

BlackRock Fund Application Pack



Dated: 9 October 2025

Fund Name	ARSN/APIR
BlackRock Advantage Australian Equity Fund	089 394 301/BAR0814AU
BlackRock Advantage Hedged International Equity Fund	101 697 376/BGL0109AU
BlackRock Advantage International Equity Fund	089 415 341/BAR0817AU
BlackRock Balanced Multi-Index Fund (Class D Units) BlackRock	635 221 368/ BLK1918AU
Conservative Multi-Index Fund (Class D Units) BlackRock	639 750 959/ BLK6264AU
Diversified ESG Growth Fund	089 400 046/BAR0813AU
BlackRock Diversified ESG Stable Fund	089 406 011/BAR0811AU
BlackRock Global Allocation Fund (Aust) (Class D Units) BlackRock	114 214 701/MAL0018AU
Global Equity Signals Fund (Class D Units)	624 187 588/BLK5937AU
BlackRock Global Listed Infrastructure Fund (Class D Units)	120 910 081/BLK8833AU
BlackRock Global Multi-Asset Income Fund (Aust) (Class D Units)	607 286 359/BLK0009AU
BlackRock Growth Multi-Index Fund (Class D Units)	635 221 573/BLK9560AU
BlackRock High Growth Multi-Index Fund (Class D Units)	639 752 499/BLK1596AU
BlackRock Moderate Multi-Index Fund (Class D Units) BlackRock	635 221 635/BLK3651AU
Multi Opportunity Absolute Return Fund	153 183 665/BLK0001AU
BlackRock Tactical Growth Fund (Class D Units)	088 051 889/PWA0822AU
iShares All-Country Equity Index Fund	116 116 137/BGL0079AU
iShares Australian Bond Index Fund	097 295 424/BGL0105AU
iShares Australian Equity Index Fund	089 405 363/BGL0034AU
iShares Australian Listed Property Index Fund	097 295 264/BGL0108AU
iShares Emerging Markets IMI Equity Index Fund (Class D Units)	142 291 423/BGL0083AU
iShares ESG Australian Bond Index Fund (Class D Units)	630 334 164/BLK2127AU
iShares ESG Screened Global Bond Index Fund (Class D Units)	633 312 286/BLK4636AU
iShares Global Bond Index Fund (Class D Units)	090 168 193/BGL0008AU
iShares Global Listed Property Index Fund (Hedged Class D Units)	637 310 537/BLK0252AU
iShares Hedged International Equity Index Fund (Class D Units)	095 723 710/BGL0044AU
iShares International Equity Index Fund	097 295 353/BGL0106AU
BlackRock Emerging Markets Fund (Aust) (Class D)	667 813 210/BLK5644AU
BlackRock Global Core Equity Fund (Aust) (Class D)	667 813 612/BLK6302AU
BlackRock Global Real Estate Securities Fund (Aust) (Class D)	667 813 452/BLK0677AU
BlackRock Global Unconstrained Equity Fund (Aust) (Class D)	668 885 434/BLK9425AU
BlackRock Global Liquid Alternatives Fund (Aust) (Class D Units)	670 269 566/ BLK9955AU

BlackRock Investment Management (Australia) Limited
ABN 13 006 165 975
Australian Financial Services Licence No 230523

Important information to note in completing the Fund Application Form

You should read the Product Disclosure Statement (PDS) of the Fund(s) in which you are investing, including (if applicable) all supplementary PDS and any information incorporated by reference forming part of that PDS, in full before completing the Fund Application Form. Completely fill in ALL applicable sections of the Fund Application Form, sign and return the completed Fund Application Form (including any blank sections) to us. Where a tick box is provided in response to a question, please nominate your preference by placing a ✓ in the appropriate box.

How to complete the Fund Application Form

To assist us with processing your application please work through the checklist below and ensure that you have completed all relevant sections and provided all necessary attachments.

Incomplete information may delay the processing of your application.

- Section 1. Investor Details:** Provide BlackRock with all investor(s) details including full name, residential address, date of birth and contact details as well as details for any corporate/trust investors.

You are invited to provide a postal address in this section. The postal address will be used for all account correspondence. Investors opting to provide a postal address must also provide their full residential address. If two different residential addresses are supplied (and no postal address), all correspondence will be sent to the 1st investor's residential address.
- Section 2. Investment Details:** Select the Fund(s) into which you wish to invest, provide details of your initial investment amount and the selected method of paying your application monies:
 - Direct credit: If you have selected direct credit, you will need to provide verification from your financial institution that the application monies have been banked into the application bank account, as specified.
 - BPAY® *: Once we receive your application form, and your investment account has been established, we will send you details on how to use the BPAY facility (including providing you with your BlackRock Biller Code and Customer Reference Number). Registered to BPAY® Pty Ltd ABN 69 079 137 518
- Section 3. Nominated Bank Account for Income Distribution and Redemptions:** Specify your nominated bank account for receiving income distributions (if applicable) and redemptions from the Fund(s). The details provided must be for a bank account with an Australian domiciled financial institution. Nominate if you wish to have income distributions reinvested or credited to your nominated Australian bank account.
- Section 4. Tax File Number or Exemption Notification:** You are invited to provide the TFN/ABN details for your investment. You are not obligated to quote your TFN or claim an exemption. Only one TFN should be provided unless the account is held in joint names in which case all individuals should provide their TFN.

Your alternatives to quoting a TFN include quoting an Australian Business Number (ABN) or notifying us of a TFN exemption (please visit the Australian Tax Office's website at www.ato.gov.au for a full list of TFN exemptions).

Trustees should provide the TFN or ABN of the Superannuation Fund or Trust. An individual or Company account type with an informal trust will apply if individual or corporate trustee TFN/ABN details are provided.
- Section 5. United States Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS):** In order for the Funds to comply with their FATCA and CRS obligations, we are required to request certain information from investors as set out in the Fund Application Form. This section must be completed by all investors. You are required to identify yourself as either an individual, joint investor, sole trader, Australian Retirement Plan, a company, trust, partnership, government body or association, and complete the rest of section 5 as instructed.

Please also refer to the explanatory notes that accompany this section on the following page of this Application Form.
- Section 6. Anti-Money Laundering and Counter-Terrorism Financing:** Ensure that you have completed and attached the **Investor Identification Form** that follows the Fund Application Form. If you are a Foreign Company or Foreign Company acting as a Corporate Trustee, you will need to complete the Foreign Corporate Entity Investor Identification Form available from our website at www.blackrock.com/au or by contacting our Client Services Centre using the contact information provided in the PDS.
- Section 7. Financial Adviser:** Complete this section if you would like to register a financial adviser on your Fund account. **You must complete this section and provide your financial adviser's details if you are a retail investor and are applying to invest in any of the following funds:**
 - BlackRock Advantage International Equity Fund
 - BlackRock Advantage Hedged International Equity Fund
 - BlackRock Global Allocation Fund (Aust) (Class D Units)
 - BlackRock Global Equity Signals Fund (Class D Units)
 - BlackRock Global Liquid Alternatives Fund (Aust) (Class D)
 - BlackRock Multi Opportunity Absolute Return Fund
 - BlackRock Tactical Growth Fund (Class D Units)
 - BlackRock Emerging Markets Fund (Aust) (Class D)
 - BlackRock Global Core Equity Fund (Aust) (Class D)
 - BlackRock Global Real Estate Securities Fund (Aust) (Class D)
 - BlackRock Global Unconstrained Equity Fund (Aust) (Class D)
- Section 8. Conditions Applicable to Investors Using Margin Lending:** If the account is mortgaged, the margin lending institution must complete and sign this section of the Fund Application Form.
- Section 9. Signature:** Each investor to populate and sign this section.

You should read the Product Disclosure Statement (PDS) of the Fund(s) in which you are investing, including (if applicable) all supplementary PDS and any information incorporated by reference forming part of that PDS, in full before completing the Fund Application Form. Where the PDS is provided electronically, the Corporations Act 2001 (Cth) prohibits any person from passing on to another the Fund Application Form unless it is attached to or accompanied by the complete and unaltered electronic PDS and (if applicable) any relevant Supplementary PDS.

Send your completed Fund Application Form (including any blank sections) to **BlackRock Investment Management (Australia) Limited, C/ - MUFG Corporate Markets (AU) Limited**, Locked Bag 5038, Parramatta NSW 2124, Priority or by fax to 1300 366 107. If you choose to fax us your Fund

Application Form you will also need to send us the original for our records. Please use **BLOCK CAPITALS** to complete this form.

Section 1. Investor Details

<input type="text"/>	[<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>]							
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Investor number (leave blank if this is your first application into a BlackRock Fund)

1st Investor / Individual Trustee

<input type="text"/>	<input type="text"/>
Mr/Mrs/Miss/Ms/Other	Given name(s)
<input type="text"/>	
Surname	
<input type="text"/>	
Date of birth (dd/mm/yyyy) (mandatory)	
<input type="text"/>	
Country of birth	
<input type="text"/>	
Nationality/Citizenship	
<input type="text"/>	
Occupation	
<input type="text"/>	

Residential address details (mandatory): PO Box is **NOT** acceptable

Street address	
<input type="text"/>	
Suburb	
<input type="text"/>	
State	Postcode
<input type="text"/>	<input type="text"/>
Country (if not Australia)	
<input type="text"/>	

Contact details: Provide at least **ONE** contact telephone number

Telephone (home)
<input type="text"/>
Telephone (work)
<input type="text"/>
Telephone (mobile)
<input type="text"/>
Email
<input type="text"/>
Facsimile
<input type="text"/>

Company/Corporate Trustee/Partnership

<input type="text"/>											
Name of company/corporate trustee/partnership											
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Company ABN/ACN/ARBN											
<input type="text"/>											
Registered office address details (mandatory): PO Box is NOT acceptable											
Street address											
<input type="text"/>											
Suburb											
<input type="text"/>											
State	Postcode										
<input type="text"/>	<input type="text"/>										

2nd Investor / Individual Trustee

<input type="text"/>	<input type="text"/>
Mr/Mrs/Miss/Ms/Other	Given name(s)
<input type="text"/>	
Surname	
<input type="text"/>	
Date of birth (dd/mm/yyyy) (mandatory)	
<input type="text"/>	
Country of birth	
<input type="text"/>	
Nationality/Citizenship	
<input type="text"/>	
Occupation	
<input type="text"/>	

Residential address details (mandatory): PO Box is **NOT** acceptable

Street address	
<input type="text"/>	
Suburb	
<input type="text"/>	
State	Postcode
<input type="text"/>	<input type="text"/>
Country (if not Australia)	
<input type="text"/>	

Contact details: Provide at least **ONE** contact telephone number

Telephone (home)
<input type="text"/>
Telephone (work)
<input type="text"/>
Telephone (mobile)
<input type="text"/>
Email
<input type="text"/>
Facsimile
<input type="text"/>

Superannuation Fund/Trust (if applicable)

<input type="text"/>											
Name of superannuation fund/trust											
Postal address											
Postal address (if different to the already provided residential/registered address) will be used for all account correspondence											
Street address											
<input type="text"/>											
Suburb											
<input type="text"/>											
State	Postcode										
<input type="text"/>	<input type="text"/>										
Telephone (work)											
<input type="text"/>											
Telephone (mobile)											
<input type="text"/>											
Email											
<input type="text"/>											

Contact details: Provide at least **ONE** contact telephone number

Section 2. Investment Details

Please select ✓ the Fund(s) into which you wish to invest and complete the amount you wish to invest (Funds marked with an asterisk * have a minimum investment amount of \$500,000. All other Funds have a minimum investment amount of \$50,000).

<input type="checkbox"/>	BlackRock Advantage Australian Equity Fund ARSN: 089 394 301 / APIR: BAR0814AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Advantage Hedged International Equity Fund ARSN: 101 697 376 / APIR: BGL0109AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Advantage International Equity Fund ARSN: 089 415 341 / APIR: BAR0817AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Balanced Multi-Index Fund (Class D) ARSN: 635 221 368 / APIR: BLK1918AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Conservative Multi-Index Fund (Class D) ARSN: 639 750 959 / APIR: BLK6264AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Diversified ESG Growth Fund ARSN: 089 400 046 / APIR: BAR0813AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Diversified ESG Stable Fund ARSN: 089 406 011 / APIR: BAR0811AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Global Allocation Fund (Aust) (Class D) ARSN: 114 214 701 / APIR: MAL0018AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Global Equity Signals Fund (Class D) ARSN: 624 187 588 / APIR: BLK5937AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Global Listed Infrastructure Fund (Class D) ARSN: 120 910 081 / APIR: BLK8833AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Global Liquid Alternatives Fund (Aust) (Class D) ARSN: 670 269 566/ APIR: BLK9955AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Global Multi-Asset Income Fund (Aust) (Class D) ARSN: 607 286 359 / APIR: BLK0009AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Growth Multi-Index Fund (Class D) ARSN: 635 221 573 / APIR: BLK9560AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock High Growth Multi-Index Fund (Class D) ARSN: 639 752 499/ APIR: BLK1596AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Moderate Multi-Index Fund (Class D) ARSN: 635 221 635 / APIR: BLK3651AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Multi Opportunity Absolute Return Fund ARSN: 153 183 665 / APIR: BLK0001AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Tactical Growth Fund (Class D) ARSN: 088 051 889 / APIR: PWA0822AU	\$	<input type="text"/>				
<input type="checkbox"/>	iShares All-Country Equity Index Fund ARSN: 116 116 137 / APIR: BGL0079AU	\$	<input type="text"/>				
<input type="checkbox"/>	iShares Australian Bond Index Fund ARSN: 097 295 424 / APIR: BGL0105AU	\$	<input type="text"/>				
<input type="checkbox"/>	iShares Australian Equity Index Fund ARSN: 089 405 363 / APIR: BGL0034AU	\$	<input type="text"/>				
<input type="checkbox"/>	iShares Australian Listed Property Index Fund ARSN: 097 295 264 / APIR: BGL0108AU	\$	<input type="text"/>				
<input type="checkbox"/>	iShares Emerging Markets IMI Equity Index Fund (Class D)* ARSN: 142 291 423/ APIR: BGL0083AU	\$	<input type="text"/>				
<input type="checkbox"/>	iShares ESG Australian Bond Index Fund (Class D) ARSN: 630 334 164 / APIR: BLK2127AU	\$	<input type="text"/>				
<input type="checkbox"/>	iShares ESG Screened Global Bond Index Fund (Class D) ARSN: 633 312 286 / APIR: BLK4636AU	\$	<input type="text"/>				
<input type="checkbox"/>	iShares Global Bond Index Fund (Class D) ARSN: 090 168 193 / APIR: BGL0008AU	\$	<input type="text"/>				
<input type="checkbox"/>	iShares Global Listed Property Index Fund (Hedged Class D) ARSN: 637 310 537 / APIR: BLK0252AU	\$	<input type="text"/>				
<input type="checkbox"/>	iShares Hedged International Equity Index Fund (Class D) ARSN: 095 723 710 / APIR: BGL0044AU	\$	<input type="text"/>				
<input type="checkbox"/>	iShares International Equity Index Fund ARSN: 097 295 353 / APIR: BGL0106AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Emerging Markets Fund (Aust) (Class D) ARSN: 667 813 210 / APIR: BLK5644AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Global Core Equity Fund (Aust) (Class D) ARSN: 667 813 612 / APIR: BLK6302AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Global Real Estate Securities Fund (Aust) (Class D) ARSN: 667 813 452 / APIR: BLK0677AU	\$	<input type="text"/>				
<input type="checkbox"/>	BlackRock Global Unconstrained Equity Fund (Aust) (Class D) ARSN: 668 885 434 / APIR: BLK9425AU	\$	<input type="text"/>				

Please select ✓ and provide **ONE** of the following, nominating the way in which your initial investment will be deposited.

Direct Credit to:

Bank: JP Morgan Chase Bank
BSB Number: 212-200
Account Number: 010037173

Account Name: BlackRock Wholesale Application Account
Reference: BlackRock Investor ID (if known) or investor name

PLEASE NOTE: If you use a direct credit facility you will need to provide verification from your financial institution that your application monies have been banked. Please also ensure application monies are deposited into the above application bank account before the Fund's Cut -off Time. Please refer to the Fund(s) PDS for further information.

BPAY:

Once we receive your application form, and your investment account has been established, we will send you details on how to use the BPAY facility (including providing you with your BlackRock Biller Code and Customer Reference Number).

Section 3. Nominated Bank Account for Income Distributions and Redemptions (mandatory)

Please specify your nominated Australian bank account for receiving income distributions (if applicable) and redemptions from the Fund(s). The details provided must be for a bank account with an Australian domiciled financial institution (payment to third party bank accounts is not permitted).

Name of financial institution

 †

BSB number

Account number

Account name

Please select ✓ and provide **ONE** of the following. If you do not make an income distribution nomination, it will be taken that you have requested that the income be reinvested in additional units of the Fund(s).

Section 3 continues on following page.

Section 3. Nominated Bank Account for Income Distributions and Redemptions (mandatory) (continued)

Please select ✓ and provide **ONE** of the following. If you do not make an income distribution nomination, it will be taken that you have requested that the income be reinvested in additional units of the Fund(s).

	ARSN / APIR	Reinvest in additional units in the Fund	Credit to the Australian bank account specified above
BlackRock Advantage Australian Equity Fund	089 394 301 / BAR0814AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Advantage Hedged International Equity Fund	101 697 376 / BGL0109AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Advantage International Equity Fund	089 415 341 / BAR0817AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Balanced Multi-Index Fund (Class D)	635 221 368 / BLK1918AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Conservative Multi-Index Fund (Class D)	639 750 959 / BLK6264AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Diversified ESG Growth Fund	089 400 046 / BAR0813AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Diversified ESG Stable Fund	089 406 011 / BAR0811AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Global Allocation Fund (Aust) (Class D)	114 214 701 / MAL0018AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Global Equity Signals Fund (Class D)	624 187 588 / BLK5937AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Global Listed Infrastructure Fund (Class D)	120 910 081 / BLK8833AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Global Multi-Asset Income Fund (Aust) (Class D)	607 286 359 / BLK0009AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Growth Multi-Index Fund (Class D)	635 221 573 / BLK9560AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock High Growth Multi-Index Fund (Class D)	639 752 499 / BLK1596AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Moderate Multi-Index Fund (Class D)	635 221 635 / BLK3651AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Multi Opportunity Absolute Return Fund	153 183 665 / BLK0001AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Tactical Growth Fund (Class D)	088 051 889 / PWA0822AU	<input type="checkbox"/>	<input type="checkbox"/>
iShares All-Country Equity Index Fund	116 116 137 / BGL0079AU	<input type="checkbox"/>	<input type="checkbox"/>
iShares Australian Bond Index Fund	097 295 424 / BGL0105AU	<input type="checkbox"/>	<input type="checkbox"/>
iShares Australian Equity Index Fund	089 405 363 / BGL0034AU	<input type="checkbox"/>	<input type="checkbox"/>
iShares Australian Listed Property Index Fund	097 295 264 / BGL0108AU	<input type="checkbox"/>	<input type="checkbox"/>
iShares Emerging Markets IMI Equity Index Fund (Class D)	142 291 423 / BGL0083AU	<input type="checkbox"/>	<input type="checkbox"/>
iShares ESG Australian Bond Index Fund (Class D)	630 334 164 / BLK2127AU	<input type="checkbox"/>	<input type="checkbox"/>
iShares ESG Screened Global Bond Index Fund (Class D)	633 312 286 / BLK4636AU	<input type="checkbox"/>	<input type="checkbox"/>
iShares Global Bond Index Fund (Class D)	090 168 193 / BGL0008AU	<input type="checkbox"/>	<input type="checkbox"/>
iShares Global Listed Property Index Fund (Hedged Class D)	637 310 537 / BLK0252AU	<input type="checkbox"/>	<input type="checkbox"/>
iShares Hedged International Equity Index Fund (Class D)	095 723 710 / BGL0044AU	<input type="checkbox"/>	<input type="checkbox"/>
iShares International Equity Index Fund	097 295 353 / BGL0106AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Emerging Markets Fund (Aust) (Class D)	667 813 210 / BLK5644AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Global Core Equity Fund (Aust) (Class D)	667 813 612 / BLK6302AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Global Real Estate Securities Fund (Aust) (Class D)	667 813 452 / BLK0677AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Global Unconstrained Equity Fund (Aust) (Class D)	668 885 434 / BLK9425AU	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock Global Liquid Alternatives Fund (Aust) (Class D)	670 269 566 / BLK9955AU	<input type="checkbox"/>	<input type="checkbox"/>

Section 4. Tax File Number (TFN) or Exemption Notification

Collection of TFNs is authorised, and its use and disclosure are strictly regulated, by tax laws and the Privacy Act. Quotation of your TFN is not compulsory and you may leave this section blank. However, tax may be taken out of your income distribution if you do not quote your TFN or claim an exemption to which you are entitled. For more information about the use of TFNs, please contact the Australian Tax Office.

1st Investor TFN

Tax File Number

Or exemption reason

Non-resident – country of residence

Other – please specify

2nd Investor TFN

Tax File Number

Or exemption reason

Non-resident – country of residence

Other – please specify

Company / Corporate Trustee TFN/ABN

Tax File Number or Australian Business Number

Or exemption reason

Non-resident – country of residence

Other – please specify

Superannuation Fund / Trust / Partnership TFN or ABN (not applicable for child under 18)

Tax File Number or Australian Business Number

Or exemption reason

Non-resident – country of residence

Other – please specify

Section 5. Foreign Account Tax Compliance Act (FATCA) & Common Reporting Standard (CRS) (mandatory)

Explanatory notes in respect of footnotes contained in this section 5 can be found on page 3 of this Application Form.

Are you an individual, joint investor or sole trader? (Please tick ✓). If yes, please complete **Tax residency⁶ information** in relation to your personal tax residency obligations.

Are you a company, trust, partnership, government body or association? (Please tick ✓). If yes, please complete **Tax residency⁶ information** in relation to your organisation's tax residency obligations.

Are you a regulated Australian Retirement Plan?³ (Please tick ✓). If yes, you are not required to complete Section 5. **Please proceed to section 6.**

You are also required to complete your organisation's **FATCA and CRS⁴ Classification**. Please complete both sections even if your classifications are the same.

Tax residency information (mandatory)

1st Investor

Are you a resident in any country other than Australia for tax purposes? Yes No

2nd Investor

Are you a resident in any country other than Australia for tax purposes? Yes No

If you have ticked ✓ 'Yes' please list in the table below all the countries in which you are a resident for tax purposes (including citizens of the United States and organisations incorporated in the United States) and your associated Tax Identification Number(s) for each country.

Countries of tax residency ⁶	Taxpayer Identification Number (TIN) ⁷
1. <input type="text"/>	<input type="text"/>
2. <input type="text"/>	<input type="text"/>

Countries of tax residency ⁶	Taxpayer Identification Number (TIN) ⁷
1. <input type="text"/>	<input type="text"/>
2. <input type="text"/>	<input type="text"/>

If you do not have a Tax Identification Number or equivalent, please enter a reason (A, B or C)

If you do not have a Tax Identification Number or equivalent, please enter a reason (A, B or C)

If your reason is (B) please briefly explain below

If your reason is (B) please briefly explain below

1.

2.

1.

2.

Reason A - The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents

Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the above table if you have selected this reason)

Reason C – No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

Section 5 continues on following page.

Section 5. Foreign Account Tax Compliance Act (FATCA) & Common Reporting Standard (CRS) (continued) (mandatory)

Organisation's Classification for FATCA

Please tick ✓ only one box in this section

United States Entity¹²

If you tick this box, please answer the question below.

Is your organisation one of the following? Yes No

- A retirement plan under section 7701(a)(37), or exempt organisation under section 501(a) of the US Internal Revenue Code.
- A regularly traded corporation on a recognised stock exchange.
- Any corporation that is a member of the same expanded affiliated group as a regularly traded corporation on a recognised stock exchange.
- A government entity.
- Any bank as defined in section 581 of the US Internal Revenue Code.
- OR any other exclusion listed in Explanatory Note 27.

Financial Institution¹⁴ – Depository Institution, Custodial Institution, Specified Insurance Company or Investment Entity.

If you have ticked ✓ Financial Institution, please provide your Global Intermediary Identification Number (GIIN):

<input type="text"/>														
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If the organisation does not have a GIIN, please tick ✓ one of the below options:

- Non-Participating Foreign Financial Institution**¹⁹ (in a non-IGA jurisdiction)
- Exempt Beneficial Owner**²⁰
- Deemed Compliant Foreign Financial Institution**²¹ (other than those listed above)

If your organisation is a Non-Financial Foreign Entity²², please tick ✓ one of the below options:

- Active Non-Financial Foreign Entity**²³ which is either:
- Non-Financial Listed Public Company**^{23b} (excluding US Companies)
 - Non-Profit Organisation**²⁴ that is exempt from Income Tax (excluding US Companies)
 - Government Entity**^{23d} (excluding US Government Entities)
 - Other Active Non-Financial Foreign Entity**²² (other than those listed above)

Passive Non-Financial Foreign Entity²⁶ (If you tick ✓ this box, please also complete Controlling Persons)

Organisation's Classification for CRS

Please tick ✓ only one box in this section.

- Financial Institution**²⁸ – Depository Institution, Custodial Institution, or Specified Insurance Company
- Investment Entity**³⁰ other than a Non-Participating Jurisdiction Managed Investment Entity
- Non-Participating Jurisdiction Managed Investment Entity**³¹ (If you tick this box, please also complete Controlling Persons)

If your organisation is a Non-Financial Entity³³, please tick ✓ one of the below options:

- Active Non-Financial Entity**³⁴ which is either:
- Regularly traded on an established securities market or a corporation which is a related entity**³⁵ of such a corporation
 - Government Entity**
 - Central Bank**
 - International Organisation**
 - Other Active Non-Financial Entity**³⁴ (other than those listed above)

Passive Non-Financial Entity³⁶ (If you tick ✓ this box, please also complete Controlling Persons)

Section 5 continues on following page.

Section 5. Foreign Account Tax Compliance Act (FATCA) & Common Reporting Standard (CRS) (continued) (mandatory)

Controlling Persons

If you have ticked ✓ Non-Participating Jurisdiction Managed Investment Entity or Passive NFFE/NFE please list names of Controlling Persons¹¹ below. The Controlling Persons self-certification form which is located at the back of this Application Form must be completed for each controlling person.

Please tick ✓ this box, if your organisation **does not** have any 'Controlling Persons' as defined in Explanatory Note 11.

Controlling person name 1

Controlling person name 5

Controlling person name 2

Controlling person name 6

Controlling person name 3

Controlling person name 7

Controlling person name 4

Controlling person name 8

If you have more than 8 controlling persons, please provide a list of their names separately.

Section 6. Anti-Money Laundering and Counter-Terrorism Financing

BlackRock Investment Management (Australia) Limited (**BlackRock**) is required to comply with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (**AML Legislation**). Please tick ✓ confirming your acknowledgement of the below.

I/we acknowledge and agree BlackRock may use personal information collected for the purpose of verifying my/our identity. When verifying my/our identity using electronic means, I/we acknowledge and agree that (i) BlackRock may disclose my/our personal information to a credit reporting agency for the purpose of providing an assessment as to whether the personal information matches (in whole or in part) personal information contained in a credit information file in the possession or control of the credit reporting agency; (ii) the credit reporting agency may provide BlackRock with the assessment; and (iii) the credit reporting agency may use my/our personal information and the names, residential addresses and dates of birth contained in credit information files of other individuals for the purpose of preparing such an assessment.

In addition to the Fund Application Form, you must also complete and submit the Investor Identification Form that follows the Fund Application Form. If you are a Foreign Company or Foreign Company acting as a Corporate Trustee, you will need to complete the Foreign Corporate Entity Investor Identification Form, which is available from our website at www.blackrock.com/au or by contacting our Client Services Centre using the contact information provided in the PDS.

Section 7. Financial Adviser

Please complete this section if you are applying into the Fund(s) with/through a Financial Adviser and would like to register your Financial Adviser on your Fund account. You must complete this section and provide your financial adviser's details if you are a retail investor and are applying to invest in any of the following funds:

- **BlackRock Advantage International Equity Fund**
- **BlackRock Advantage Hedged International Equity Fund**
- **BlackRock Global Allocation Fund (Aust) (Class D Units)**
- **BlackRock Global Equity Signals Fund (Class D Units)**
- **BlackRock Multi Opportunity Absolute Return Fund**
- **BlackRock Tactical Growth Fund (Class D Units)**
- **BlackRock Emerging Markets Fund (Aust) (Class D)**
- **BlackRock Global Core Equity Fund (Aust) (Class D)**
- **BlackRock Global Real Estate Securities Fund (Aust) (Class D)**
- **BlackRock Global Unconstrained Equity Fund (Aust) (Class D)**
- **BlackRock Global Liquid Alternatives Fund (Aust) (Class D)**

Financial adviser name

Dealer group name

BlackRock adviser code (if known)

OR

No financial adviser

Financial Adviser /Authorised Representative number recorded on the ASIC Financial Adviser Register

Section 8. Conditions Applicable to Investors Using Margin Lending

I wish to invest in the Fund(s) using a margin lending loan

BlackRock will record in the register, the margin lender's (**Lender**) interest subject to the Terms and Conditions set out below:

1. The entry of a Lender on the register will only be removed upon receipt by BlackRock of a request from the Lender.
2. BlackRock will only accept a withdrawal request from the Lender.
3. Unless otherwise directed by the Lender, BlackRock will only act on a request received from the Lender.
4. Withdrawal payments will be made to the Lender, or at the Lender's request to the Investor.
5. The entry of a Lender on the register will not put BlackRock on notice of the terms of the relevant margin lending arrangement. BlackRock is not under any obligation to inquire into the terms of the particular margin lending arrangements.
6. If on the termination of the Fund(s) in which you are invested there is an existing mortgage of any units, the amount or property to be distributed in respect of those units will be distributed to the Lender and not the Investor, unless BlackRock has received a request from the Lender that the amount or property in question is to be distributed to the Investor (or such other party as the Lender directs).
7. Any payment made to a Lender by BlackRock is a full and final discharge of our obligations to the Investor in relation to that payment.
8. In accordance with the constitution of the Fund(s), the Investor will be liable to indemnify BlackRock in respect of any liability of BlackRock which arises out of the margin lending facility.

Reference to a "request" in the above Terms and Conditions refers to a written, signed and dated request given to BlackRock by the Lender or Investor (as applicable) to perform a particular action or do some other thing as stated in the request, and includes any amendment made to any request.

To be completed by the Lender

Institution name

Signature of authorising person

Name of authorising person

Please note the investor must:

- complete the section of the Fund Application Form titled "Tax File Number or Exemption Notification", ensuring that they provide their tax file number and not that of the margin lender; and
- read and sign the final section of the Fund Application Form titled "Signature".

Date

Signature of authorising person

Name of authorising person

Section 9. Signature

I/We agree to be bound by the PDS for the Fund(s) (including (if applicable) all Supplementary PDS and all information incorporated by reference forming part of the PDS) and the provisions of the Constitution for the Fund(s), as amended from time to time, which govern the operation of the Fund(s).

I/We acknowledge that, upon receipt of this application, BlackRock may enter into transactions for the Fund(s) in anticipation of payment of application monies, and I/we indemnify BlackRock against any losses and expenses incurred by it if the application monies are not received as cleared funds by the Fund(s) in the normal course.

I/We consent to telephone conversations being recorded. Recordings may be used for quality, verification and training purposes.

I/We (including, in my/our personal capacity) agree to the collection, disclosure and use of information as contemplated in the section titled "Privacy", contained in the PDS or Incorporation by Reference document forming part of the PDS for the Fund(s).

I/We acknowledge it is possible at any time to opt out from receiving promotional information about services and products of BlackRock and its related companies by contacting BlackRock's Client Services Centre.

I/We acknowledge that neither BlackRock Investment Management (Australia) Limited or BlackRock, Inc. or any of its associates, related body corporates or subsidiaries guarantees the repayment of capital or the performance of the Fund(s).

I/we agree to receive confirmation of my/our investment transactions online via BlackRock's website.

I/We declare that I/we have received and accepted the offer to apply to invest into the Fund(s) in Australia.

I/We declare that if investing as trustee, on behalf of a superannuation fund or trust, I/we confirm that I am/we are acting in accordance with my/our designated powers and authority under the trust deed. In the case of superannuation funds, I/we also confirm that the superannuation fund is a complying fund under the Superannuation Industry (Supervision) Act 1993.

Section 9 continues on following page.

Section 9. Signature (continued)

If this is a joint application, each investor acknowledges and agrees that investments in the Fund(s) are held as joint tenants and not as tenants in common (unless the responsible entity of the Fund(s) otherwise agrees)

I/We acknowledge that this Fund Application Form is accompanied by a current PDS for the Fund(s) (including (if applicable) all Supplementary PDS) which I/we have read.

I/We acknowledge that (if applicable) I/we have access to all statements and information incorporated by reference forming part of the PDS for the Fund(s).

If I/we have received a Fund Application Form electronically I/we declare that it was accompanied by an electronic copy of the PDS (including (if applicable) all Supplementary PDS) for the Fund(s) or a print out of it, which I/we personally received.

I/We acknowledge and agree that: (i) BlackRock may at its sole discretion transact with me/us via straight through processing networks or other similar electronic messaging networks facilitating the exchange of electronic communications where BlackRock has notified me/us in writing prior to the availability of such service and (ii) BlackRock will not be liable to me/us for any loss whatsoever in connection with instructions sent by me/us via such networks which are not received by BlackRock and (iii) BlackRock may at its sole discretion cease transacting with me/us via such networks.

I/We have read and understood the information in the "Anti-Money Laundering and Counter Terrorism Financing" section of the PDS or Incorporation by Reference document forming part of the PDS for the Fund(s).

I/We acknowledge that all information provided in this Application Form is true and correct.

I/We consent to BlackRock providing me/us with certain types of product disclosure (including but not limited to Product Disclosure Statements) and other types of Fund related documentation (including but not limited to annual reports) via digital disclosure (including but not limited to email and publication of documentation to BlackRock's website or online client portals).

I/We acknowledge that the terms and conditions set out in this Application Form will govern all other investments I/we make in the Fund(s) to which this current application relates.

BlackRock must comply with the AML Legislation, which requires us to, among other things, establish your identity. By completing this Fund Application Form and providing us with information to establish your identity, you acknowledge and agree:

- this information will be used by BlackRock to establish your identity for the purposes of the AML Legislation;
- BlackRock will not be responsible or liable to you or any other person for any loss suffered where transactions are delayed, blocked, frozen or where BlackRock declines to process a transaction or ceases to provide you with a product or service in circumstances where BlackRock is unable to establish your identity or where BlackRock reasonably believes you are a **Proscribed Person****.

**** A Proscribed Person** means any person or entity who BlackRock reasonably believes to be (i) in breach of the laws of any jurisdiction regarding economic or trade sanctions, or laws prohibiting money laundering or terrorism financing, or (ii) on a list of persons with whom dealings are proscribed by Australian laws or the laws of another recognised jurisdiction. A Proscribed Person includes any person or entity who BlackRock reasonably believes to act on behalf, or for the benefit of, a person or entity referred to in (i) and/or (ii).

A paper copy of the PDS (including (if applicable) all Supplementary PDS) for the Fund(s) is available free of charge upon request by contacting the BlackRock Client Services Centre.

Investor 1

Signature

Name of signatory

Corporate title (if applicable) **mandatory***
(Sole Director/Director/Sole Secretary/Secretary/Trustee/PoA)

Date

Investor 2

Signature

Name of signatory

Corporate title (if applicable) **mandatory***
(Sole Director/Director/Sole Secretary/Secretary/Trustee/PoA)

Date

Company seal

Signing Instructions

- ★ **Individual investor:** Where the investment is in one name, the investor must sign.
- ★ **Joint investors:** Where the investment is in more than one name, all investors must sign. All subsequent instructions will require the signature of all joint investors.
- ★ **Corporate investor/Corporate trustee:** Must sign either: (a) under seal and signed by directors; or (b) by two directors or director and company secretary; or (c) by a sole director/sole secretary (where applicable). **Please state your name & role in the company beneath your signature (e.g. Director, Secretary, Sole Director).**
- ★ **Superannuation/Trust:** Each trustee must sign.
- ★ **Power of Attorney (POA):** If signed under a POA, the POA must have been previously noted by BlackRock. If not, an originally certified copy of the POA as well as an originally certified copy of the attorney's driver's licence, passport or other photo identification which confirms their name, address and contains their signature must be attached to this form. The attorney certifies that they have not received notice of revocation of that power.
- ★ **Signatory List:** Please provide a signatory list (if applicable).

A checklist is provided at the beginning of the Application Form. Please work through the checklist and ensure that you have completed all relevant sections and provided all relevant attachments to assist us in processing your application as efficiently as possible.

INDIVIDUAL SELF-CERTIFICATION FORM (Rev. October 2023)

Part I	IDENTIFICATION OF ACCOUNT HOLDER
Title (Mr./Mrs./Miss/Other)	
Surname	
First name	
Middle name	
City of birth	
Country of birth	
Date of birth (mm/dd/yyyy)	
Permanent address	
Postal code/ZIP code	
Country	
Mailing address (please complete if different from above)	
Postal code/ZIP code	
Country	

Part II	COUNTRY OF RESIDENCE FOR TAX PURPOSES AND RELATED TAXPAYER IDENTIFICATION NUMBER OR FUNCTIONAL EQUIVALENT (“TIN”)	
<ul style="list-style-type: none"> ▪ Tax Regulations require us to collect certain information about each investor’s tax residency. We may use the information you provide in this form for the purpose of assisting us, our affiliates and our agents to comply with the Tax Regulations, including by disclosure to the relevant tax authorities. ▪ In certain circumstances, including if we do not receive a valid self-certification from you, we will be required to share information about your account(s) with the relevant tax authorities. ▪ In each case, the relevant tax authorities may in turn share this information with the tax authorities of any or all participating jurisdictions, including the US. ▪ Please indicate all countries in which you are resident for tax purposes and your associated Taxpayer Identification Number (“TIN”) in the table below. ▪ If the Account Holder is tax resident in more than three countries/jurisdictions, please attach a separate sheet. ▪ If you have any questions about your tax residency, please contact your tax adviser and see the information on the OECD website: www.oecd.org/tax/automatic-exchange/. 		
Country of tax residence	TIN	N/A*
<p>* Please only check this box if you are resident in a country which does not issue TINs, or you are not required to provide pursuant to applicable law. Please explain why you have not provided a TIN:</p>		

*****CONTINUES TO NEXT PAGE*****

Part III

DECLARATION AND SIGNATURE

Data Protection

BlackRock is the data controller in respect of any personal data you provide in this form and all such personal data may be processed only for the purposes set out in this form. Depending on the laws of your location, you may have the right to request from us a copy of your personal data and the right to rectify such personal data in the event of any errors or inaccuracies.

- I acknowledge that the information contained in this form and information regarding the individual Account Holder and any Reportable Account(s) may be reported to the tax authorities of the jurisdiction in which this account(s) is maintained and exchanged with tax authorities of another jurisdiction(s) in which [I/the Account Holder] may be tax resident pursuant to international agreements to exchange financial account information.
- I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
- I acknowledge that in some jurisdictions it is an offence to make a self-certification that is false in a material particular.
- I undertake to advise the recipient within 30 days of any change in circumstances which affects the tax residency status of the individual identified in Part I of this form or causes the information contained herein to become incorrect, and to provide the recipient with a suitably updated self-certification and Declaration within 30 days of such change in circumstances.

Signature

Name

Date

(MM/DD/YYYY)

INSTRUCTIONS

Please read these instructions before completing the form.

Regulations based on the OECD Common Reporting Standard (“CRS”) require Financial Institutions (“FIs”) to collect and report certain information about an account holder’s tax residency. If your tax residence, or the account holder, if you are completing the form on their behalf, is located outside the country where the FI maintaining the account is located, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to the tax authorities in the country where the FI is located.

You can find definitions of who is classified as an account holder, and other terms, in the **APPENDIX**.

This form will remain valid unless there is a change in circumstances relating to the account holder’s tax status or other mandatory fields included on this form. You must notify us of a change in circumstances that makes the information in this self-certification incorrect or incomplete and provide an updated self-certification.

This form is intended to request information only where such a request is not prohibited by local law.

Please complete this form where you need to self-certify on behalf of an entity account holder.

If you are an individual account holder or sole trader or sole proprietor do not complete this form; instead please complete an Individual tax residency self-certification form.

For joint or multiple account holders please complete a separate form for each account holder.

If the Account Holder is a US Person under US Internal Revenue Service (“IRS”) regulations, an IRS Form W-9 may also need to be completed.

Where the Account Holder is a Passive NFE, or an Investment Entity located in a Non-Participating Jurisdiction managed by another Financial Institution, please provide information on the natural person(s) who exercises control over the Account Holder, individuals referred to as Controlling Person(s), by completing a Controlling Person tax residency self-certification form for each Controlling Person. This information should be provided by all Investment Entities located in a Non-Participating Jurisdiction and managed by another Financial Institution even if they also fall into a category of Non-Reporting Financial Institution.

If you are completing the form on the Account Holder’s behalf, then you should indicate the capacity in which you have signed. For example, you may be the custodian or nominee of an account on behalf of the account holder, or you may be completing the form under a signatory authority or power of attorney.

If you have any questions about this form, these instructions, or defining your tax residency status, please speak to your tax adviser or local tax authority.

You can also find out more, including a list of jurisdictions that have signed agreements to automatically exchange information, along with details about the information being requested at: oecd.org/tax/automatic-exchange/.

APPENDIX

Definitions

Note: These are selected definitions provided to assist you with the completion of this form. Further details can be found within the OECD CRS, the associated Commentary to CRS, and domestic guidance, which can be found at the following link: oecd.org/tax/automatic-exchange/.

Account Holder

The Account Holder is the person listed or identified as the holder of a Financial Account by the Financial Institution that maintains the account, regardless of whether such person is a flow-through Entity. Thus, for example, if a trust or an estate is listed as the holder or owner of a Financial Account, the trust or estate is the Account Holder, rather than the trustee or the trust's owners or beneficiaries. Similarly, if a partnership is listed as the holder or owner of a Financial Account, the partnership is the Account Holder, rather than the partners in the partnership. A person, other than a Financial Institution, holding a Financial Account for the benefit or account of another person as agent, custodian, nominee, signatory, investment advisor, or intermediary, is not treated as holding the account, and such other person is treated as holding the account.

Active NFE

An NFE is an Active NFE if it meets any of the following criteria:

- (a) active NFEs by reason of income and assets;
- (b) publicly traded NFEs;
- (c) Governmental Entities, International Organizations, Central Banks, or their wholly owned Entities;
- (d) holding NFEs that are members of a nonfinancial group;
- (e) start-up NFEs;
- (f) NFEs that are liquidating or emerging from bankruptcy;
- (g) treasury centers that are members of a nonfinancial group; or
- (h) non-profit NFEs.

An entity will be classified as Active NFE if it meets any of the following criteria:

- (a) less than 50 percent of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- (b) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market;
- (c) the NFE is a Governmental Entity, an International Organization, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
- (d) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
- (e) the NFE is not yet operating a business and has no prior operating history, (a start-up NFE) but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
- (f) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;

- (g) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; or
- (h) the NFE meets all of the following requirements (a non-profit NFE):
 - i. it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
 - ii. it is exempt from income tax in its jurisdiction of residence;
 - iii. it has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
 - iv. the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and
 - v. the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision.

Note: Certain entities, such as US Territory NFFEs, may qualify for Active NFFE status under FATCA but not Active NFE status under CRS.

Control

Control over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest, typically on the basis of a certain percentage (e.g. 25 percent), in the Entity. Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is identified as exercising control of the Entity, for example where no underlying person has control of greater than 25 percent of the entity, then under CRS the Reportable Person is deemed to be the natural person who holds the position of senior managing official.

For Cayman Islands, the threshold for Controlling Person is 10 percent.

Controlling Person(s)

Controlling Persons are the natural person(s) who exercise control over an entity. Where that entity is treated as a Passive Non-Financial Entity ("Passive NFE") then a Financial Institution is required to determine whether or not these Controlling Persons are Reportable Persons. This definition corresponds to the term "beneficial owner" described in Recommendation 10 of the Financial Action Task Force Recommendations, as adopted in February 2012.

In the case of a trust, the Controlling Person(s) are the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(s) or class(es) of beneficiaries, or any other natural person(s) exercising ultimate effective control over the trust, including through a chain of control or ownership. Under CRS the settlor(s), the trustee(s), the protector(s) (if any), and the beneficiary(s) or class(es) of beneficiaries, are always treated as Controlling Persons of a trust, regardless of whether or not any of them exercises control over the activities of the trust.

Where the settlor(s) of a trust is an Entity then CRS requires Financial Institutions to also identify the Controlling Persons of the settlor(s) and when required report them as Controlling Persons of the trust.

In the case of a legal arrangement other than a trust, "Controlling Person(s)" means persons in equivalent or similar positions.

- (a) Investors in Cayman Islands domiciled financial institutions should note that the threshold for ownership and control, directly or indirectly, of a passive NFE as a legal entity is now 10 percent or more of the shares or voting rights in the legal person. This is in line with the Cayman Anti-Money Laundering Regulations, 2017. The threshold remains at 25 percent for all other participating jurisdictions.

Custodial Institution

The term Custodial Institution means any Entity that holds, as a substantial portion of its business, Financial Assets for the account of others. This is where the Entity's gross income attributable to the holding of Financial Assets and related financial services equals or exceeds 20 percent of the Entity's gross income during the shorter of: (i) the three-year period that ends on 31 December, or the final day of a non-calendar year accounting period, prior to the year in which the determination is being made; or (ii) the period during which the Entity has been in existence.

Depository Institution

The term Depository Institution means any Entity that accepts deposits in the ordinary course of a banking or similar business.

FATCA

FATCA stands for the Foreign Account Tax Compliance Act, which was enacted as part of the Hiring Incentives to Restore Employment ("HIRE") Act on 18 March 2010. FATCA creates a new information reporting and withholding regime for payments made to certain non-US financial institutions and other non-US entities.

Entity

The term Entity means a legal person or a legal arrangement, such as a corporation, organization, partnership, trust or foundation. This term covers any person other than an individual; i.e. a natural person.

Financial Institution

The term Financial Institution means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company. Please see the relevant domestic guidance and CRS for further classification definitions that apply to Financial Institutions.

Investment Entity

The term Investment Entity includes two types of Entities:

- (a) an Entity that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
 - i. Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
 - ii. Individual and collective portfolio management; or
 - iii. Otherwise investing, administering, or managing Financial Assets or money on behalf of other persons.

(Such activities or operations do not include rendering non-binding investment advice to a customer.)

- (b) The second type of Investment Entity, Investment Entity managed by another Financial Institution, is any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets where the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity.

Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution

The term Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution means any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets if the Entity is (i) managed by a Financial Institution and (ii) not a Participating Jurisdiction Financial Institution.

Investment Entity managed by another Financial Institution

An Entity is managed by another Entity if the managing Entity performs, either directly or through another service provider on behalf of the managed Entity, any of the activities or operations described in clause (i) above in the definition of Investment Entity.

An Entity only manages another Entity if it has discretionary authority to manage the other Entity's assets, either in whole or part. Where an Entity is managed by a mix of Financial Institutions, NFEs or individuals, the Entity is considered to be managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity, if any of the managing Entities is such another Entity.

NFE

An NFE is any Entity that is not a Financial Institution.

Non-Reporting Financial Institution

A Non-Reporting Financial Institution means any Financial Institution that is:

- (a) a Governmental Entity, International Organization or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depository Institution;
- (b) a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; a Pension Fund of a Governmental Entity, International Organization or Central Bank; or a Qualified Credit Card Issuer;
- (c) an Exempt Collective Investment Vehicle; or
- (d) a Trustee-Documented Trust: a trust where the trustee of the trust is a Reporting Financial Institution and reports all information required to be reported with respect to all Reportable Accounts of the trust;
- (e) any other defined in a country's domestic law as a Non-Reporting Financial Institution.

Participating Jurisdiction

A Participating Jurisdiction means a jurisdiction with which an agreement is in place pursuant to which it will provide the information set out in CRS.

Participating Jurisdiction Financial Institution

The term "Participating Jurisdiction Financial Institution" means (i) any Financial Institution that is tax resident in a Participating Jurisdiction, but excludes any branch of that Financial Institution that is located outside of that jurisdiction, and (ii) any branch of a Financial Institution that is not tax resident in a Participating Jurisdiction, if that branch is located in such Participating Jurisdiction.

Passive NFE

Under CRS a Passive NFE means any: (i) NFE that is not an Active NFE; and (ii) Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

Related Entity

An Entity is a Related Entity of another Entity if either Entity controls the other Entity, or the two Entities are under common control. For this purpose control includes direct or indirect ownership of more than 50 percent of the vote and value in an Entity.

Reportable Account

The term Reportable Account means an account held by one or more Reportable Persons or by a Passive NFE with one or more Controlling Persons that is a Reportable Person.

Reportable Jurisdiction

A Reportable Jurisdiction is a jurisdiction with which an obligation to provide financial account information is in place.

Reportable Jurisdiction Person

A Reportable Jurisdiction Person is an Entity that is tax resident in a Reportable Jurisdiction(s) under the tax laws of such jurisdiction(s) – by reference to local laws in the country where the Entity is established, incorporated or managed. An Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated. If an Entity certifies that it has no residence for tax purposes, it should complete the form stating the address of its principal office.

Dual resident Entities may rely on the tiebreaker rules contained in tax conventions, if applicable, to determine their residence for tax purposes.

Reportable Person

A Reportable Person is defined as a Reportable Jurisdiction Person, other than:

- (a) a corporation the stock of which is regularly traded on one or more established securities markets;
- (b) any corporation that is a Related Entity of a corporation described in clause (i);
- (c) a Governmental Entity;
- (d) an International Organization;
- (e) a Central Bank; or
- (f) a Financial Institution, except for an Investment Entity described in Sub Paragraph A(6)(b) of CRS that are not Participating Jurisdiction Financial Institutions. Instead, such Investment Entities are treated as Passive NFE's.

Resident for tax purposes

Generally, an Entity will be resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction, including tax conventions, it pays or should be paying tax therein by reason of their domicile, residence, place of management or incorporation, or any other criterion of a similar nature, and not only from sources in that jurisdiction. Dual resident Entities may rely on the tiebreaker rules contained in tax conventions, if applicable to solve cases of double residence for determining their residence for tax purposes. An Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated. A trust is treated as resident where one or more of its trustees is resident. For additional information on tax residence, please talk to your tax adviser or see the following link: oecd.org/tax/automatic-exchange/.

Specified Insurance Company

The term Specified Insurance Company means any Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

TIN (including “functional equivalent”)

The term TIN means Taxpayer Identification Number, or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the following link: oecd.org/tax/automatic-exchange/.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilize some other high integrity number with an equivalent level of identification, a functional equivalent. Examples of that type of number include, for Entities, a Business/company registration code/number.

CONTROLLING PERSON SELF-CERTIFICATION FORM (Rev. October 2023)

Please complete for each Controlling Person who is a natural person

Part I	IDENTIFICATION OF ACCOUNT HOLDER
Title (Mr./Mrs./Miss/Other)	
Surname	
First name	
Middle name	
City of birth¹	
Country of birth²	
Date of birth³ (mm/dd/yyyy)	
Permanent address	
Postal code/ZIP code	
Country	
Mailing address (please complete if different from above)	
Postal code/ZIP code	
Country	
Please enter the legal name of the entity Account Holder of which you are a Controlling Person	

¹ The Controlling Person's city of birth is not required to be collected if the Controlling Person is not a Reportable Jurisdiction Person

² The Controlling Person's country of birth is not required to be collected if the Controlling Person is not a Reportable Jurisdiction Person

³ The Controlling Person's date of birth is not required to be collected if the Controlling Person is not a Reportable Jurisdiction Person

Part II	COUNTRY OF RESIDENCE FOR TAX PURPOSES AND RELATED TAXPAYER IDENTIFICATION NUMBER OR FUNCTIONAL EQUIVALENT (“TIN”)	
<ul style="list-style-type: none"> ▪ Tax Regulations require us to collect certain information about each investor’s tax residency. We may use the information you provide in this form for the purpose of assisting us, our affiliates and our agents to comply with the Tax Regulations, including by disclosure to the relevant tax authorities. ▪ In certain circumstances, including if we do not receive a valid self-certification from you, we will be required to share information about your account(s) with the relevant tax authorities. ▪ In each case, the relevant tax authorities may in turn share this information with the tax authorities of any or all participating jurisdictions, including the US. ▪ Please indicate all countries in which you are resident for tax purposes and your associated Taxpayer Identification Number (“TIN”) in the table below. ▪ If the Account Holder is tax resident in more than three countries/jurisdictions, please attach a separate sheet. ▪ If you have any questions about your tax residency, please contact your tax adviser and see the information on the OECD website: www.oecd.org/tax/automatic-exchange/. 		
Country of tax residence	TIN	N/A**
<p>* Please only check this box if you are resident in a country which does not issue TINs, or you are not required to provide pursuant to applicable law. Please explain why you have not provided a TIN:</p> <div style="border: 1px solid black; height: 100px; width: 100%;"></div>		

*****CONTINUES TO NEXT PAGE*****

⁴ The Controlling Person’s TIN is not required to be collected if the Controlling Person is not a Reportable Jurisdiction Person.

Part III	TYPE OF CONTROLLING PERSON	
Please provide the Controlling Person’s status by ticking the appropriate box		
(a) Controlling Person of a legal person – control by ownership		
(b) Controlling Person of a legal person – control by other means		
(c) Controlling Person of a legal person – senior managing official		
(d) Controlling Person of a trust – settlor		
(e) Controlling Person of a trust – trustee		
(f) Controlling Person of a trust – protector		
(g) Controlling Person of a trust – beneficiary		
(h) Controlling Person of a trust – other		
(i) Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
(j) Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
(k) Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
(l) Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent		
(m) Controlling Person of a legal arrangement (non-trust) – other-equivalent		

*****CONTINUES TO NEXT PAGE*****

Part VI	DECLARATION AND SIGNATURE
<p>Data Protection BlackRock is the data controller in respect of any personal data you provide in this form and all such personal data may be processed only for the purposes set out in this form. Depending on the laws of your location, you may have the right to request from us a copy of your personal data and the right to rectify such personal data in the event of any errors or inaccuracies.</p> <ul style="list-style-type: none"> ▪ I acknowledge that the information contained in this form and information regarding the Controlling Person(s) and any Reportable Account(s) may be reported to the tax authorities of the jurisdiction in which this account(s) is maintained and exchanged with tax authorities of another jurisdiction(s) in which [I/the Controlling Person] may be tax resident pursuant to international agreements to exchange financial account information. ▪ I certify that I am the Controlling Person, or am authorized to sign for the Controlling Person, of all the account(s) held by the entity Account Holder to which this form relates. ▪ I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete. ▪ I acknowledge that in some jurisdictions it is an offence to make a self-certification that is false in a material particular. ▪ I undertake to advise the recipient within 30 days of any change in circumstances which affects the tax residency status of the individual identified in Part I of this form or causes the information contained herein to become incorrect, and to provide the recipient with a suitably updated self-certification and Declaration within 30 days of such change in circumstances. 	
Signature Name	
Date (MM/DD/YYYY)	
Capacity⁵	

⁵ Note: If you are not the Controlling Person, and not authorized to sign the Declaration on behalf of the Account Holder, please indicate the capacity in which you are signing the form on behalf of the Controlling Person. If signing under a power of attorney or other equivalent written authorization, on behalf of the Controlling Person, please also attach a certified copy of the power of attorney or written authorization.

INSTRUCTIONS

Please read these instructions before completing the form.

Regulations based on the OECD Common Reporting Standard (“CRS”) require Financial Institutions (“FIs”) to collect and report certain information about an account holder’s tax residency. If your tax residence, or the account holder, if you are completing the form on their behalf, is located outside the country where the FI maintaining the account is located, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to the tax authorities in the country where the FI is located.

You can find definitions of who is classified as an account holder, and other terms, in the **APPENDIX**.

This form will remain valid unless there is a change in circumstances relating to the account holder’s tax status or other mandatory fields included on this form. You must notify us of a change in circumstances that makes the information in this self-certification incorrect or incomplete and provide an updated self-certification.

This form is intended to request information only where such a request is not prohibited by local law.

Please complete this form where you need to self-certify on behalf of an entity account holder.

If you are an individual account holder or sole trader or sole proprietor do not complete this form; instead please complete an Individual tax residency self-certification form.

For joint or multiple account holders please complete a separate form for each account holder.

If the Account Holder is a US Person under US Internal Revenue Service (“IRS”) regulations, an IRS Form W-9 may also need to be completed.

Where the Account Holder is a Passive NFE, or an Investment Entity located in a Non-Participating Jurisdiction managed by another Financial Institution, please provide information on the natural person(s) who exercises control over the Account Holder, individuals referred to as Controlling Person(s), by completing a Controlling Person tax residency self-certification form for each Controlling Person. This information should be provided by all Investment Entities located in a Non-Participating Jurisdiction and managed by another Financial Institution even if they also fall into a category of Non-Reporting Financial Institution.

If you are completing the form on the Account Holder’s behalf, then you should indicate the capacity in which you have signed. For example, you may be the custodian or nominee of an account on behalf of the account holder, or you may be completing the form under a signatory authority or power of attorney.

If you have any questions about this form, these instructions, or defining your tax residency status, please speak to your tax adviser or local tax authority.

You can also find out more, including a list of jurisdictions that have signed agreements to automatically exchange information, along with details about the information being requested at: [oecd.org/tax/automatic-exchange/](https://www.oecd.org/tax/automatic-exchange/).

APPENDIX

Definitions

Note: These are selected definitions provided to assist you with the completion of this form. Further details can be found within the OECD CRS, the associated Commentary to CRS, and domestic guidance, which can be found at the following link: oecd.org/tax/automatic-exchange/.

Account Holder

The Account Holder is the person listed or identified as the holder of a Financial Account by the Financial Institution that maintains the account, regardless of whether such person is a flow-through Entity. Thus, for example, if a trust or an estate is listed as the holder or owner of a Financial Account, the trust or estate is the Account Holder, rather than the trustee or the trust's owners or beneficiaries. Similarly, if a partnership is listed as the holder or owner of a Financial Account, the partnership is the Account Holder, rather than the partners in the partnership. A person, other than a Financial Institution, holding a Financial Account for the benefit or account of another person as agent, custodian, nominee, signatory, investment advisor, or intermediary, is not treated as holding the account, and such other person is treated as holding the account.

Active NFE

An NFE is an Active NFE if it meets any of the following criteria:

- (a) active NFEs by reason of income and assets;
- (b) publicly traded NFEs;
- (c) Governmental Entities, International Organizations, Central Banks, or their wholly owned Entities;
- (d) holding NFEs that are members of a nonfinancial group;
- (e) start-up NFEs;
- (f) NFEs that are liquidating or emerging from bankruptcy;
- (g) treasury centers that are members of a nonfinancial group; or
- (h) non-profit NFEs.

An entity will be classified as Active NFE if it meets any of the following criteria:

- (a) less than 50 percent of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- (b) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market;
- (c) the NFE is a Governmental Entity, an International Organization, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
- (d) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
- (e) the NFE is not yet operating a business and has no prior operating history, (a start-up NFE) but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
- (f) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;

- (g) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; or
- (h) the NFE meets all of the following requirements (a non-profit NFE):
 - i. it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
 - ii. it is exempt from income tax in its jurisdiction of residence;
 - iii. it has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
 - iv. the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and
 - v. the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision.

Note: Certain entities, such as US Territory NFFEs, may qualify for Active NFFE status under FATCA but not Active NFE status under CRS.

Control

Control over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest, typically on the basis of a certain percentage (e.g. 25 percent), in the Entity. Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is identified as exercising control of the Entity, for example where no underlying person has control of greater than 25 percent of the entity, then under CRS the Reportable Person is deemed to be the natural person who holds the position of senior managing official.

For Cayman Islands, the threshold for Controlling Person is 10 percent.

Controlling Person(s)

Controlling Persons are the natural person(s) who exercise control over an entity. Where that entity is treated as a Passive Non-Financial Entity ("Passive NFE") then a Financial Institution is required to determine whether or not these Controlling Persons are Reportable Persons. This definition corresponds to the term "beneficial owner" described in Recommendation 10 of the Financial Action Task Force Recommendations, as adopted in February 2012.

In the case of a trust, the Controlling Person(s) are the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(s) or class(es) of beneficiaries, or any other natural person(s) exercising ultimate effective control over the trust, including through a chain of control or ownership. Under CRS the settlor(s), the trustee(s), the protector(s) (if any), and the beneficiary(s) or class(es) of beneficiaries, are always treated as Controlling Persons of a trust, regardless of whether or not any of them exercises control over the activities of the trust.

Where the settlor(s) of a trust is an Entity then CRS requires Financial Institutions to also identify the Controlling Persons of the settlor(s) and when required report them as Controlling Persons of the trust.

In the case of a legal arrangement other than a trust, “Controlling Person(s)” means persons in equivalent or similar positions.

- (a) Investors in Cayman Islands domiciled financial institutions should note that the threshold for ownership and control, directly or indirectly, of a passive NFE as a legal entity is now 10 percent or more of the shares or voting rights in the legal person. This is in line with the Cayman Anti-Money Laundering Regulations, 2017. The threshold remains at 25 percent for all other participating jurisdictions.

Custodial Institution

The term Custodial Institution means any Entity that holds, as a substantial portion of its business, Financial Assets for the account of others. This is where the Entity’s gross income attributable to the holding of Financial Assets and related financial services equals or exceeds 20 percent of the Entity’s gross income during the shorter of: (i) the three-year period that ends on 31 December, or the final day of a non-calendar year accounting period, prior to the year in which the determination is being made; or (ii) the period during which the Entity has been in existence.

Depository Institution

The term Depository Institution means any Entity that accepts deposits in the ordinary course of a banking or similar business.

FATCA

FATCA stands for the Foreign Account Tax Compliance Act, which was enacted as part of the Hiring Incentives to Restore Employment (“HIRE”) Act on 18 March 2010. FATCA creates a new information reporting and withholding regime for payments made to certain non-US financial institutions and other non-US entities.

Entity

The term Entity means a legal person or a legal arrangement, such as a corporation, organization, partnership, trust or foundation. This term covers any person other than an individual; i.e. a natural person.

Financial Institution

The term Financial Institution means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company. Please see the relevant domestic guidance and CRS for further classification definitions that apply to Financial Institutions.

Investment Entity

The term Investment Entity includes two types of Entities:

- (a) an Entity that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
 - i. Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
 - ii. Individual and collective portfolio management; or
 - iii. Otherwise investing, administering, or managing Financial Assets or money on behalf of other persons.

(Such activities or operations do not include rendering non-binding investment advice to a customer.)

- (b) The second type of Investment Entity, Investment Entity managed by another Financial Institution, is any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets where the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity.

Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution

The term Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution means any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets if the Entity is (i) managed by a Financial Institution and (ii) not a Participating Jurisdiction Financial Institution.

Investment Entity managed by another Financial Institution

An Entity is managed by another Entity if the managing Entity performs, either directly or through another service provider on behalf of the managed Entity, any of the activities or operations described in clause (i) above in the definition of Investment Entity.

An Entity only manages another Entity if it has discretionary authority to manage the other Entity's assets, either in whole or part. Where an Entity is managed by a mix of Financial Institutions, NFEs or individuals, the Entity is considered to be managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity, if any of the managing Entities is such another Entity.

NFE

An NFE is any Entity that is not a Financial Institution.

Non-Reporting Financial Institution

A Non-Reporting Financial Institution means any Financial Institution that is:

- (a) a Governmental Entity, International Organization or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depository Institution;
- (b) a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; a Pension Fund of a Governmental Entity, International Organization or Central Bank; or a Qualified Credit Card Issuer;
- (c) an Exempt Collective Investment Vehicle; or
- (d) a Trustee-Documented Trust: a trust where the trustee of the trust is a Reporting Financial Institution and reports all information required to be reported with respect to all Reportable Accounts of the trust;
- (e) any other defined in a country's domestic law as a Non-Reporting Financial Institution.

Participating Jurisdiction

A Participating Jurisdiction means a jurisdiction with which an agreement is in place pursuant to which it will provide the information set out in CRS.

Participating Jurisdiction Financial Institution

The term "Participating Jurisdiction Financial Institution" means (i) any Financial Institution that is tax resident in a Participating Jurisdiction, but excludes any branch of that Financial Institution that is located outside of that jurisdiction, and (ii) any branch of a Financial Institution that is not tax resident in a Participating Jurisdiction, if that branch is located in such Participating Jurisdiction.

Passive NFE

Under CRS a Passive NFE means any: (i) NFE that is not an Active NFE; and (ii) Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

Related Entity

An Entity is a Related Entity of another Entity if either Entity controls the other Entity, or the two Entities are under common control. For this purpose control includes direct or indirect ownership of more than 50 percent of the vote and value in an Entity.

Reportable Account

The term Reportable Account means an account held by one or more Reportable Persons or by a Passive NFE with one or more Controlling Persons that is a Reportable Person.

Reportable Jurisdiction

A Reportable Jurisdiction is a jurisdiction with which an obligation to provide financial account information is in place.

Reportable Jurisdiction Person

A Reportable Jurisdiction Person is an Entity that is tax resident in a Reportable Jurisdiction(s) under the tax laws of such jurisdiction(s) – by reference to local laws in the country where the Entity is established, incorporated or managed. An Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated. If an Entity certifies that it has no residence for tax purposes, it should complete the form stating the address of its principal office.

Dual resident Entities may rely on the tiebreaker rules contained in tax conventions, if applicable, to determine their residence for tax purposes.

Reportable Person

A Reportable Person is defined as a Reportable Jurisdiction Person, other than:

- (a) a corporation the stock of which is regularly traded on one or more established securities markets;
- (b) any corporation that is a Related Entity of a corporation described in clause (i);
- (c) a Governmental Entity;
- (d) an International Organization;
- (e) a Central Bank; or
- (f) a Financial Institution, except for an Investment Entity described in Sub Paragraph A(6)(b) of CRS that are not Participating Jurisdiction Financial Institutions. Instead, such Investment Entities are treated as Passive NFE's.

Resident for tax purposes

Generally, an Entity will be resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction, including tax conventions, it pays or should be paying tax therein by reason of their domicile, residence, place of management or incorporation, or any other criterion of a similar nature, and not only from sources in that jurisdiction. Dual resident Entities may rely on the tiebreaker rules contained in tax conventions, if applicable to solve cases of double residence for determining their residence for tax purposes. An Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated. A trust is treated as resident where one or more of its trustees is resident. For additional information on tax residence, please talk to your tax adviser or see the following link: oecd.org/tax/automatic-exchange/.

Specified Insurance Company

The term Specified Insurance Company means any Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

TIN (including “functional equivalent”)

The term TIN means Taxpayer Identification Number, or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the following link: oecd.org/tax/automatic-exchange/.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilize some other high integrity number with an equivalent level of identification, a functional equivalent. Examples of that type of number include, for Entities, a Business/company registration code/number.

ENTITY SELF-CERTIFICATION FORM (Rev. October 2023)

Part I		IDENTIFICATION OF ACCOUNT HOLDER
Legal name of entity/branch		
Country of incorporation or organization		
Current residence address or registered address		
Postal code/ZIP code		
Country		
Mailing address <i>(please complete if different from above)</i>		
Postal code/ZIP code		
Country		

Part II		ENTITY TYPE / CRS CLASSIFICATION
		Please provide the Account Holder's status by checking only one of the following boxes:
		Financial Institution – Depository Institution, Custodial Institution, or Specified Insurance Company GIIN:
		Financial Institution – An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (Note: if ticking this box please also complete Part III) GIIN (if applicable):
		Financial Institution – Other Investment Entity GIIN (if applicable):
		Financial Institution – Non-Reporting Financial Institution
		Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation

Please provide the name of the established securities market on which the corporation is regularly traded:

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation:

Active NFE – a Government Entity or Central Bank

Active NFE – an International Organization

Active NFE – other than above (for example a start-up NFE or a non-profit NFE)

Passive NFE (Note: if ticking this box please also complete Part III)

Part III

CONTROLLING PERSONS

- If you have selected “An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution” or “Passive NFE” above, please:
 - **Indicate** below the name of any Controlling Person(s) of the Account Holder:

- **Complete** the “Controlling Person Self-Certification Form” for each Controlling Person.

Note: If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who holds the position of senior managing official (see definition of Controlling Person in Appendix.)

*****CONTINUES TO NEXT PAGE*****

Part IV	COUNTRY OF RESIDENCE FOR TAX PURPOSES AND RELATED TAXPAYER IDENTIFICATION NUMBER OR FUNCTIONAL EQUIVALENT (“TIN”)	
<ul style="list-style-type: none"> ▪ If the Account Holder is not tax resident in any country/jurisdiction (e.g., because it is fiscally transparent), please indicate that in the first column and provide its place of effective management or country/jurisdiction in which its principal office is located. ▪ If the Account Holder is tax resident in more than three countries/jurisdictions, please use a separate attachment. ▪ If a TIN is unavailable, please provide the appropriate reason A, B or C where appropriate: 		
Reason A	The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents.	
Reason B	The Account Holder is otherwise unable to obtain a TIN or equivalent number. (Please explain below why you are unable to obtain a TIN.)	
Reason C	No TIN is required. (Please explain below why you are unable to obtain a TIN.)	
Country of tax residence	TIN	If no TIN available, enter Reason A, B, or C
<p>Please explain why you are unable to obtain a TIN if you selected reason B or C above:</p> <div style="border: 1px solid black; height: 150px; width: 100%; margin-top: 5px;"></div>		

*****CONTINUES TO NEXT PAGE*****

Part V	DECLARATION AND SIGNATURE
<ul style="list-style-type: none"> ▪ I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder’s relationship with the Financial Institution that maintains the account setting out how that Financial Institution may use and share the information supplied by me. ▪ I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into agreements to exchange financial account information with the country in which this account(s) is/are maintained. ▪ I certify that I am authorized to sign for the Account Holder in respect of all the account(s) to which this form relates. ▪ I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete. ▪ I acknowledge that in some jurisdictions it is an offence to make a self-certification that is false in a material particular. ▪ I undertake to advise the recipient within 30 days of any change in circumstances which affects the tax residency status of the entity Account Holder identified in Part I of this form or causes the information contained herein to become incorrect, and to provide the recipient with a suitably updated self-certification and Declaration within 30 days of such change in circumstances. 	
Signature	
Name	
Date (MM/DD/YYYY)	
Capacity*	
<p>*Please indicate the capacity in which you are signing the form (for example “Authorized Officer”). If signing under a power of attorney, please also attach a certified copy of the power of attorney.</p>	

INSTRUCTIONS

Please read these instructions before completing the form.

Regulations based on the OECD Common Reporting Standard (“CRS”) require Financial Institutions (“FIs”) to collect and report certain information about an account holder’s tax residency. If your tax residence, or the account holder, if you are completing the form on their behalf, is located outside the country where the FI maintaining the account is located, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to the tax authorities in the country where the FI is located.

You can find definitions of who is classified as an account holder, and other terms, in the **APPENDIX**.

This form will remain valid unless there is a change in circumstances relating to the account holder’s tax status or other mandatory fields included on this form. You must notify us of a change in circumstances that makes the information in this self-certification incorrect or incomplete and provide an updated self-certification.

This form is intended to request information only where such a request is not prohibited by local law.

Please complete this form where you need to self-certify on behalf of an entity account holder.

If you are an individual account holder or sole trader or sole proprietor do not complete this form; instead please complete an Individual tax residency self-certification form.

For joint or multiple account holders please complete a separate form for each account holder.

If the Account Holder is a US Person under US Internal Revenue Service (“IRS”) regulations, an IRS Form W-9 may also need to be completed.

Where the Account Holder is a Passive NFE, or an Investment Entity located in a Non-Participating Jurisdiction managed by another Financial Institution, please provide information on the natural person(s) who exercises control over the Account Holder, individuals referred to as Controlling Person(s), by completing a Controlling Person tax residency self-certification form for each Controlling Person. This information should be provided by all Investment Entities located in a Non-Participating Jurisdiction and managed by another Financial Institution even if they also fall into a category of Non-Reporting Financial Institution.

If you are completing the form on the Account Holder’s behalf, then you should indicate the capacity in which you have signed. For example, you may be the custodian or nominee of an account on behalf of the account holder, or you may be completing the form under a signatory authority or power of attorney.

If you have any questions about this form, these instructions, or defining your tax residency status, please speak to your tax adviser or local tax authority.

You can also find out more, including a list of jurisdictions that have signed agreements to automatically exchange information, along with details about the information being requested at: oecd.org/tax/automatic-exchange/.

APPENDIX

Definitions

Note: These are selected definitions provided to assist you with the completion of this form. Further details can be found within the OECD CRS, the associated Commentary to CRS, and domestic guidance, which can be found at the following link: oecd.org/tax/automatic-exchange/.

Account Holder

The Account Holder is the person listed or identified as the holder of a Financial Account by the Financial Institution that maintains the account, regardless of whether such person is a flow-through Entity. Thus, for example, if a trust or an estate is listed as the holder or owner of a Financial Account, the trust or estate is the Account Holder, rather than the trustee or the trust's owners or beneficiaries. Similarly, if a partnership is listed as the holder or owner of a Financial Account, the partnership is the Account Holder, rather than the partners in the partnership. A person, other than a Financial Institution, holding a Financial Account for the benefit or account of another person as agent, custodian, nominee, signatory, investment advisor, or intermediary, is not treated as holding the account, and such other person is treated as holding the account.

Active NFE

An NFE is an Active NFE if it meets any of the following criteria:

- (a) active NFEs by reason of income and assets;
- (b) publicly traded NFEs;
- (c) Governmental Entities, International Organizations, Central Banks, or their wholly owned Entities;
- (d) holding NFEs that are members of a nonfinancial group;
- (e) start-up NFEs;
- (f) NFEs that are liquidating or emerging from bankruptcy;
- (g) treasury centers that are members of a nonfinancial group; or
- (h) non-profit NFEs.

An entity will be classified as Active NFE if it meets any of the following criteria:

- (a) less than 50 percent of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- (b) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market;
- (c) the NFE is a Governmental Entity, an International Organization, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
- (d) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
- (e) the NFE is not yet operating a business and has no prior operating history, (a start-up NFE) but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
- (f) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;

- (g) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; or
- (h) the NFE meets all of the following requirements (a non-profit NFE):
 - i. it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
 - ii. it is exempt from income tax in its jurisdiction of residence;
 - iii. it has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
 - iv. the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and
 - v. the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision.

Note: Certain entities, such as US Territory NFFEs, may qualify for Active NFFE status under FATCA but not Active NFE status under CRS.

Control

Control over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest, typically on the basis of a certain percentage (e.g. 25 percent), in the Entity. Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is identified as exercising control of the Entity, for example where no underlying person has control of greater than 25 percent of the entity, then under CRS the Reportable Person is deemed to be the natural person who holds the position of senior managing official.

For Cayman Islands, the threshold for Controlling Person is 10 percent.

Controlling Person(s)

Controlling Persons are the natural person(s) who exercise control over an entity. Where that entity is treated as a Passive Non-Financial Entity ("Passive NFE") then a Financial Institution is required to determine whether or not these Controlling Persons are Reportable Persons. This definition corresponds to the term "beneficial owner" described in Recommendation 10 of the Financial Action Task Force Recommendations, as adopted in February 2012.

In the case of a trust, the Controlling Person(s) are the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(s) or class(es) of beneficiaries, or any other natural person(s) exercising ultimate effective control over the trust, including through a chain of control or ownership. Under CRS the settlor(s), the trustee(s), the protector(s) (if any), and the beneficiary(s) or class(es) of beneficiaries, are always treated as Controlling Persons of a trust, regardless of whether or not any of them exercises control over the activities of the trust.

Where the settlor(s) of a trust is an Entity then CRS requires Financial Institutions to also identify the Controlling Persons of the settlor(s) and when required report them as Controlling Persons of the trust.

In the case of a legal arrangement other than a trust, “Controlling Person(s)” means persons in equivalent or similar positions.

- (a) Investors in Cayman Islands domiciled financial institutions should note that the threshold for ownership and control, directly or indirectly, of a passive NFE as a legal entity is now 10 percent or more of the shares or voting rights in the legal person. This is in line with the Cayman Anti-Money Laundering Regulations, 2017. The threshold remains at 25 percent for all other participating jurisdictions.

Custodial Institution

The term Custodial Institution means any Entity that holds, as a substantial portion of its business, Financial Assets for the account of others. This is where the Entity’s gross income attributable to the holding of Financial Assets and related financial services equals or exceeds 20 percent of the Entity’s gross income during the shorter of: (i) the three-year period that ends on 31 December, or the final day of a non-calendar year accounting period, prior to the year in which the determination is being made; or (ii) the period during which the Entity has been in existence.

Depository Institution

The term Depository Institution means any Entity that accepts deposits in the ordinary course of a banking or similar business.

FATCA

FATCA stands for the Foreign Account Tax Compliance Act, which was enacted as part of the Hiring Incentives to Restore Employment (“HIRE”) Act on 18 March 2010. FATCA creates a new information reporting and withholding regime for payments made to certain non-US financial institutions and other non-US entities.

Entity

The term Entity means a legal person or a legal arrangement, such as a corporation, organization, partnership, trust or foundation. This term covers any person other than an individual; i.e. a natural person.

Financial Institution

The term Financial Institution means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company. Please see the relevant domestic guidance and CRS for further classification definitions that apply to Financial Institutions.

Investment Entity

The term Investment Entity includes two types of Entities:

- (a) an Entity that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
 - i. Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
 - ii. Individual and collective portfolio management; or
 - iii. Otherwise investing, administering, or managing Financial Assets or money on behalf of other persons.

(Such activities or operations do not include rendering non-binding investment advice to a customer.)

- (b) The second type of Investment Entity, Investment Entity managed by another Financial Institution, is any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets where the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity.

Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution

The term Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution means any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets if the Entity is (i) managed by a Financial Institution and (ii) not a Participating Jurisdiction Financial Institution.

Investment Entity managed by another Financial Institution

An Entity is managed by another Entity if the managing Entity performs, either directly or through another service provider on behalf of the managed Entity, any of the activities or operations described in clause (i) above in the definition of Investment Entity.

An Entity only manages another Entity if it has discretionary authority to manage the other Entity's assets, either in whole or part. Where an Entity is managed by a mix of Financial Institutions, NFEs or individuals, the Entity is considered to be managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity, if any of the managing Entities is such another Entity.

NFE

An NFE is any Entity that is not a Financial Institution.

Non-Reporting Financial Institution

A Non-Reporting Financial Institution means any Financial Institution that is:

- (a) a Governmental Entity, International Organization or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depository Institution;
- (b) a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; a Pension Fund of a Governmental Entity, International Organization or Central Bank; or a Qualified Credit Card Issuer;
- (c) an Exempt Collective Investment Vehicle; or
- (d) a Trustee-Documented Trust: a trust where the trustee of the trust is a Reporting Financial Institution and reports all information required to be reported with respect to all Reportable Accounts of the trust;
- (e) any other defined in a country's domestic law as a Non-Reporting Financial Institution.

Participating Jurisdiction

A Participating Jurisdiction means a jurisdiction with which an agreement is in place pursuant to which it will provide the information set out in CRS.

Participating Jurisdiction Financial Institution

The term "Participating Jurisdiction Financial Institution" means (i) any Financial Institution that is tax resident in a Participating Jurisdiction, but excludes any branch of that Financial Institution that is located outside of that jurisdiction, and (ii) any branch of a Financial Institution that is not tax resident in a Participating Jurisdiction, if that branch is located in such Participating Jurisdiction.

Passive NFE

Under CRS a Passive NFE means any: (i) NFE that is not an Active NFE; and (ii) Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

Related Entity

An Entity is a Related Entity of another Entity if either Entity controls the other Entity, or the two Entities are under common control. For this purpose control includes direct or indirect ownership of more than 50 percent of the vote and value in an Entity.

Reportable Account

The term Reportable Account means an account held by one or more Reportable Persons or by a Passive NFE with one or more Controlling Persons that is a Reportable Person.

Reportable Jurisdiction

A Reportable Jurisdiction is a jurisdiction with which an obligation to provide financial account information is in place.

Reportable Jurisdiction Person

A Reportable Jurisdiction Person is an Entity that is tax resident in a Reportable Jurisdiction(s) under the tax laws of such jurisdiction(s) – by reference to local laws in the country where the Entity is established, incorporated or managed. An Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated. If an Entity certifies that it has no residence for tax purposes, it should complete the form stating the address of its principal office.

Dual resident Entities may rely on the tiebreaker rules contained in tax conventions, if applicable, to determine their residence for tax purposes.

Reportable Person

A Reportable Person is defined as a Reportable Jurisdiction Person, other than:

- (a) a corporation the stock of which is regularly traded on one or more established securities markets;
- (b) any corporation that is a Related Entity of a corporation described in clause (i);
- (c) a Governmental Entity;
- (d) an International Organization;
- (e) a Central Bank; or
- (f) a Financial Institution, except for an Investment Entity described in Sub Paragraph A(6)(b) of CRS that are not Participating Jurisdiction Financial Institutions. Instead, such Investment Entities are treated as Passive NFE's.

Resident for tax purposes

Generally, an Entity will be resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction, including tax conventions, it pays or should be paying tax therein by reason of their domicile, residence, place of management or incorporation, or any other criterion of a similar nature, and not only from sources in that jurisdiction. Dual resident Entities may rely on the tiebreaker rules contained in tax conventions, if applicable to solve cases of double residence for determining their residence for tax purposes. An Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated. A trust is treated as resident where one or more of its trustees is resident. For additional information on tax residence, please talk to your tax adviser or see the following link: oecd.org/tax/automatic-exchange/.

Specified Insurance Company

The term Specified Insurance Company means any Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

TIN (including “functional equivalent”)

The term TIN means Taxpayer Identification Number, or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the following link: oecd.org/tax/automatic-exchange/.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilize some other high integrity number with an equivalent level of identification, a functional equivalent. Examples of that type of number include, for Entities, a Business/company registration code/number.

BlackRock Investment Management (Australia) Limited ABN 13 006 165 975
AFSL Licence Number 230523 (referred to as **BlackRock**)

Please return completed form to: C/ - MUFG Corporate Markets (AU) Limited, Locked Bag 5038, Parramatta NSW 2124 or by fax to 1300 366 107

Important information

BlackRock must comply with the Anti-Money Laundering and Counter-Terrorism Financing laws (the **AML Legislation**), which requires us to, among other things, establish your identity. This Investor Identification Form is used to collect the necessary information to fulfil this requirement. For your application to be processed by BlackRock, you must ensure that this form and your Fund Application Form are completed and returned to us. By completing the Investor Identification Form you acknowledge and agree:

- ▶ this information will be used by BlackRock to establish your identity for the purposes of the AML Legislation; and
- ▶ BlackRock will not be responsible or liable to you, or any other person, for any loss suffered where a transaction is delayed, blocked, frozen or where BlackRock declines to process a transaction or ceases to provide you with a product or service, in circumstances where BlackRock is unable to establish your identity or where BlackRock reasonably believes you are a Proscribed Person.¹

When completing this form

Depending on your investor type, BlackRock may require supporting identification/verification documentation to establish your identity. Where such identification/verification documentation is required, an originally certified copy is required. A list of persons who can certify documents is provided on the next page.

Will documents in a language other than English be accepted?

Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator. An accredited translator is any person who is currently accredited by the National Accreditation Authority for Translators and Interpreters Ltd (NAATI) at the level of Professional Translator or above.

Which sections of this form apply to me?

The matrix below highlights the section of this form that are applicable to each investor type. Please ensure that you read the section applicable to you and where relevant attach an originally certified copy of the document before sending it to BlackRock.

Investor Type ²	Section A	Section B	Section C	Section D	Section E	Section F	Section G
Individual(s) & Sole Traders	✓						
Trust: Individual(s) acting as a Trustee(s) of a Trust or Superannuation Fund	✓		✓				
Domestic (Australian) Company		✓					
Trust: Domestic (Australian) Company acting as a Corporate Trustee of a Trust or Superannuation Fund		✓	✓				
Government Body				✓			
Partnership					✓		
Association						✓	
Registered Co-operative							✓
Foreign Company ³							
Foreign Company: Acting as a Corporate Trustee(s) of a Trust ³							

1. A "Proscribed Person" means any person or entity who BlackRock reasonably believes to be (i) in breach of the laws of any jurisdiction regarding economic or trade sanctions, or laws prohibiting money laundering or terrorism financing, or (ii) on a list of persons with whom dealings are proscribed by Australian laws or the laws of another recognised jurisdiction. A "Proscribed Person" includes any person or entity who BlackRock reasonably believes to act on behalf, or for the benefit of, a person or entity referred to in (i) and/or (ii).
2. Please see page 3 of this Investor Identification Form for a description of each investor type.
3. Please complete the Foreign Corporate Entity form available from www.blackrock.com/au

Certifying documents

An originally certified document is a copy of an original document that has been certified as a true copy of the original document.

To obtain an originally certified copy, present the original document and a photocopy of that document to one of the people listed below. The person certifying the document must include the following statement:

I certify that this is a true copy of the original document produced to me on [insert date, month and year].

[Signature of certifier]

[Full name of certifier] [Occupation, qualification or registration number (if any) of the certifier, which makes them eligible to certify documents]

If this certification does not appear, you may be asked for new certified documents.

Please note that certification must be in original wet ink and cannot be provided by a family member.

The certification must be dated within the last 12 months.

Who can certify documents? (In Australia)

OCCUPATIONS

A person who, under a law in force in a State or Territory, is currently licensed or registered to practice in the following occupations:

- ▶ Chiropractor
- ▶ Dentist
- ▶ Legal practitioner
- ▶ Medical practitioner
- ▶ Nurse
- ▶ Optometrist
- ▶ Patent attorney
- ▶ Pharmacist
- ▶ Physiotherapist
- ▶ Psychologist
- ▶ Trade marks attorney
- ▶ Veterinary surgeon

OTHER PERSONS

- ▶ Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- ▶ Chief executive officer of a Commonwealth Court
- ▶ Finance company officer with 2 or more years of continuous service
- ▶ Judge of a court Justice of the Peace Magistrate
- ▶ Commissioner for Declarations
- ▶ Marriage celebrant registered under Subdivision C of Division 1 of Part IV of the Marriage Act 1961
- ▶ Member of the Australian Defence Force who is:
 - an officer; or
 - a non-commissioned officer within the meaning of the Defence Force Discipline Act 1982 with 2 or more years of continuous service; or
 - a warrant officer within the meaning of that Act.
- ▶ Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants

- ▶ Minister of religion registered under Subdivision A of Division 1 of Part IV of the Marriage Act 1961
- ▶ Notary public
- ▶ Permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- ▶ Permanent employee of:
 - the Commonwealth or a Commonwealth authority; or
 - a State or Territory or a State or Territory authority; or
 - a local government authority,with 2 or more years of continuous service who is not otherwise specified in this list
- ▶ Police officer
- ▶ Registrar, or Deputy Registrar, of a court
- ▶ Teacher employed on a full-time basis at a school or tertiary education institution
- ▶ A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)
- ▶ An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years of continuous service with one or more licensees

Who can certify documents? (Outside Australia)

Any Australian recognised individual from the above list of Australian "listed persons" or the following:

- ▶ Notary public (authorised in Australia or in a foreign country)
- ▶ Employee of the Australian Trade Commission who is:
 - in a country or place outside Australia; and
 - authorised under paragraph 3(d) of the Consular Fees Act 1955; and
 - exercising his or her function in that place
- ▶ Employee of the Commonwealth who is:
 - in a country or place outside Australia; and
 - authorised under paragraph 3(c) of the Consular Fees Act 1955; and
 - exercising his or her function in that place
- ▶ Registered Legal practitioner (in equivalent jurisdiction)
- ▶ Chartered Accountant (in equivalent jurisdiction)
- ▶ Australian Consular Officer or Australian Diplomatic Officer (within the meaning of the Consular Fees Act 1955)

Foreign language documents

Where the documents are provided in a language other than English they must be translated in their entirety by a professional body e.g. lawyer, legal translator or a BlackRock employee.

All translated documents must be certified by the translator. The translator must include the following statement on the translation:

I certify that this is a true and accurate translation of the original document.

[Signature of translator]

[The date of the translation]

[Full name of translator] [Contact details of the translator or a representative of the translation company]

What type of investor am I?

Type of investor	Description
Individual(s) & Sole Traders	Investing in your personal capacity – that is, not as a company, trust, partnership, etc. This can include individuals investing on behalf of a person under the age of 18. Sole Trader describes a business that is owned and controlled by one person, although the business may employ people.
Trust Individual(s) acting as a Trustee(s) of a Trust or Superannuation Fund	Investing in your personal capacity as a trustee on behalf of another. In this case, the trustee is not a company. A trust will in most circumstances be established pursuant to a trust deed with the intention of holding income or property on behalf and for the benefit of another (who may or may not include the trustee). Trusts can include: <ul style="list-style-type: none"> ▶ Superannuation funds (including self-managed superannuation funds); ▶ Family trusts; ▶ Deceased estate; ▶ Managed investment scheme (registered or unregistered); ▶ Charitable trust; and ▶ Testamentary trust.
Domestic (Australian) Company	Company incorporated in Australia, including: <ul style="list-style-type: none"> ▶ Proprietary company (ending with “Pty Ltd”); ▶ Public company (ending with “Ltd”); ▶ Companies limited by guarantee (used primarily by non-profit organisations); and ▶ Listed company (listed on a securities exchange in Australia, such as the ASX).
Trust Domestic (Australian) Company acting as a Trustee of a Trust or Superannuation Fund	Company incorporated in Australia, acting in the capacity of trustee on behalf of another (for example, ABC Pty Ltd as trustee for the XYZ self-managed superannuation fund). A trust will in most circumstances be established pursuant to a trust deed with the intention of holding income or property on behalf and for the benefit of another (who may or may not include the trustee). Trusts can include: <ul style="list-style-type: none"> ▶ Superannuation funds (including self-managed superannuation funds); ▶ Family trusts; ▶ Deceased estate; ▶ Managed investment scheme (registered or unregistered); ▶ Charitable trust; and ▶ Testamentary trust.
Partnership	Formally established pursuant to a partnership agreement/deed. If you are investing “jointly” (and not pursuant to a formal partnership agreement) then see “Individual(s)” section above.
Association	Incorporated Association is registered by the State or Territory in which the association is based. Features include: <ul style="list-style-type: none"> ▶ appointment of a public officer and committee; and ▶ profits, if any, can only be used to promote non-profit objectives Unincorporated Association does not have a legal identity and cannot hold assets in its own name. It must appoint individuals as trustees, who own the assets but hold them for the benefit of the association.
Registered Co-operative	Registered Co-operative is a democratic structure owned and controlled by the people it serves, who join together for a common benefit. It is a separate legal entity (registered under the relevant State or Territory legislation) with the general aim of providing services for its members rather than making profits.
Australia Government Body	Australian Government Body is a legal entity that is owned or controlled by Federal, State or Local Government. Examples include Universities, Local Councils, and Statutory Agencies and some charities.
Foreign Company ¹	Company incorporated in a foreign jurisdiction.
Foreign Government Body ¹	A Foreign Government Body is a legal entity or emanation established in a foreign jurisdiction.
Foreign Company acting as a Corporate Trustee(s) of a Trust ¹	Company incorporated in a foreign jurisdiction, acting in the capacity of a trustee on behalf of another. A trust will in most circumstances be established pursuant to a trust deed with the intention of holding income or property on behalf and for the benefit of another (who may or may not include the trustee). Trusts can include: <ul style="list-style-type: none"> ▶ Family trusts; ▶ Deceased estate; ▶ Managed investment scheme (registered or unregistered); ▶ Charitable trust; ▶ Testamentary trust; and ▶ Pension scheme/Retirement fund.
Beneficial Owner	A beneficial owner of a customer is an individual (a natural person or persons) who ultimately owns or controls (directly or indirectly) the customer.

1. Please complete the Foreign Corporate Entity Investor Identification Form available from www.blackrock.com.au.

Section A. Individual(s), Sole Trader(s) and Individual(s) acting as a Trustee(s) of a Trust or Superannuation Fund

Section A1: Individual / sole trader / trustee personal details

1st Individual / Sole Trader / Trustee

Full given name(s)
Surname
/ /
Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

2nd Individual / Trustee if applicable

Full given name(s)
Surname
/ /
Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

If there are more than two individuals or trustees, provide details on a separate sheet and attach to this form.

Individuals – No further information is required. Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section A.

Sole trader(s) – Proceed to Section A2.

Trustee(s) – Proceed to Section C "Trusts or Superannuation Funds". Please note the verification procedure as shown at the end of Section A.

Section A2: Sole trader business information

Complete this Section A2 if you are a sole trader.

If more than one investor is a sole trader, provide details on a separate sheet and attach to this form.

Full business name (if any)

Australian business number ("ABN") (if any)									

Principal place of business (if any): PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Sole trader(s) – No further information is required. Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section A.

Individual(s), Sole Trader(s) and Individual(s) acting as a Trustee(s) of a Trust or Superannuation Fund verification procedure

BlackRock will perform the verification procedure to establish your identity. In order to allow us to complete your verification procedure you will need to provide us with the following documentation. Unless otherwise stated ID documents must NOT have expired. If we cannot access the information to complete this procedure, we may ask you to provide us with further information, which may include additional documentation from the following.

Part I – Acceptable primary ID documents

Select ✓ ONE of the following documents from this section. If you do NOT own one of the following documents proceed to Part II:

- Australian State/Territory driver's licence containing a photograph of the person.
- Australian passport (a passport that has expired within the preceding 2 years is acceptable).
- Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person.
- Foreign passport or similar travel document containing a photograph and the signature of the person.*

Part II – Acceptable secondary ID documents

Should only be presented if you do NOT own a document from Part I.

Select ✓ ONE of the following documents from this section:

- Australian birth certificate.
- Australian citizenship certificate.
- Pension card issued by Centrelink.

AND select ✓ ONE of the following documents from this section:

- A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address.
- A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address. *Block out the TFN before scanning, copying or storing this document.*
- A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address).
- If under the age of 18, a notice that: was issued to the individual by a school principal within the preceding 3 months; and contains the name and residential address; and records the period of time that the individual attended the school.

Part III – Acceptable foreign ID documents

Should only be presented if you do NOT own a document from Part I.

BOTH documents from this section must be presented:

- Foreign driver's licence that contains a photograph of the person in whose name it is issued and the individual's date of birth.*
- National ID card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued.*

* Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator. An accredited translator is any person who is currently accredited by the National Accreditation Authority for Translators and Interpreters Ltd (NAATI) at the level of Professional Translator or above.

This is the end of Section A. Submit this form together with the completed Fund Application Form.

If you are an investors acting as a Trustee(s), please also complete and submit Section C of this form.

Section B. Australian Company

If you are:

- ▶ a Domestic (Australian) Company complete Section B; or
- ▶ a Domestic (Australian) Company acting as a Corporate Trustee of a Trust/Superannuation Fund complete Section B AND Section C.

Section B1: General information

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Australian company number ("ACN")

Full company name as registered by ASIC

Principal place of business (if any): PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Registered office address: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Proceed to Section B2.

Section B2: Regulatory / listing details

Select ✓ ONE of the following options and provide the requested information (if applicable).

<input type="checkbox"/> Regulated company A company whose activities are subject to the oversight of a Commonwealth, State or Territory statutory regulator. In this context "Regulated" means subject to supervision beyond that provided by ASIC as a company registration body. Examples include: <ul style="list-style-type: none"> ▶ Australian Financial Services Licensees (AFSL); ▶ Australian Credit Licensees (ACL); or ▶ Registrable Superannuation Entity (RSE) Licensees. 	Regulator name <input style="width: 400px;" type="text"/> Licence details (eg AFSL, ACL, RSE) <input style="width: 350px;" type="text"/>
<input type="checkbox"/> Australian listed company	Name of market/exchange <input style="width: 400px;" type="text"/>
<input type="checkbox"/> Majority-owned subsidiary of an Australian listed company	Australian listed company name <input style="width: 400px;" type="text"/> Name of market/exchange <input style="width: 400px;" type="text"/>
<input type="checkbox"/> None of the above	

Proceed to Section B3.

Section B3: Company type

Select ✓ ONE of the following options and proceed as directed.

<input type="checkbox"/> Proprietary company (company name ends with Proprietary Ltd or Pty Ltd; also known as private companies) – Proceed to Section B4 and B5 to provide Director(s) details (in Section B4) and the beneficial owner details (in Section B5) if applicable.	<input type="checkbox"/> Public company (company name does NOT end with Proprietary Ltd or Pty Ltd; generally listed companies) – No further information is required. Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section B. Public company acting as Corporate Trustee - proceed to Section C – Trusts or Superannuation Funds. Please note the verification procedure as shown at the end of Section B.
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Section B4: Director information (only needs to be completed for proprietary companies as ticked in Section B3)

This section does NOT need to be completed for public companies (as ticked in Section B3).

How many directors are there: Provide the below details for ALL directors.

1st Director

Full given name(s)

Surname

/ /

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
<input type="text"/>	
Suburb	
<input type="text"/>	
State	Postcode
<input type="text"/>	<input type="text"/>
Country (if not Australia)	
<input type="text"/>	

2nd Director

Full given name(s)

Surname

/ /

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
<input type="text"/>	
Suburb	
<input type="text"/>	
State	Postcode
<input type="text"/>	<input type="text"/>
Country (if not Australia)	
<input type="text"/>	

3rd Director

Full given name(s)

Surname

/ /

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
<input type="text"/>	
Suburb	
<input type="text"/>	
State	Postcode
<input type="text"/>	<input type="text"/>
Country (if not Australia)	
<input type="text"/>	

4th Director

Full given name(s)

Surname

/ /

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
<input type="text"/>	
Suburb	
<input type="text"/>	
State	Postcode
<input type="text"/>	<input type="text"/>
Country (if not Australia)	
<input type="text"/>	

If there are more than four directors, provide details on a separate sheet and attach to this form.

Regulated company (as selected in Section B2) – No further information is required. Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section B.

Unregulated proprietary company – Proceed to Section B5 to provide beneficial owner details OR to Section B6 if there are no beneficial owners.

Unregulated proprietary company acting as a Corporate Trustee – Proceed to Section B5 to provide beneficial owner details OR to Section B6 if there are no beneficial owners.

Section B5: Beneficial owners (only needs to be completed for proprietary and public unlisted companies that are not regulated companies as selected in Section B2).

Provide details of ALL individuals who are beneficial owners of, through one or more shareholdings (including direct and indirect shareholdings), 25% or more of the company's issued capital.

1st Beneficial owner

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

2nd Beneficial owner

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

3rd Beneficial owner

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

4th Beneficial owner

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

If there are more than four beneficial owners, provide details on a separate sheet and attach to this form.

Unregulated proprietary company – No further information is required. Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section B.

Unregulated proprietary company acting as a Corporate Trustee – Proceed to Section C "Trusts or Superannuation Funds". Please note the verification procedure as shown at the end of Section B.

Section B6: No shareholder beneficial owners

If there are no shareholder beneficial owners, provide the name(s) of the senior managing official(s) of the company (such as the managing director or directors who are authorised to sign on the company's behalf) by completing Section A1 for each of these officials.

Unregulated proprietary company – No further information is required. Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown below.

Unregulated proprietary company acting as a Corporate Trustee – Proceed to Section C "Trusts or Superannuation Funds". Please note the verification procedure as shown below.

Australian company verification procedure

BlackRock will perform the verification procedure to establish your identity. However, if we cannot access the information to complete this procedure, we may ask you to provide us with further information.

This is the end of Section B. Submit this form together with the completed Fund Application Form.

If you are a Domestic (Australian) Company acting as a Corporate Trustee of a Trust or Superannuation Fund, please also complete and submit Section C of this form.

Section C4: Trustee details

Provide trustee details ONLY if "Other trust type" is selected in Section C2. Do NOT complete if the trust is a regulated trust, as ticked in Section C2.

How many trustees are there? Provide the below details for ALL trustees, unless this information has already been provided for all trustees in Section A or Section B.

1st Trustee

Full given name(s)

Surname

/ /
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee OR company
registered office address: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

2nd Trustee

Full given name(s)

Surname

/ /
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee OR company
registered office address: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

3rd Trustee

Full given name(s)

Surname

/ /
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee OR company
registered office address: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

4th Trustee

Full given name(s)

Surname

/ /
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee OR company
registered office address: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

If there are more than four trustees, provide details on a separate sheet and attach to this form. Proceed to Section C5.

Section C5: Control over Trust

Advise if anyone else has a substantial control over the trust (e.g. an appointer or individual or company with the power to appoint, remove or instruct trustees).

1st Controlling person

Full Given name(s) or company name
Surname
/ /
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee OR company registered office address: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

2nd Controlling person

Full Given name(s) or company name
Surname
/ /
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee OR company registered office address: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

If there are more than two controlling persons, provide details on a separate sheet and attach to this form.

Regulated Trust – No further information is required. Submit this form together with the completed Fund Application Form AND if you are:

- ▶ an Individual acting as Trustee of a Trust or Superannuation Fund, also ensure that you have completed and submitted Section A; OR
- ▶ a Domestic (Australian) Company acting as a Corporate Trustee of a Trust or Superannuation Fund, also ensure that you have completed and submitted Section B.

Please note the verification procedure as shown below.

Other trust type – No further information is required. Submit this form together with the completed Fund Application Form AND provide the documents as requested within the verification procedure as shown below.

Trust verification procedure

Regulated trust, government superannuation fund or a registered managed investment scheme (as selected in Section C2) - BlackRock will perform the Trust verification procedure. However, if we cannot access the information to complete this procedure we may ask you to provide us with further information.

"Other trust type" (as selected in Section C2) - You will need to provide us with ONE of the following documents (please select ✓):

- A notice issued by the Australian Taxation Office within the last 12 months (eg a Notice of Assessment). Block out the TFN before scanning, copying or storing this document.
- A letter from a solicitor or qualified accountant that confirms the name of the trust.
- An original or certified copy or certified extract of the trust deed.

This is the end of Section C. Submit this form together with the completed Fund Application Form.

If you are:

- ▶ an Individual acting as Trustee of a Trust or Superannuation Fund, also ensure that you have completed and submitted Section A; OR
- ▶ a Domestic (Australian) Company acting as a Corporate Trustee of a Trust or Superannuation Fund, also ensure that you have completed and submitted Section B.

Section D. Government Body

Section D1: General information

Full name of Government Body

Principal place of operations: PO Box is NOT acceptable

Street address	
<input type="text"/>	
Suburb	
State	Postcode
Country (if not Australia)	

Proceed to Section D2.

Section D2: Government information

Select ✓ ONE of the following categories and provide the requested information.

Commonwealth of Australia Government Body

Australian State or Territory Government Body

Specify the State or Territory

Foreign Country Government Body

Specify Foreign Country

Government Body – No further information is required. Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown below.

Government Body verification procedure

BlackRock will perform the verification procedure to establish your identity. However, if we cannot access the information to complete this procedure, we may ask you to provide us with further information.

This is the end of Section D. Submit this form together with the completed Fund Application Form.

Section E. Partnerships

Section E1: General information

Full name of Partnership

Registered business name of Partnership (if any)

Country where Partnership established (only required if not Australia) a)

Proceed to Section E2.

Section E2: Type of partnership

Is the partnership regulated by a professional association? Select ✓ ONE of the following types of partnerships and provide the requested information.

Yes No How many partners are there?

Provide name of association

Provide membership details (eg membership number)

Proceed to Section E3 if you selected Yes and provide the details for ONE partner only.

Proceed to Section E3 if you selected No and provide the details for ALL partners.

Section E3: Partnership details

If the partnership IS regulated by a professional association (as selected in Section E2), provide the details for ONE partner only.

If the partnership is NOT regulated by a professional association (as selected in Section E2), provide the details for ALL partners of the partnership.

1st Partner

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

2nd Partner

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

3rd Partner

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

If the partnership is NOT regulated by a professional association and there are more than three partners, provide details on a separate sheet and attach to this form.

Partnerships - No further information is required. Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section E.

Partnership verification procedure

BlackRock will perform the verification procedure to establish your identity. However, if we cannot access the information to complete this procedure, we may ask you to provide us with further information.

Partnership regulated by a professional association (as selected in Section E2) - You will need to provide us with ONE document from Part I AND ONE document from Part II.

Part I – Acceptable ID documents (to verify partnership name)

Select ✓ ONE option from this section:

- An original, a certified copy or certified extract of the partnership agreement.
- A certified copy or a certified extract of minutes of a partnership meeting.
- An original current membership certificate (or equivalent) of a professional association.
- Membership details independently sourced from the relevant professional association.
- A search of the relevant ASIC or other regulator's database.
- A notice issued by the Australian Taxation Office within the last 12 months eg Notice of Assessment. *Block out the TFN before scanning, copying or storing this document.*
- An original or certified copy or a certificate of registration of business name issued by a government or government agency in Australia.

Part II – Acceptable ID documents (to verify membership of a professional association)

Select ✓ ONE option from this section:

- An original current membership certificate (or equivalent)
- Membership details independently sourced from the relevant professional association

This is the end of Section E. Submit this form together with the completed Fund Application Form.

Section F. Associations

Section F1: General information

Full name of Association

Provide an ID number issued on incorporation (e.g. registration or incorporation number) (if any)

Proceed to Section F2.

Section F2: Association details

Provide the below association details.

Chairman

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
<input type="text"/>	
Suburb	
State	Postcode
Country (if not Australia)	

Secretary

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
<input type="text"/>	
Suburb	
State	Postcode
Country (if not Australia)	

Treasurer

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
<input type="text"/>	
Suburb	
State	Postcode
Country (if not Australia)	

Proceed to Section F3.

Section F3: Association type

Select ONE of the following types of associations:

Incorporated Association – Proceed to Section F4.

Unincorporated Association – Proceed to Section F5.

Section F4: Incorporated association

Select ✓ ONE of the following options and provide the below incorporated association details.

Principal place of administration: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Registered office: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Name & residential address of the public officer (or president/secretary/treasurer if there is no public officer)

Full given name(s) or officer (if applicable)

Surname

 / /

Date of birth (dd/mm/yyyy)

Position

Residential address details: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Incorporated association – No further information is required. Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section F.

Section F5: Unincorporated association

Provide the below unincorporated association details.

Principal place of administration

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Individual member identification procedure

Details of the member who is signing on behalf of the Association.

Full given name(s)

Surname

 / /

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Unincorporated association - No further information is required. Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown below.

Association verification procedure

"Incorporated Association" (as selected in Section F3 of this form) - BlackRock will perform the Association verification procedure. However, if we cannot access the information to complete this procedure you will need to provide us with an originally certified copy or certified extract of the Constitution or Rules of the association.

"Unincorporated Association" (as selected in Section F3 of this form) - You will need to provide us with an originally certified copy or certified extract of the Constitution or Rules of the association for BlackRock to perform the Association verification procedure.

This is the end of Section F. Submit this form together with the completed Fund Application Form.

Section G. Registered Co-operative

Section G1: General information

Full name of registered co-operative

Provide an ID number issued by relevant registration body (if any)

Proceed to Section G2.

Section G2: Registered co-operative details

Provide the below requested registered co-operative details.

Chairman

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
<input type="text"/>	
Suburb	
State	Postcode
Country (if not Australia)	

Secretary

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
<input type="text"/>	
Suburb	
State	Postcode
Country (if not Australia)	

Treasury

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is NOT acceptable

Street address	
<input type="text"/>	
Suburb	
State	Postcode
Country (if not Australia)	

Proceed to Section G3.

Section G3: Address information

Select ✓ ONE of the following options and provide the below requested registered co-operative details.

Principal place of operations: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Registered office: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Name & residential address of the public officer (or president/secretary/treasurer if there is no public officer)

Full given name(s) or officer (if applicable)

Surname

 / /

Date of birth (dd/mm/yyyy)

Position

Residential address details: PO Box is NOT acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Registered co-operative - No further information is required. Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown below.

Registered Co-operative identification procedure

BlackRock will perform the Registered Co-operative identification procedure. However, if we cannot access the information to complete this procedure you will need to provide us with an originally certified copy or certified extract of the register maintained by the co-operative.

This is the end of Section G. Submit this form together with the completed Fund Application Form.