27-Mar-20

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Company Name	Sector	Total Number of Engagements	G - Board Composition & Effectiveness	G - Business Oversight/Risk Management	G - Executive Management	G - Corporate Strategy	G - Governance Structure	G - Remuneration	E - Climate Risk Management	E - Environmental Impact Management	E - Operational Sustainability	S - Human Capital Management	S - Social Risks and Opportunities	Last Engagement Date
FANUC CORP	Industrials	1	0	0	0	1	1	0	0	0	0	0	0	19-Mar-20
FORTESCUE METALS	Materials	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
FOSTER ELECTRIC	Consumer Discretionary	1	0	0	0	1	1	0	0	0	0	0	0	12-Feb-20
FUJIFILM HOLDINGS CORPORATION	Information Technology	1	0	0	0	1	1	0	1	0	0	1	0	31-Mar-20
FUJIMI INC	Materials	1	0	0	0	0	1	0	0	0	0	0	0	07-Feb-20
FUJITSU LTD	Information Technology	1	0	0	1	1	1	0	0	1	0	1	0	31-Jan-20
FUKUOKA FIN GRP	Financials	2	1	0	0	1	1	0	0	0	0	1	0	20-Feb-20
FUTABA CORP	Industrials	1	0	0	0	1	0	0	0	0	0	0	0	23-Mar-20
FUYO GENERAL LEASE CO LTD	Financials	1	1	0	0	1	0	0	1	0	0	0	0	09-Mar-20
GEELY AUTO	Consumer Discretionary	1	0	0	0	1	0	0	0	0	1	0	0	12-Mar-20
GLDN AGRI-RES LTD	Consumer Staples	1	1	0	0	0	0	1	1	0	1	1	0	28-Feb-20
GPT GROUP	Real Estate	1	1	1	1	0	1	1	1	0	1	1	0	11-Mar-20
GREAT WALL MOTOR CO LTD	Consumer Discretionary	1	0	0	0	0	1	1	0	0	0	0	0	10-Mar-20
GREE INC	Communication Services	1	0	0	0	1	1	0	0	0	0	0	0	19-Feb-20
GUNZE LTD	Consumer Discretionary	1	0	0	0	1	1	0	0	0	0	0	0	14-Feb-20
HAITONG SECURITIES CO LTD	Financials	1	0	1	0	1	0	0	0	0	0	0	0	31-Mar-20
HANGZHOU TIGERMED CONSULTING CO LTD	Health Care	1	0	1	0	0	0	0	1	0	1	0	0	25-Mar-20
HANJIN KAL CORP	Industrials	5	5	2	2	2	5	1	0	0	0	0	0	11-Mar-20
HAZAMA ANDO CORP	Industrials	1	0	1	0	1	0	0	1	0	0	0	0	17-Mar-20
HEIWA REAL ESTATE CO LTD	Real Estate	1	1	1	0	1	1	0	0	0	0	0	0	26-Feb-20
HISAMITSU PHARMACEUTICAL CO INC	Health Care	1	0	0	0	1	1	0	0	0	0	0	0	17-Mar-20
HOOSIERS HOLDINGS	Consumer Discretionary	1	1	0	0	1	0	0	0	0	0	1	0	23-Mar-20
HOTEL SHILLA CO LTD	Consumer Discretionary	1	1	0	0	0	0	1	1	0	1	0	0	05-Mar-20
HYUNDAI GLOVIS CO LTD	Industrials	1	1	0	0	0	0	0	0	0	0	0	0	06-Mar-20
HYUNDAI MOBIS CO	Consumer Discretionary	1	1	0	0	1	1	0	0	0	0	0	0	25-Feb-20
HYUNDAI MOTOR CO	Consumer Discretionary	1	1	0	0	0	0	0	0	0	0	0	0	05-Mar-20
IINO KAIUN KAISHA, LTD.	Industrials	1	1	0	0	1	1	0	1	0	0	1	0	28-Feb-20
ILUKA RESOURCES	Materials	1	1	1	0	0	1	0	0	0	1	1	1	31-Mar-20
INABATA & CO LTD	Industrials	1	0	0	0	1	1	0	0	0	0	0	0	11-Mar-20
INDUSTRIAL AND COMMERCIAL BANK OF CHINA LTD	Financials	1	1	1	0	1	0	1	1	1	1	0	0	08-Jan-20
INSURANCE AUSTRALIA GROUP LTD	Financials	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
INTERGLOBE AVIATION LTD	Industrials	1	0	0	0	0	1	0	0	0	0	0	0	14-Jan-20
ITOCHU CORPORATION	Industrials	1	1	0	1	0	0	0	1	0	0	1	0	08-Jan-20
J FRONT RETAILING CO LTD	Consumer Discretionary	1	0	0	0	1	0	0	1	0	1	1	0	05-Feb-20
JAPAN POST HOLDINGS CO LTD	Financials	1	0	1	0	0	0	0	0	0	0	0	0	15-Mar-20
JAPAN TOBACCO INC	Consumer Staples	1	0	0	0	0	1	0	0	0	0	0	0	27-Feb-20
JGC HOLDINGS CORP	Industrials	1	1	1	0	1	1	0	1	0	0	1	0	02-Mar-20
JIANGSU HENGLI HIGHPRESSURE OIL CYLINDER CO LTD	Industrials	1	0	0	0	1	1	0	1	1	1	1	1	10-Jan-20
JVC KENWOOD CORP	Consumer Discretionary	1	0	0	1	1	0	0	0	0	0	0	0	16-Jan-20
KAGOME CO., LTD.	Consumer Staples	1	1	0	0	0	1	0	0	1	0	0	1	31-Jan-20
KANEKA CORP	Materials	2	0	0	0	1	0	0	1	2	0	0	0	27-Feb-20
KANSAI PAINT CO LTD	Materials	1	0	0	0	1	1	0	0	0	0	0	0	26-Feb-20
KDDI CORP	Communication Services	1	0	0	0	0	1	0	0	0	0	0	0	07-Jan-20
KEIKYU CORP	Industrials	1	1	1	0	1	0	0	0	0	0	0	0	20-Jan-20
KEWPIE CORP	Consumer Staples	1	0	0	0	0	1	0	1	0	0	0	0	22-Jan-20
KIRIN HOLDINGS CO LTD	Consumer Staples	4	0	0	0	2	4	1	0	0	0	1	0	16-Mar-20
KOA CORP	Information Technology	1	0	0	0	0	1	0	0	0	0	0	0	03-Feb-20
KOBE STEEL LTD	Materials	1	0	0	0	0	0	0	1	0	0	0	0	22-Jan-20

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KOHNAN SHOJI CO LTD	Consumer Discretionary	1	1	0	0	0	0	0	0	0	0	0	0	27-Feb-20
KOMORI CORP	Industrials	1	0	0	0	1	0	0	0	0	0	0	0	21-Jan-20
KOR ELEC PWR CORP	Utilities	3	1	2	0	0	1	0	3	3	2	0	2	05-Mar-20
KT CORP	Communication Services	1	0	0	1	1	1	0	0	0	0	0	0	17-Jan-20
KT&G CORP	Consumer Staples	1	1	0	0	0	1	0	0	0	0	0	0	25-Feb-20
KUBOTA CORP	Industrials	1	0	0	0	1	1	0	0	0	0	0	0	06-Mar-20
KYOCERA CORP	Information Technology	1	0	0	0	1	1	0	0	0	0	0	0	04-Mar-20
KYOKUTO BOEKI	Industrials	1	1	0	0	1	0	0	1	0	0	0	0	23-Mar-20
KYUSHU ELEC PWR CO INC	Utilities	1	0	0	0	0	0	0	1	0	0	0	0	09-Mar-20
KYUSHU RAILWAY CO	Industrials	2	2	0	0	1	0	0	0	0	0	0	0	16-Mar-20
LEND LEASE GROUP	Real Estate	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
LEOPALACE21 CORP	Real Estate	1	1	1	0	1	0	0	0	0	0	0	0	13-Feb-20
LG CHEM LTD	Materials	1	0	0	1	0	0	0	1	1	1	0	0	30-Mar-20
LG DISPLAY CO LTD	Information Technology	1	0	0	0	0	0	1	0	0	0	0	0	05-Mar-20
LIXIL GROUP CORP	Industrials	2	0	0	0	1	2	0	0	0	0	0	0	08-Mar-20
LUYE PHARMA GROUP LTD	Health Care	1	0	0	0	1	0	0	0	0	0	0	0	13-Jan-20
MACQUARIE GROUP LTD	Financials	1	1	1	1	0	0	1	1	0	1	0	0	13-Mar-20
MAEDA CORP	Industrials	1	0	0	0	1	1	0	0	0	0	1	0	19-Feb-20
MAEDA ROAD CONSTRUCTION CO LTD	Industrials	1	0	0	0	1	1	0	0	0	0	0	0	09-Mar-20
MARUHA NICHIRO CORP	Consumer Staples	1	0	0	0	1	0	0	0	0	1	1	0	30-Jan-20
MARUI GROUP CO LTD	Consumer Discretionary	1	1	0	0	0	0	0	1	0	0	0	0	09-Mar-20
MAXELL HOLDINGS LTD	Information Technology	1	0	0	0	1	1	0	0	0	0	0	0	14-Feb-20
MIRACA HOLDINGS INC	Health Care	1	1	0	0	1	0	0	0	0	0	1	0	30-Mar-20
MIRVAC GROUP	Real Estate	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
mitsubishi chemical holdings	Materials	1	0	0	0	1	1	0	1	0	0	1	0	19-Feb-20
MITSUBISHI ELEC CORP	Industrials	1	0	1	0	0	0	0	0	0	0	0	0	18-Mar-20
MITSUI E&S HOLDINGS CO LTD	Industrials	1	0	0	0	1	1	0	0	0	0	0	0	27-Mar-20
MIZUHO FINANCIAL GROUP INC	Financials	1	1	0	0	0	0	0	1	0	0	1	0	15-Jan-20
MONEX GROUP INC	Financials	1	1	0	0	1	0	0	0	0	0	0	0	05-Mar-20
NANTO BANK LTD	Financials	1	0	0	0	1	0	0	0	0	0	1	0	16-Jan-20
NATIONAL AUSTRALIA BANK LTD	Financials	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
NEWCREST MINING LTD	Materials	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
NIKKON HOLDINGS CO LTD	Industrials	1	1	0	0	1	1	1	0	0	0	0	0	11-Mar-20
NINE ENTERTAINMENT CO HOLDINGS LTD	Communication Services	1	0	0	0	0	0	0	1	0	0	0	0	11-Feb-20
NIP PISTON RING CO LTD	Consumer Discretionary	1	1	0	0	0	0	0	0	0	0	0	0	13-Feb-20
NIPPON CHEMI-CON CORP	Information Technology	1	1	0	0	1	0	0	0	0	0	0	0	03-Mar-20
NIPPON PAPER INDUSTRIES CO LTD	Materials	1	0	0	0	1	1	0	1	0	0	0	0	17-Feb-20
NIPPON PILLAR PACKING CO., LTD.	Materials	1	1	0	0	0	0	0	0	0	0	0	0	06-Mar-20
NIPPON SHOKUBAI CO LTD	Materials	1	0	0	0	1	1	0	0	0	0	0	0	27-Feb-20
NIPPON SODA CO., LTD.	Materials	1	0	0	0	1	1	0	0	0	0	0	0	02-Mar-20
NIPPON STEEL CORPORATION	Materials	1	0	1	0	1	1	0	1	0	0	0	0	04-Mar-20
NIPPON YUSEN KK	Industrials	1	0	1	0	1	1	0	1	0	0	0	0	11-Mar-20
NISHIMATSU CONSTRUCTION CO LTD	Industrials	1	1	0	0	0	1	0	1	0	0	0	0	06-Mar-20
NISSAN MTR CO LTD	Consumer Discretionary	1	0	0	0	1	1	1	0	0	0	0	0	07-Jan-20
NISSHIN SEIFUN GROUP INC.	Consumer Staples	1	0	0	0	1	1	0	1	0	0	0	0	14-Feb-20
NISSIN FOODS HOLDINGS CO LTD	Consumer Staples	1	0	1	0	1	1	0	1	0	0	0	0	17-Jan-20
NORTHERN STAR RESOURCES LTD	Materials	1	1	0	1	1	1	1	1	1	1	1	0	10-Mar-20
NSK LTD	Industrials	2	0	0	0	0	2	0	1	0	0	0	0	05-Mar-20

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OBAYASHI CORP	Industrials	1	1	0	0	1	0	0	0	0	0	0	1	17-Feb-20
OIL SEARCH LTD	Energy	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
OKI ELECTRIC INDUSTRY CO LTD	Information Technology	1	0	0	0	1	1	0	0	0	0	0	0	25-Feb-20
ONBRIGHT ELECTRONICS INC	Information Technology	1	0	0	0	1	0	0	0	0	0	0	0	20-Mar-20
ORIX CORP	Financials	1	1	0	0	1	1	0	1	0	0	0	0	17-Feb-20
OVERSEA-CHINESE BANKING CORPORATION LTD	Financials	1	0	0	0	1	0	0	1	0	0	0	0	05-Mar-20
OZ MINERALS LTD	Materials	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
PETROCHINA CO LTD	Energy	1	1	1	0	1	0	1	1	0	0	0	0	09-Jan-20
PIGEON CORP	Consumer Staples	1	0	0	0	0	1	0	0	0	0	0	0	23-Jan-20
POSCO	Materials	1	1	0	0	0	1	0	1	1	1	0	1	06-Feb-20
POSCO INTERNATIONAL CORP	Industrials	2	0	0	0	0	1	0	2	1	1	0	2	31-Mar-20
QBE INSURANCE GROUP LTD	Financials	2	0	0	0	0	1	0	2	2	1	1	1	25-Feb-20
QOL HOLDINGS CO LTD	Consumer Staples	1	1	0	1	1	0	0	0	0	0	0	0	04-Mar-20
RECRUIT HOLDINGS CO LTD	Industrials	1	1	1	0	1	1	0	0	0	0	0	0	18-Feb-20
RIO TINTO LTD	Materials	2	1	1	0	1	0	2	2	0	0	1	0	26-Mar-20
RYOSAN CO LTD	Information Technology	1	0	0	0	1	0	0	0	0	0	0	0	20-Feb-20
RYOYO ELECTRO CORP	Information Technology	1	1	0	0	1	0	0	0	0	0	0	0	04-Feb-20
SAKURA SOGO REIT INVESTMENT CORP	Real Estate	1	0	0	0	1	1	0	0	0	0	0	0	09-Mar-20
SAMSUNG BIOLOGICS CO LTD	Health Care	1	1	0	0	0	0	0	0	0	0	0	0	10-Mar-20
SAMSUNG C&T CORP	Industrials	2	2	0	0	2	0	0	2	0	1	0	0	03-Mar-20
SAMSUNG ELECTRONICS CO LTD	Information Technology	2	2	1	0	0	2	0	1	0	1	0	0	03-Mar-20
SANAN OPTOELECTRONICS CO LTD	Information Technology	1	0	0	0	1	0	0	0	0	0	0	0	24-Feb-20
SANKYO CO LTD	Consumer Discretionary	1	1	0	0	1	0	0	0	0	0	0	0	02-Mar-20
SANRIO CO LTD	Consumer Discretionary	1	1	0	0	1	0	0	0	0	0	0	0	13-Feb-20
SANTEN PHARM CO LTD	Health Care	1	0	0	0	1	0	0	0	0	0	0	0	18-Feb-20
SANTOS LTD	Energy	1	1	1	0	1	1	1	1	1	1	0	1	17-Mar-20
SAPPORO HOLDINGS LTD	Consumer Staples	1	0	0	0	1	1	0	0	0	0	0	0	20-Feb-20
SEGA SAMMY HOLDINGS INC	Consumer Discretionary	1	0	0	1	1	0	0	0	0	0	0	0	27-Mar-20
SEIBU HOLDINGS INC	Industrials	1	1	1	0	1	1	0	1	0	1	1	0	17-Mar-20
SEIKITOKYU KOGYO CO LTD	Industrials	1	1	0	0	1	0	0	0	0	0	0	0	20-Feb-20
SEKISUI HOUSE LTD	Consumer Discretionary	4	2	4	0	1	0	1	0	0	0	0	0	24-Mar-20
SEMBCORP INDUSTRIES	Industrials	1	1	0	0	1	0	0	1	1	1	1	0	24-Feb-20
SHANGHAI HAIXIN GROUP CO LTD	Health Care	1	1	0	0	1	0	0	0	0	0	0	0	16-Jan-20
SHENZHEN EXPRESSWAY CO LTD	Industrials	1	0	1	0	1	0	0	1	0	1	0	0	04-Mar-20
SHIBAURA MACHINE CO LTD	Industrials	6	0	0	0	0	6	0	0	0	0	0	0	16-Mar-20
SHIKOKU ELEC POWER CO INC	Utilities	1	0	0	0	0	1	0	1	0	0	0	0	19-Feb-20
SHIMIZU CORP	Industrials	1	1	0	0	1	1	0	1	0	0	0	0	21-Feb-20
SHINHAN FINANCIAL GROUP CO LTD	Financials	1	0	1	0	0	0	0	1	0	1	0	0	12-Feb-20
SHISEIDO CO LTD	Consumer Staples	2	0	0	0	0	2	0	0	0	0	0	0	22-Mar-20
SINGAPORE AIRLINES LTD	Industrials	1	1	0	0	0	0	0	1	0	1	1	0	27-Mar-20
SINGAPORE TELECOMMUNICATIONS LTD	Communication Services	2	2	0	0	2	2	1	2	0	2	0	0	17-Jan-20
SINO LAND CO LTD	Real Estate	1	1	1	1	1	1	0	0	0	0	0	0	12-Mar-20
SK INNOVATION CO LTD	Energy	1	0	0	0	0	1	0	1	0	0	0	0	03-Mar-20
SOJITZ CORP	Industrials	1	0	0	0	1	0	0	1	0	0	0	0	26-Feb-20
SOLGOLD PLC	Materials	1	1	0	0	1	0	0	1	0	1	0	1	06-Feb-20
SOMPO HOLDINGS INC	Financials	1	1	0	0	0	0	0	1	0	0	0	0	09-Jan-20
SOUTH32 LTD	Materials	1	0	0	0	0	0	0	1	1	0	0	0	04-Mar-20
SUMITOMO ELEC INDUSTRIES LTD	Consumer Discretionary	1	0	0	0	1	1	0	0	0	0	0	0	19-Feb-20

Asia-Pacific Engagements

Company Name	Sector	Total Number of Engagements	G - Board Composition & Effectiveness	G - Business Oversight/Risk Management	G - Executive Management	G - Corporate Strategy	G - Governance Structure	G - Remuneration	E - Climate Risk Management	E - Environmental Impact Management	E - Operational Sustainability	S - Human Capital Management	S - Social Risks and Opportunities	Last Engagement Date
SUMITOMO METAL MNG CO LTD	Materials	1	0	0	0	1	1	0	0	0	0	0	0	30-Mar-20
SWIRE PACIFIC LTD	Real Estate	1	0	0	0	1	0	0	1	1	1	0	0	20-Feb-20
TADANO LTD	Industrials	1	0	0	0	1	1	0	0	0	0	0	0	27-Mar-20
TAKEDA PHARMACEUTICAL CO LTD	Health Care	1	0	0	0	0	0	1	0	0	0	1	0	06-Mar-20
TAMRON CO LTD	Consumer Discretionary	1	0	0	0	0	1	0	0	0	0	0	0	28-Feb-20
TDK CORPORATION	Information Technology	1	1	0	0	0	0	0	0	0	0	0	0	03-Mar-20
TEIJIN LTD	Materials	1	0	0	0	1	1	0	0	0	0	0	0	18-Mar-20
TEIKOKU SEN-I CO LTD	Industrials	1	0	0	0	1	1	0	0	0	0	0	0	16-Mar-20
TENAGA NASIONAL BERHAD	Utilities	1	1	0	0	1	0	0	1	0	1	0	0	31-Jan-20
THK CO LTD	Industrials	1	1	0	0	0	0	1	0	0	0	0	0	21-Jan-20
TOKYO DOME CORP	Consumer Discretionary	1	1	0	0	1	0	0	0	0	0	0	0	25-Mar-20
TOKYO ELECTRON LTD	Information Technology	1	0	0	0	0	1	1	0	0	0	0	0	25-Feb-20
TOKYU CORP	Industrials	1	1	0	0	1	0	0	0	0	0	1	0	08-Jan-20
TONG REN TANG TECHNOLOGIES CO LTD	Health Care	1	0	1	0	0	0	0	0	1	0	0	0	05-Feb-20
TOPPAN PRINTING CO LTD	Industrials	1	1	0	0	0	0	0	0	0	0	0	0	06-Feb-20
TORAY INDUSTRIES INC	Materials	1	1	1	0	0	1	0	1	0	0	0	0	24-Jan-20
TOTO LTD	Industrials	1	0	0	0	1	0	0	1	1	0	0	0	18-Feb-20
TOWNGAS CHINA COMPANY LTD	Utilities	1	1	0	0	1	1	0	1	1	1	0	0	07-Jan-20
TOYO SUISAN KAISHA LTD	Consumer Staples	1	0	0	0	1	1	0	0	0	0	0	0	18-Mar-20
TOYO TANSO CO LTD	Industrials	1	0	0	0	1	1	0	0	0	0	1	0	06-Mar-20
TOYO TIRE CORPORATION	Consumer Discretionary	1	0	0	0	1	1	0	0	0	0	0	0	26-Feb-20
TOYOTA MTR CORP	Consumer Discretionary	1	0	0	0	1	0	0	0	0	0	0	0	24-Jan-20
TRANSURBAN GROUP	Industrials	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
TREASURY WINE ESTATES LTD	Consumer Staples	1	0	0	1	1	0	0	0	0	1	1	0	05-Feb-20
TREND MICRO INC.	Information Technology	1	1	0	0	0	0	0	0	0	0	0	0	17-Jan-20
UBE INDUSTRIES LTD/JAPAN	Materials	1	0	0	0	1	1	0	1	0	0	0	0	03-Feb-20
UNIZO HOLDINGS CO LTD	Real Estate	3	0	0	0	3	0	0	0	0	0	0	0	24-Mar-20
WESFARMERS LTD	Consumer Discretionary	1	0	0	0	0	0	0	1	1	1	0	1	25-Feb-20
WESTPAC BANKING CORP	Financials	1	1	0	0	0	0	0	1	1	1	0	1	18-Mar-20
WILMAR INTERNATIONAL LTD	Consumer Staples	1	1	0	0	0	0	1	1	1	1	0	0	14-Feb-20
WOODSIDE PETE LTD	Energy	2	1	1	0	1	1	1	2	2	2	0	2	17-Mar-20
WOOLWORTHS GROUP LTD	Consumer Staples	1	0	0	1	1	1	1	1	1	1	0	1	08-Jan-20
WORLEY LTD	Energy	1	1	0	1	1	1	0	0	0	0	0	0	27-Feb-20
WT MICROELECTRONICS CO LTD	Information Technology	1	0	0	0	1	1	1	0	0	0	0	0	11-Mar-20
YAHOO JAPAN CORP	Communication Services	1	0	0	0	0	1	0	0	0	0	0	0	02-Mar-20
YAMAHA MOTOR CO. LTD.	Consumer Discretionary	1	0	0	1	0	0	0	0	1	0	0	0	05-Mar-20
YAMATO HOLDINGS CO LTD	Industrials	1	0	0	0	1	1	0	0	0	0	0	0	05-Mar-20
YUM CHINA HOLDINGS INC	Consumer Discretionary	1	0	1	0	1	0	1	1	1	1	1	0	31-Mar-20
ZOJIRUSHI CORP	Consumer Discretionary	3	0	0	1	3	2	0	0	0	0	0	0	04-Feb-20

Europe, The Middle East and Africa Engagements

Company Name	Sector	Total Number of Engagements	G - Board Composition & Effectiveness	G - Business Oversight/Risk Management	G - Executive Management	G - Corporate Strategy	G - Governance Structure	G - Remuneration	E - Climate Risk Management	E - Environmental Impact Management	E - Operational Sustainability	S - Human Capital Management	S - Social Risks and Opportunities	Last Engagement Date
888 HOLDINGS PLC	Consumer Discretionary	1	0	0	0	0	0	1	0	0	0	0	0	12-Mar-20
AAREAL BANK AG	Financials	1	0	0	0	1	0	0	0	0	0	0	0	24-Jan-20
ACCENTURE PLC	Information Technology	1	1	0	0	0	0	0	0	0	0	0	0	24-Jan-20
ADIDAS AG	Consumer Discretionary	2	2	0	0	0	0	1	0	0	0	0	0	20-Mar-20
AIRBUS SE	Industrials	1	0	1	0	0	0	0	1	0	0	0	0	31-Mar-20
ALPHA BK SA	Financials	1	1	0	0	0	1	1	0	0	0	0	0	03-Jan-20
ALSTOM SA	Industrials	1	1	0	1	0	1	0	1	0	1	0	0	20-Jan-20
ALSTRIA OFFICE REIT-AG	Real Estate	1	1	0	0	0	0	0	0	0	0	0	0	03-Feb-20
ARKEMA SA	Materials	1	1	1	1	1	0	1	1	0	1	1	0	15-Jan-20
ASSICURAZIONI GENERALI SPA	Financials	1	1	1	0	1	1	1	1	0	1	0	0	17-Mar-20
ASTRAZENECA PLC	Health Care	1	0	0	0	0	0	1	0	0	0	0	0	12-Mar-20
ATOS SE	Information Technology	1	1	1	1	1	0	1	1	0	1	0	0	04-Feb-20
AVAST PLC	Information Technology	1	1	0	0	0	0	1	0	0	1	0	1	25-Feb-20
AVIVA PLC	Financials	1	1	0	1	1	0	0	0	0	0	0	0	24-Feb-20
AXA SA	Financials	1	1	1	1	0	0	1	1	1	1	0	0	30-Mar-20
BANCO BPM SPA	Financials	1	1	0	0	0	0	1	1	0	1	0	0	26-Mar-20
BANCO DE SABADELL SA	Financials	1	1	1	0	1	0	1	1	0	0	1	1	18-Feb-20
BARCLAYS PLC	Financials	2	2	0	1	1	0	0	2	0	0	0	0	25-Feb-20
BARLOWORLD LTD	Industrials	1	0	0	0	0	0	1	0	0	0	0	0	10-Feb-20
BAYER AG	Health Care	1	1	1	0	0	0	1	0	0	0	0	0	16-Mar-20
BAYERISCHE MOTOREN WERKE AG	Consumer Discretionary	1	0	0	0	1	0	0	1	0	0	0	0	03-Mar-20
BERKELEY GROUP HOLDINGS PLC	Consumer Discretionary	1	1	0	0	0	0	1	0	0	0	0	0	11-Feb-20
BHP GROUP PLC	Materials	1	0	0	0	0	0	0	1	1	1	0	1	10-Mar-20
BNP PARIBAS SA	Financials	1	1	1	0	1	0	0	1	0	1	0	1	15-Mar-20
BP PLC	Energy	1	0	0	0	1	0	0	1	0	0	0	0	12-Feb-20
BRITVIC PLC	Consumer Staples	1	1	0	0	0	0	0	0	0	0	0	0	23-Jan-20
BT GROUP PLC	Communication Services	1	1	0	1	1	0	1	0	0	0	0	0	13-Jan-20
BURBERRY GROUP PLC	Consumer Discretionary	1	0	0	0	1	0	1	1	0	1	0	0	28-Jan-20
BUREAU VERITAS SA	Industrials	1	1	0	0	1	0	0	0	0	1	1	0	06-Mar-20
BUZZI UNICEM SPA	Materials	1	0	0	0	0	1	0	1	0	1	0	1	31-Jan-20
CAIRN ENERGY PLC	Energy	1	1	0	0	1	0	1	1	0	0	0	0	11-Mar-20
CAPGEMINI SE	Information Technology	1	1	0	0	0	1	1	1	0	1	0	0	15-Jan-20
CENTAMIN PLC	Materials	1	1	0	1	1	0	1	0	1	1	0	1	07-Jan-20
CENTRAL ASIA METALS PLC	Materials	1	0	0	0	0	0	1	1	1	1	1	1	23-Jan-20
COMPASS GROUP PLC	Consumer Discretionary	2	1	1	0	0	0	1	0	0	1	0	0	09-Mar-20
COUNTRYSIDE PROPERTIES PLC	Consumer Discretionary	1	0	0	0	0	0	1	0	0	0	0	0	21-Jan-20
CRH PLC	Materials	1	0	1	0	0	0	0	1	0	1	0	1	09-Mar-20
DANONE SA	Consumer Staples	1	1	0	0	0	0	1	1	0	1	0	0	17-Jan-20
DANSKE BANK A/S	Financials	2	1	1	0	0	0	2	1	0	1	0	0	05-Mar-20
DASSAULT SYSTEMES	Information Technology	1	1	1	0	1	0	0	1	0	1	1	0	13-Feb-20
DEUTSCHE BANK AG	Financials	1	1	1	1	1	0	0	0	0	0	0	0	31-Jan-20
DIAGEO PLC	Consumer Staples	1	0	0	0	0	0	1	1	0	1	0	0	19-Mar-20
E.ON SE	Utilities	1	1	0	0	1	1	1	1	0	1	0	0	29-Jan-20
EDP - ENERGIAS DE PORTUGAL SA	Utilities	2	0	0	1	1	0	0	2	0	0	0	1	27-Jan-20
ENGIE SA	Utilities	1	0	0	1	1	0	0	0	0	0	1	0	26-Mar-20
ENI SPA	Energy	1	0	0	0	1	0	0	1	0	0	0	0	02-Mar-20
FERGUSON PLC	Industrials	2	0	0	0	0	2	0	0	0	0	0	0	10-Mar-20
FORTUM OYJ	Utilities	2	0	0	0	0	0	0	2	0	0	0	0	18-Feb-20

Europe, The Middle East and Africa Engagements

Company Name	Sector	Total Number of Engagements	G - Board Composition & Effectiveness	G - Business Oversight/Risk Management	G - Executive Management	G - Corporate Strategy	G - Governance Structure	G - Remuneration	E - Climate Risk Management	E - Environmental Impact Management	E - Operational Sustainability	S - Human Capital Management	S - Social Risks and Opportunities	Last Engagement Date
FUTURE PLC	Communication Services	1	0	0	0	0	0	1	0	0	0	0	0	17-Jan-20
GAM HOLDING AG	Financials	1	1	1	1	1	0	1	0	0	0	1	0	29-Jan-20
GENMAB A/S	Health Care	1	1	0	0	0	0	1	0	0	0	0	0	12-Mar-20
GIVAUDAN SA	Materials	1	1	0	0	0	0	0	0	0	0	0	0	05-Mar-20
GLAXOSMITHKLINE PLC	Health Care	1	0	0	0	0	0	1	0	0	0	0	0	25-Feb-20
GLENORE PLC	Materials	3	2	0	1	0	1	1	1	0	0	0	1	18-Mar-20
HUNTING PLC	Energy	1	1	0	0	1	1	0	1	0	1	0	0	28-Jan-20
IBERDROLA SA	Utilities	1	1	0	0	1	0	0	1	0	0	0	0	11-Mar-20
IMPERIAL BRANDS PLC	Consumer Staples	1	1	0	1	1	0	1	0	0	0	0	0	24-Jan-20
INFORMA PLC	Communication Services	1	1	1	0	1	0	1	1	0	1	0	0	27-Jan-20
ING GROEP NV	Financials	2	1	1	1	1	0	0	2	0	1	0	0	02-Mar-20
JOHNSON MATTHEY PLC	Materials	1	1	0	0	0	0	1	0	0	0	0	0	28-Jan-20
LAGARDERE S.C.A.	Communication Services	1	1	0	0	0	0	0	0	0	0	0	0	17-Mar-20
LONDON STOCK EXCHANGE GROUP PLC	Financials	1	1	0	1	1	0	1	0	0	0	0	0	22-Jan-20
LOREAL SA	Consumer Staples	1	1	0	0	0	0	1	0	0	0	0	0	29-Jan-20
LUNDIN ENERGY AB	Energy	1	1	1	0	1	0	0	0	0	0	0	0	17-Mar-20
MALLINCKRODT PLC	Health Care	1	0	1	1	0	0	1	0	0	1	0	0	04-Mar-20
MARKS AND SPENCER GROUP PLC	Consumer Discretionary	2	1	1	1	1	0	0	2	0	2	1	0	23-Mar-20
MASMOVIL IBERCOM SA	Communication Services	1	1	1	0	1	0	1	1	0	1	0	0	04-Mar-20
MONCLER SPA	Consumer Discretionary	1	0	0	0	0	1	0	0	0	0	0	0	12-Feb-20
MYTILINEOS SA	Industrials	1	1	0	0	0	1	1	0	0	0	0	0	25-Feb-20
NATURGY ENERGY GROUP SA	Utilities	1	1	0	0	0	0	1	0	0	0	0	0	02-Mar-20
NESTLE SA	Consumer Staples	1	1	0	0	0	0	0	1	1	1	0	0	04-Feb-20
NEXT PLC	Consumer Discretionary	1	1	0	0	0	0	0	0	0	0	0	0	25-Mar-20
NOVARTIS AG	Health Care	1	1	0	0	0	0	0	0	0	0	0	0	13-Feb-20
NOVO NORDISK A/S	Health Care	1	1	0	0	0	0	1	0	0	0	0	0	16-Mar-20
ONESAVINGS BANK PLC	Financials	1	1	0	1	1	0	1	0	0	0	1	0	14-Jan-20
ORANGE SA	Communication Services	1	1	0	0	1	0	1	1	0	1	0	0	21-Jan-20
ORION ENGINEERED CARBONS SA	Materials	1	1	0	0	0	0	0	1	1	1	0	1	24-Mar-20
PADDY POWER BETFAIR PLC	Consumer Discretionary	1	1	1	0	0	0	1	0	0	0	0	1	04-Feb-20
PEARSON PLC	Communication Services	1	0	0	0	0	0	1	0	0	0	1	0	18-Mar-20
PERSIMMON PLC	Consumer Discretionary	1	1	1	1	0	0	1	0	0	0	0	0	23-Jan-20
PETROFAC LTD	Energy	1	1	0	1	1	0	0	1	0	0	0	1	30-Jan-20
RENAULT SA	Consumer Discretionary	1	1	0	0	0	0	1	1	0	0	0	0	05-Mar-20
REPSOL SA	Energy	1	0	0	0	1	0	0	0	0	0	0	0	27-Mar-20
ROYAL BANK OF SCOTLAND GROUP PLC	Financials	1	0	0	0	1	0	0	1	0	0	0	0	16-Mar-20
ROYAL DUTCH SHELL PLC	Energy	1	0	0	0	0	0	0	1	0	1	0	1	03-Mar-20
SAP SE	Information Technology	1	1	0	0	1	1	1	1	0	1	1	0	23-Jan-20
SCOR SE	Financials	1	0	0	0	0	1	0	0	0	0	0	0	31-Mar-20
SCORPIO BULKERS INC	Industrials	1	1	0	0	1	0	0	0	0	0	0	0	30-Mar-20
SCOUT24 AG	Communication Services	1	1	1	0	1	1	0	1	0	1	0	0	23-Jan-20
SIEMENS AG	Industrials	1	0	1	0	0	0	0	0	1	0	0	0	04-Feb-20
SMURFIT KAPPA GROUP PLC	Materials	1	1	0	0	0	0	1	1	0	0	1	0	13-Jan-20
SOCIETE GENERALE SA	Financials	1	1	0	0	1	0	1	1	0	0	0	0	28-Jan-20
SODEXO SA	Consumer Discretionary	1	0	0	0	0	0	1	0	0	0	0	0	06-Jan-20
SSAB AB	Materials	1	1	0	0	0	0	1	1	0	0	0	0	20-Mar-20
SSP GROUP	Consumer Discretionary	2	1	1	0	0	0	1	1	0	1	1	0	26-Mar-20
STANDARD CHARTERED PLC	Financials	3	0	1	1	1	0	1	2	0	0	0	1	28-Feb-20

Europe, The Middle East and Africa Engagements

Company Name	Sector	Total Number of Engagements	G - Board Composition & Effectiveness	G - Business Oversight/Risk Management	G - Executive Management	G - Corporate Strategy	G - Governance Structure	G - Remuneration	E - Climate Risk Management	E - Environmental Impact Management	E - Operational Sustainability	S - Human Capital Management	S - Social Risks and Opportunities	Last Engagement Date
STORA ENSO OYJ	Materials	1	1	0	0	0	0	0	0	0	0	0	0	04-Mar-20
STRAUMANN HOLDING AG	Health Care	1	1	0	0	0	0	0	0	0	0	0	0	18-Mar-20
SVENSKA HANDELSBANKEN AB	Financials	1	1	0	0	1	0	1	0	0	0	0	0	03-Mar-20
SWEDBANK AB	Financials	1	0	0	0	0	0	1	0	0	0	0	0	13-Mar-20
SWISS PRIME SITE AG	Real Estate	1	1	0	0	0	0	0	0	0	0	0	0	05-Mar-20
TECHNICOLOR SA	Communication Services	1	1	0	1	1	0	0	0	0	0	0	0	13-Mar-20
TED BAKER PLC	Consumer Discretionary	1	1	1	1	0	0	0	0	0	0	0	0	09-Jan-20
TELEFONAKTIEBOLAGET LM ERICSSON	Information Technology	1	0	1	0	0	0	0	0	1	1	0	1	24-Mar-20
TELEPERFORMANCE	Industrials	1	1	1	1	0	0	1	1	0	1	0	0	17-Jan-20
TOTAL SA	Energy	1	1	1	0	0	0	1	1	0	0	0	0	02-Feb-20
TULLOW OIL PLC	Energy	1	0	0	0	0	1	0	0	0	0	0	0	20-Mar-20
UBISOFT ENTERTAINMENT SA	Communication Services	1	1	0	1	1	0	1	0	0	1	1	0	19-Mar-20
UBS GROUP AG	Financials	1	0	0	0	1	0	0	0	0	0	0	0	28-Jan-20
UMICORE SA	Materials	1	0	0	0	0	0	0	1	1	0	0	1	10-Feb-20
UNILEVER PLC	Consumer Staples	1	1	0	0	1	0	0	1	0	1	0	0	15-Jan-20
UPM-KYMMENE OYJ	Materials	1	1	0	0	0	0	0	0	0	0	0	0	16-Mar-20
VEOLIA ENVIRONNEMENT SA	Utilities	2	1	0	1	1	0	2	1	0	0	0	0	09-Mar-20
VODAFONE GROUP PLC	Communication Services	1	0	0	0	0	0	1	0	0	0	1	0	27-Feb-20
WENDEL SE	Financials	1	1	0	0	1	0	1	0	0	0	1	0	15-Jan-20
WH SMITH PLC	Consumer Discretionary	1	1	0	0	0	0	1	0	0	0	0	0	27-Feb-20
WOLTERS KLUWER NV	Industrials	1	0	0	0	0	0	1	0	0	0	0	0	27-Mar-20
WPP PLC	Communication Services	1	1	1	1	1	1	1	1	0	1	1	0	09-Mar-20
ZURICH INSURANCE GROUP AG	Financials	1	0	0	0	0	0	0	1	0	1	0	0	30-Jan-20