

# BlackRock Cash Funds

**BlackRock®**

## BlackRock Funds III

State Street Bank  
Attn: Quincy Nunnally-Transfer Agency  
BOX 5493  
Boston, MA 02206

## Account Application

This Application is only for investments in the certain Share Classes of BlackRock Cash Funds: Treasury, a series of BlackRock Funds III (the "Fund"). Eligible investors are described in the Fund's prospectus under "Who is Eligible to Invest." This Application serves as an account agreement between the account holder and the transfer agent on behalf of the Funds.

To help the government fight the funding of terrorism and money laundering, federal law requires all financial institutions to obtain, verify and record certain information that identifies each registered owner of an account. BlackRock must also verify the identities of individuals with authority or control over the registered owner of an account, including person(s) able to effect securities transactions on behalf of the registered owner of an account. Please also complete the Beneficial Ownership & Controller Certification Form for Legal Entity Customers Investing in a U.S. BlackRock Mutual Fund. Also, please provide us with a copy of your most recent Corporate Resolution, Certified Articles of Incorporation, Partnership Agreement, or Trust Instrument, as applicable, preferably dated within the last 12 months of this account Application, listing the names of those people authorized to act on this account.

Along with the signed Application, please provide the properly completed U.S. tax form (IRS Form W-8 or W-9, as applicable) for the account.

## Account registration

Full legal name of account holder/firm

Tax Identification number/or Social Security number

Registered address (no P.O. boxes)

Country of jurisdiction

Attention (department or person to receive mail or correspondence)

Contact person

City

State

Zip code

Contact person telephone number

Please select the type of account: ☐ Direct ☐ Omnibus

## Account entity

Designate below:

- |   |   |   |   |
|---|---|---|---|
| <input type="checkbox"/> Corporation              | <input type="checkbox"/> Insurance company      | <input type="checkbox"/> Bank/Trust company | <input type="checkbox"/> Public sector/Municipality                   |
| <input type="checkbox"/> Foundation/Endowment     | <input type="checkbox"/> Financial intermediary | <input type="checkbox"/> Partnership        | <input type="checkbox"/> Investment company (including private funds) |
| <input type="checkbox"/> Non-incorporated company | <input type="checkbox"/> Broker/Dealer          | <input type="checkbox"/> Other              |   |

Are you investing as principal? ☐ Yes ☐ No

Is this an institutional account, as defined in FINRA Rule 4512(c) (i.e. the account of: a bank, savings and loan association, insurance company or registered investment company; an investment adviser registered either with the Securities and Exchange Commission under Section 203 of the Investment Advisers Act or with a state securities commission (or any agency or office performing like functions); or any other person (whether a natural person, corporation, partnership, trust or otherwise) with total assets of at least \$50 million), capable of evaluating investment risks independently with regard to particular transactions and investment strategies involving a security or securities? ☐ Yes ☐ No

If you are an insurance company, bank, trust company, financial intermediary or other financial institution, please provide the following information, which is being requested in connection with the Funds' compliance with applicable anti-money laundering laws and regulations. Failure to provide the information requested below will delay and possibly prevent the Funds and transfer agent from processing this Application:

Firm's CRD or bank charter number

Firm's SEC registration number

## Fund selection:

Designate below:

- ☐ BlackRock Cash Funds: Treasury — Institutional Shares (#1107)
- ☐ BlackRock Cash Funds: Treasury — Capital Shares (#1101)
- ☐ BlackRock Cash Funds: Treasury — Premium Shares (#1108)
- ☐ BlackRock Cash Funds: Treasury — Select (#1109)
- ☐ BlackRock Cash Funds: Treasury — Trust Shares (#1104)

Wire instructions:

**Beneficiary Name:** State Street Bank and Trust Company  
**American Banking Association (ABA) #:** 011000028  
**Demand Deposit Account (DDA) #:** 00330860  
**For Further Credit (FFC) To:** BlackRock Funds III  
Shareholder Account #  
xxxxxxx  
Fund # xxxx

Please Note: This Application acts only to open an account and does not authorize a trade. This Application may be faxed, but the original must be received by the next business day following account opening. **Please return the completed form to the address that appears at the top of this Application.**

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## Redemption wire information and fund distributions (Dividends and capital gains)

If no selection is made in this section, Fund distributions will be automatically reinvested.

☐ Reinvest ☐ Cash distributions via wire to bank account ☐ Cash distributions via check to mailing address above

### Wiring instructions

#### A. Primary wire instructions

In this section, please provide us with your wiring instructions for redemptions (you can include primary and secondary, if you use more than one) as well as dividends.

Bank name	Name on bank account	Bank account number
Routing number or federal wire number		

#### B. Secondary wire instructions

Bank name	Name on bank account	Bank account number
Routing number or federal wire number		

#### C. Dividend wire instructions

☐ Pay in cash via wire to bank account (complete below) ☐ Pay via check to mailing address above  
☐ Reinvest (no wire instruction necessary)

Bank name	Name on bank account	Bank account number
Routing number or federal wire number		

#### D. Capital gain election & wire instructions

☐ Pay in cash via wire to bank account (complete below) ☐ Reinvest (no wire instruction necessary)

Bank name	Name on bank account	Bank account number
Routing number or federal wire number		

## Investment dealer

If you invest in Fund shares using a financial professional, please ask them to supply the information in this section relating to the investment dealer for which they work. Some investment dealers have their own internal application process as well.

Name of dealer firm		Full name of financial professional
Dealer number	Branch number	Representative's number
PO Box #/Street #/Street name		Contact telephone #
City	State	Zip code
Email address		

**Optional: BIN** (Provided by financial professional): \_\_\_\_\_ Network level: \_\_\_\_\_

If required by the investment professional's investment dealer firm: I (the above financial professional) have complied with my firm's policies and procedures in regards to opening this account.

Signature of financial professional	Date of trust (month/day/year)	Principal approval (if required)
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**Financial professionals:** Sign in to our dedicated "Advisor Center" on [www.blackrock.com](http://www.blackrock.com) (through AdvisorCentral®) for enhanced tools, investment ideas, account access and more. We also have a dedicated website for you and your clients' Cash and Liquidity Management needs at [www.blackrock.com/cash](http://www.blackrock.com/cash).

Authorized persons

Please type or print the name(s) of the authorized signers, traders and/or those with “Inquiry only.” Please indicate the person’s authority next to their name using the appropriate tick box. The authorized persons listed below shall remain in full effect until such time as we receive an updated list, in writing, from an authorized signer for the account. Each Fund reserves the right to request an updated authorized persons list at any time, in order to verify the validity of the list and/or if the information contained herein becomes out of date or invalid for any reason. “Authorized signers” vs. “authorized traders:” Authorized signers have full authority to make any changes to the account (changes to wiring instructions, address changes, updates to authorized signers & traders, etc.). Authorized traders may only places trades for the account. A signature specimen is required for all authorized signers and authorized traders.

\_\_\_\_\_

First name

\_\_\_\_\_

Last name

☐ Signer    ☐ Trader

☐ Signer and Trader

☐ Inquiry only

\_\_\_\_\_

Firm/Organization

\_\_\_\_\_

E-mail address

\_\_\_\_\_

Phone number

\_\_\_\_\_

Signature specimen

\_\_\_\_\_

First name

\_\_\_\_\_

Last name

☐ Signer    ☐ Trader

☐ Signer and Trader

☐ Inquiry only

\_\_\_\_\_

Firm/Organization

\_\_\_\_\_

E-mail address

\_\_\_\_\_

Phone number

\_\_\_\_\_

Signature specimen

\_\_\_\_\_

First name

\_\_\_\_\_

Last name

☐ Signer    ☐ Trader

☐ Signer and Trader

☐ Inquiry only

\_\_\_\_\_

Firm/Organization

\_\_\_\_\_

E-mail address

\_\_\_\_\_

Phone number

\_\_\_\_\_

Signature specimen

## Signature

Please sign exactly as registered under "Account registration." All registered owners of an account must sign. By signing this Application, account holder/firm certifies that:

- 1 It has full authority and legal capacity to purchase Fund shares.
- 2 It has received a current Fund prospectus, agrees to be bound by its terms, is exercising independent judgment, evaluated the investment in Fund shares and has determined that an investment in Fund shares is an appropriate investment.
- 3 It agrees to be bound by the terms and conditions of (i) this Application and any services accepted herein or in the future, and (ii) the applicable Fund's prospectus and Statement of Additional information, as in effect on the date of this Application and as amended thereafter, and further agrees that this Application applies to any shares of any Funds into which account holder/firm exchanges their shares.
- 4 It understands, as disclosed in the prospectus, that the Funds and their service providers are not liable for any action resulting from a telephone instruction that reasonably appears to be genuine.
- 5 Fund shares are not insured by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. An investment in the Funds involves certain risks, including possible loss of principal.
- 6 If online access has been requested, account holder/firm agrees to be bound by the website Terms and Conditions and authorizes BlackRock to provide online access in accordance with your instructions.
- 7 **Under penalties of perjury, account holder/firm certifies that (unless account holder/firm checks the box in 7d below):**
  - a) The number shown on this Application is the correct Taxpayer Identification Number or Social Security Number; and
  - b) Account holder/firm is not subject to backup withholding because either (i) account holder/firm is exempt from backup withholding, (ii) account holder/firm has not been notified by the Internal Revenue Service that account holder/firm is subject to backup withholding as a result of a failure to report all interest or dividends, or (iii) the IRS has notified the account holder/firm that they are no longer subject to backup withholding; and
  - c) Account holder/firm is a U.S. person (including a U.S. resident alien), or
  - d) Alternatively, under penalties of perjury, account holder/firm certifies that they are currently subject to backup withholding. If so, check here ☐

The FATCA code(s) entered on this Application (if any) indicating the investor is exempt from FATCA reporting is correct. CODE:

**The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**

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Corporate officer or trustee or custodian or individual (Signature)

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Date (mm/dd/yyyy)

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Print name

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Title

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Corporate officer or trustee or custodian or individual (Signature)

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Date (mm/dd/yyyy)

---

Print name

---

Title

### State unclaimed property law disclosure

The assets in your account are subject to state unclaimed property laws which provide that if no activity occurs in your account within the time period specified by the particular state law, your assets must be transferred to the appropriate state. We are required by law to advise you that your assets may be transferred to an appropriate state in compliance with these state laws.

### Privacy principles of BlackRock funds

BlackRock is committed to maintaining the privacy of its current and former fund investors and individual clients (collectively, "Clients") and to safeguarding their nonpublic personal information. The following information is provided to help you understand what personal information BlackRock collects, how we protect that information and why in certain cases we share such information with select parties.

If you are located in a jurisdiction where specific laws, rules or regulations require BlackRock to provide you with additional or different privacy-related rights beyond what is set forth below, then BlackRock will comply with those specific laws, rules or regulations.

BlackRock obtains or verifies personal nonpublic information from and about you from different sources, including the following: (i) information we receive from you or, if applicable, your financial intermediary, on applications, forms or other documents; (ii) information about your transactions with us, our affiliates, or others; (iii) information we receive from a consumer reporting agency; and (iv) from visits to our website.

BlackRock does not sell or disclose to nonaffiliated third parties any nonpublic personal information about its Clients, except as permitted by law, or as is necessary to respond to regulatory requests or to service Client accounts. These nonaffiliated third parties are required to protect the confidentiality and security of this information and to use it only for its intended purpose.

We may share information with our affiliates to service your account or to provide you with information about other BlackRock products or services that may be of interest to you. In addition, BlackRock restricts access to nonpublic personal information about its Clients to those BlackRock employees with a legitimate business need for the information. BlackRock maintains physical, electronic and procedural safeguards that are designed to protect the nonpublic personal information of its Clients, including procedures relating to the proper storage and disposal of such information.

## Want to know more?

[blackrock.com/cash](https://blackrock.com/cash)

Not FDIC Insured • May Lose Value • No Bank Guarantee

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